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Submission date: 07-Feb-2020 07:53PM (UTC+0800)

Submission ID: 1253116517

File name: 5Fatma_paper_HIV_TB_Resissten.pdf (3.47M)

Word count: 5066
Character count: 23107

Asian Pacific Journal of Tropical Disease

journal homepage: http://www.apjtcm.com



Review article

https://doi.org/10.12980/apjtd.7.2017D6-400

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Optimal control of HIV resistance and tuberculosis co-infection using treatment intervention

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ARTICLE INFO

Article history:

Received 2 Nov 2016
Received in revised form 21 Nov, 2nd revised form 5 Dec 2016
Accepted 17 Feb 2017
Available online 8 Jun 2017

Keywords:

Tuberculosis HIV resistance Stability Optimal control

ABSTRACT

In this paper we presented a mathematical model of the spread of HIV and tuberculosis (TB) co-infection considering the resistance of HIV to antiretroviral (ARV) drugs. The model also included anti-TB and ARV treatments as system control variables. For the model without controls, we investigated the existence and stability of equilibria based on three basic reproduction numbers corresponding to the TB and two strains HIV infection. We also performed sensitivity analysis to determine the dominant factor controlling the spread. Then, the optimal control condition was derived using Pontryagin Maximum Principle on the model to achieve the goal of minimizing the number of infected population. The numerical simulations of the optimal control were also performed to illustrate the results.

1. Introduction

HIV infection attacks human immune system. It could develop into AIDS if the infection is not treated properly. A weakened immune system of patients with HIV infection or AIDS will lead to a variety of bacteria/virus infections (opportunistic infections). Until now, there is still no effective cure or vaccine available for HIV infection or AIDS. However, antiretroviral (ARV) drugs could be used for treatment. ARV drugs could control the growth of HIV[1]. Currently, ARV drugs have been used widely in many countries. Without proper use of ARV drugs, HIV strains could be drug-resistant[2]. ARV drug resistance could cause failure of HIV treatment and spread of the infection among population.

Tuberculosis (TB) is usually transmitted through the air

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Foundation Project: by the Indonesian Directorate General for Higher Education (DIKTI) through DIPA DITLITABMAS Universitas Airlangga 2015 according to SK Rektor (No.: 516/UN3/2015).

The journal implements double-blind peer review practiced by specially invited international editorial board members.

contaminated with *Mycobacterium tuberculosis* that is released when patients with TB cough, talk or sneeze. TB can be prevented and cured with anti-TB drugs. Treatment of TB cases is one of the main strategies for TB control because it can break the chain of transmission. Despite the effective treatments that have been developed, TB remains one of the most destructive bacterial infections in humans. TB infection is a very common opportunistic infection that affects HIV patients. TB is one of the leading causes of death among HIV patients. In 2015, almost 35% of deaths among HIV patients are due to TB[3].

Mathematical models could be used for understanding the dynamic of the spread of HIV and TB co-infection. Many researchers have modeled the dynamics of HIV and TB co-infection[4-6]. For instance, Mallela et al.[4] developed a novel mathematical model that evaluates treatment strategies for HIV and TB co-infected individuals. A research[5] proposed a mathematical model to study the dynamic of TB for the spread of HIV in a logistically-growing population. Sharomi et al.[6] used a deterministic model to study the synergistic interaction between TB and HIV co-infection, with many of the essential biological and epidemiological characteristics of TB and HIV infection. Mathematical models with optimal

control considering HIV and TB co-infection also have been established. Recently, Augusto and Adekunle[7] have used optimal control strategies associated with treating symptomatic individuals with TB using the two-strain TB-HIV/AIDS transmission model. A simple model to control the spread of HIV and TB co-infection was proposed[8]. In this paper, the model proposed previously[8] was developed by adding the factor of ARV drug resistance.

In the present paper, we constructed a model of HIV drug resistance and TB co-infection transmission with controls of anti-TB and ARV treatment. Then the model was analyzed, and some numerical simulations were performed to illustrate the effectiveness of the treatments.

2. Model formulation

We assumed that population is homogeneous and closed. We considered two strains of HIV, namely, the sensitive strain of HIV and the resistant strain of HIV which resists to ARV drugs.

The total population, denoted by N, was classified into seven disjoint subpopulations, namely, the susceptible subpopulation (S), the TB-infected subpopulation (I), the sensitive-HIV infected subpopulation (H_h), the resistant-HIV infected subpopulation (H_u), the TB and sensitive-HIV infected subpopulation (H_u) and the AIDS subpopulation (A).

We assumed that the susceptible subpopulation could not get TB and HIV infections simultaneously. The subpopulations A, H_{st} and H_{rt} were assumed to be isolated, so that they cannot infect anyone. The TB infected subpopulation (I) was assumed to be not susceptible to HIV

We supposed the anti-TB treatment (u_1) and the ARV treatment (u_2) as the control efforts to reduce TB and HIV infections, respectively. The control functions u_1 and u_2 were defined on closed interval $[0,t_j]$, where $0 \le u_i(t) \le 1$, $t \in [0,t_j]$, i = 1,2 and t_j denotes the end time of the controls.

We used the transmission diagram in Figure 1 for deriving our model

The model is as follows:

$$\begin{split} \frac{dS}{dt} &= \Lambda + u_I \alpha_t I - \beta_t S I - (\beta_{hs} H_s + \beta_{hr} H_t) S - \delta S \\ \frac{dI}{dt} &= \beta_t S I - u_I \alpha_t I - (\delta + \mu_t) I \\ \frac{dH_s}{dt} &= \beta_{hs} S H_s - \sigma_s \beta_t H_S I - (\delta + (1 - u_2) \gamma_t) H_s + u_I \alpha_s H_{st} \\ \frac{dH_r}{dt} &= \beta_{hs} S H_r - \sigma_r \beta_t H_r I - (\delta + (1 - u_2) \gamma_2) H_r + u_I \alpha_r H_n \\ \frac{dH_{st}}{dt} &= \sigma_s \beta_t H_S I - u_I \alpha_s H_{st} - (\delta + \mu_{st} + (1 - u_2) \gamma_3) H_{st} \\ \frac{dH_{rt}}{dt} &= \sigma_r \beta_t H_r I - u_I \alpha_r H_{rt} - (\delta + \mu_{rt} + (1 - u_2) \gamma_4) H_n \\ \frac{dH_{rt}}{dt} &= \sigma_r \beta_t H_r I - u_I \alpha_r H_{rt} - (\delta + \mu_{rt} + (1 - u_2) \gamma_4) H_n \\ \frac{dA}{dt} &= (1 - u_2) (\gamma_t H_s + \gamma_2 H_r + \gamma_3 H_{st} + \gamma_4 H_n) - (\delta + \mu_a) A. \end{split}$$

Model (1) has region of biological interest

$$\Omega = \{ (S, I, H_s, H_p, H_{sp}, H_{rp}, A) \in \mathbb{R}_+^7 : 0 \leq N \leq \frac{\Lambda}{\delta} \},$$

and the vector field of model (1) on the boundary does not point to the exterior, so model (1) is well-posed in the region Ω . All of the parameters used in the model (1) are non-negative and the description of the parameters is given in Table 1.

Table 1
Parameters of model (1)

Description	Para	meter
Recruitment rate		Λ
Natural death rate		δ
Infection rate of TB		β_t
Recovery rate of TB		α_t
TB-induced death rate		u,
AIDS-induced death rate	,	u_a
	Sensitive	Resistant
	strain	strain
Infection rate for HIV	β_{ki}	β_{hr}
Recovery rate from TB of HIV-TB co-infection	α_r	α_r
Progression rate from HIV infection to HIV-TB co- infection	G_{s}	σ_{r}
Disease HIV-TB induced death rate	μ_{st}	$\mu_{\scriptscriptstyle sf}$
Progression rate from HIV infection to AIDS	γ_1	γ_2
Progression rate from HIV-TB co-infection to AIDS	γ_3	γ_4

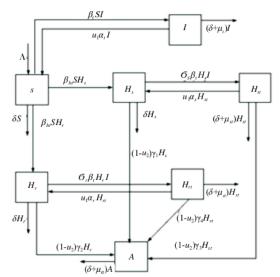


Figure 1. A two strain HIV and TB co-infection transmission diagram.

We want to minimize the number of HIV and TB co-infections while keeping the costs of applying anti-TB and ARV treatment controls as low as possible. The cost function is defined as

$$J(u_1, u_2) = \int_0^{t_f} \left(1 + H_u + H_u + A + \frac{c_1}{2}u_1^2 + \frac{c_2}{2}u_2^2\right) dt,$$
 (2)

where, c_1 and c_2 are the weighting constants for anti-TB and ARV treatment efforts, respectively. We take a quadratic form for measuring the control cost[7,9,10]. The terms $c_1u_1^2$ and $c_2u_2^2$ represent the cost associated with anti-TB and ARV treatment controls respectively. Larger values of c_1 and c_2 will imply higher implementation cost for anti-TB and ARV treatment efforts.

Our aim is to find an optimal control pair u_1^* and u_2^* such that $J(u_1^*, u_2^*) = \min J(u_1, u_2),$ where, $\Gamma = \{(u_b, u_2) | 0 \le u_i \le 1, i=1,2 \}$

3. Model analysis

Consider model (1) without the control functions u_1 and u_2 . Let

$$R_{t} = \frac{\Lambda \beta_{t}}{\delta(\delta + \mu_{t})}$$

$$R_{s} = \frac{\Lambda \beta_{hs}}{\delta(\gamma_{1} + \delta)}$$

$$R_{r} = \frac{\Lambda \beta_{hr}}{\delta(\gamma_{2} + \delta)}$$

Parameters R_s , R_s and R_r are basic reproduction ratios corresponding to the TB infection, the sensitive-HIV and resistant-HIV infections, respectively. These ratios represent the number of secondary cases of primary case during the infectious period due to

By setting $u_1 = u_2 = 0$, model (1) has six equilibria [with respect to coordinate $(S, I, H_s, H_r, H_s, H_{rr}, A)$], these are,

- 1. The disease-free equilibrium $E_0 = (\frac{\Lambda}{S}, 0, 0, 0, 0, 0, 0, 0)$
- 2. The TB endemic equilibrium $E_t = \left(\frac{\delta + \mu_t}{\beta_t}, \frac{\delta(R_t 1)}{\beta_t}, 0, 0, 0, 0, 0, 0\right)$

which exists if $R_i > 1$.

the type of infection[11,12].

3. The sensitive-HIV endemic equilibrium

$$E_s = \left(\frac{\delta + \gamma_t}{\beta_{hs}}, 0, \frac{\delta(R_s - 1)}{\beta_{hs}}, 0, 0, 0, \frac{\gamma_t \delta(R_s - 1)}{\beta_{hs}(\delta + \mu_a)}\right) \text{ which exists if } R_s > 1.$$

4. The resistant-HIV endemic equilibrium
$$E_r = \left(\frac{\delta + \gamma_2}{\beta_{hr}}, 0, 0, \frac{\delta(R_r - 1)}{\beta_{hr}}, 0, 0, \frac{\gamma_2 \delta(R_r - 1)}{\beta_{hr} (\delta + \mu_\alpha)}\right) \text{ which exists if } R_r > 1.$$

5. The sensitive-HIV and TB endemic equilibrium

$$E_{Hs} = \left(\frac{\delta + \mu_t}{\beta_t}, I^s, H_s^s, 0, H_s^s, 0, H_s^s, 0, A^s\right)$$
, where

$$I^{s} = \frac{(\delta + \gamma_{1})}{\sigma_{s} \beta_{t}} (\frac{R_{s}}{R_{t}} - 1)$$

$$H_s^s = \frac{\delta}{\beta_{hs}} (R_t - 1) - \frac{(\delta + \gamma_1)}{\beta_{hs} \sigma_s} (\frac{R_s}{R_t} - 1),$$

$$H_{st}^{s} = \frac{\sigma_{s} \beta_{t} H_{s} F}{\delta + \mu_{st} + \gamma_{3}}$$

$$A^{s} = \frac{\gamma_{1}H_{s} + \gamma_{3}H_{st}}{\delta + \mu_{s}}$$

The equilibrium E_{Hs} exists if $R_s > R_t > 1$ and $\delta G_s R_t (R_t - 1) > (\delta + \gamma_1)(R_s - 1)$

6. The resistant-HIV and TB endemic equilibrium

$$E_{Hr} = \left(\frac{\delta + \mu_t}{\beta_t}, I', 0, H_r^r, 0, H_{rt}^r, A'\right)$$
, where

$$I' = \frac{(\delta + \gamma_2)}{\overline{O}_r B_r} (\frac{R_r}{R_r} - 1),$$

$$H_r^r = \frac{\delta}{\beta_{hr}} (R_t - 1) - \frac{(\delta + \gamma_2)}{\beta_{hr} \sigma_r} (\frac{R_r}{R_t} - 1),$$

$$H_{rt}^{r} = \frac{G_r \beta_t H_r I^r}{\delta + \mu_r + \gamma_A}$$

$$A' = \frac{\gamma_2 H_r + \gamma_4 H_{rt}}{\delta + \mu}$$

The equilibrium E_{Hr} exists if $R_r > R_t > 1$ and $\delta \mathcal{O}_r R_t (R_t - 1) > (\delta + \gamma_2)$ $(R_r - R_t)$.

The following theorems give the stability criteria of the equilibria. Theorem 1. The disease-free equilibrium E_0 is locally asymptotically stable if $R_t, R_s, R_r < 1$ and unstable if $R_t, R_s, R_r > 1$.

Proof. Linearizing model (1) near the equilibrium E_0 gives eigenvalues $-\delta$, $-(\delta+\gamma_3+\mu_s)$, $-(\delta+\gamma_4+\mu_r)$, $-(\delta+\mu_a)$, $(\delta+\mu_t)(R_t-1)$, $(\delta+\gamma_1)$ (R_s-1) and $(\delta+\gamma_2)(R_r-1)$. It is clear that all of the eigenvalues are negative if R_t , R_s , $R_r < 1$. So, if R_t , R_s , $R_r < 1$, the equilibrium E_0 is locally asymptotically stable. Otherwise, it is unstable.

Theorem 2. Supposing the TB endemic equilibrium E_i exists. It is locally asymptotically stable if $R_t > 1$, $R_t > R_s$ and $R_t > R_r$, otherwise it is unstable.

Proof. Linearizing model (1) near the equilibrium E_t gives eigenvalues $-(\delta + \mu_a)$, $-(\delta + \mu_{st} + \gamma_3)$, $-(\delta + \mu_{rt} + \gamma_4)$, $-6 \delta(R_r - 1) - \frac{\Lambda \beta_{hs}}{\delta R} (R_r - R_s)$,

 $-6.\delta(R_r-1) - \frac{\Lambda}{\delta R_r} \frac{\beta_{br}}{R_r} (R_r-R_r)$, and the roots of quadratic equation $x^2 + \delta R_r x$

 $+\delta(\delta+\mu_{\ell})(R_{\ell},1) = 0$. It is observed that all of the eigenvalues are negative if $R_t > 1$, $R_t > R_s$ and $R_t > R_r$.

Theorem 3. Supposing the sensitive-HIV endemic equilibrium E_s exists. It is locally asymptotically stable if $R_s > 1$, $R_s > R_r$ and $R_s > R_r$, otherwise it is unstable.

Proof. Linearizing model (1) near the equilibrium E_s gives eigenvalues $-(\delta + \mu_a)$, $-(\delta + \mu_s + \gamma_3)$, $-(\delta + \mu_r + \gamma_4)$, $-(\delta + \gamma_2) \frac{(R_s - R_r)}{R_r}$

$$-(\delta^{+}\mu_{l})\frac{(R_{s}R_{l})}{R_{s}}$$
, and the roots of quadratic equation

 $x^2 + \delta R_s x + (\delta^2 + \delta \gamma_1)(R_s - 1) = 0$. Clearly, all of the eigenvalues are negative if $R_s > 1$, $R_s > R_r$ and $R_s > R_r$.

Theorem 4. Supposing the resistant-HIV endemic equilibrium E_t exists. It is locally asymptotically stable if $R_r > 1$, $R_r > R_s$ and $R_r > R_t$, otherwise it is unstable.

Proof. Linearizing model (1) near the equilibrium E_r gives eigenvalues $-(\delta + \mu_a)$, $-(\delta + \mu_{st} + \gamma_3)$, $-(\delta + \mu_{rt} + \gamma_4)$, $-(\delta + \gamma_1) \frac{(R_r - R_s)}{R}$,

 $-(\delta + \mu_1)\frac{(R_r R_1)}{R}$ and the roots of quadratic equation $x^2 + \delta R_r x + (\delta^2 + \delta \gamma_2)$

 $(R_r-1) = 0$. It is observed that all of the eigenvalues are negative if $R_r > 0$ $1, R_r > R_s$ and $R_r > R_t$.

Next, we investigated the sensitivity of the basic reproduction ratios R_r , R_s and R_r to the parameters in the model. The aim of this analysis is to determine the parameters that have a high impact on the basic reproduction ratios. Using the reported approach[13], we derived the sensitivity indices of R_t , R_s and R_r to each parameters.

The normalized forward sensitivity index of variable which depends differentially on parameter l is defined as

$$\gamma_{l}^{R_{0}} := \frac{\partial R_{0}}{\partial l} \times \frac{l}{R_{0}}$$
(4)

For example, the sensitivity index of R_t with respect to β_t is

$$\gamma_{\beta_i}^{R_i} := \frac{\partial R_i}{\partial \beta_i} \times \frac{\beta_i}{R_i} = 1$$
 (5)

By using the parameter values in Table 2, the sensitivity indices of R_t , R_s and R_r with respect to parameters Λ , δ , μ_t , β_{hs} , γ_1 , β_{hr} and γ_2 are listed in Table 3.

Table 2
Parameter values.

Parameter	Value	Reference	Parameter	Value	Reference
Λ	50 000/year	[7]	α_r	1/year	Assumed
δ	0.02/year	[7]	μ_{ι}	0.02/year	[6]
β_t	0.00031/year	Assumed	μ_{st}	0.03/year	[6]
β_{hs}	0.00045/year	Assumed	μ_{π}	0.03/year	Assumed
β_{hr}	0.00035/year	Assumed	μ_{α}	0.03/year	Assumed
G_s	1.2/year	[6]	γ_1	0.01/year	Assumed
G_r	1.2/year	[6]	γ_2	0.05/year	Assumed
α_t	1.4/year	Assumed	γ_3	0.08/year	Assumed
α_s	1/year	Assumed	γ_4	0.08/year	Assumed

Table 3
Sensitivity indices to some parameters of model (1).

Parameter	Sensitivity	Parameter	Sensitivity	Parameter	Sensitivity
(o)	index $\gamma_o^{R_c}$	(0)	index $\gamma_o^{R_r}$	(o)	index $\gamma_o^{R_r}$
Λ	1	Λ	1	Λ	1
β_t	1	β_{hs}	1	β_{hr}	1
δ	-1.5	δ	-1.667	δ	-1.286
μ_{ι}	-0.5	γ_1	-0.333	γ_2	-0.714

The interpretion of the sensitivity index $\gamma_{\beta_i}^{R_i} = 1$ is as follow. If there is an increment (decrement) in infection rate of TB β_i by 10%, then there will be an increment (decrement) of the basic reproduction number R_i by 10%. For $\gamma_{\delta_i}^{R_i} = -1.5$, if there is an increment (decrement) in natural death rate δ by 10%, then there will be a decrement (increment) of basic reproduction ratio R_i by 15%.

4. Analysis of optimal control

In this section, we analyzed model (1) with its control functions u_1 and u_2 and the cost function (2). We used the Pontriyagin Maximum Principle to obtain the optimal controls u_1^* and u_2^* such that condition (3) with constraint model (1) holds[14]. The Pontriyagin Maximum Principle converts equations (1–3) into a minimizing Hamiltonian function problem with respect (u_1, u_2) . The Hamiltonian function H is as follow.

$$H(S,I,H_{p}H_{r}H_{sr}H_{rp}A,u_{1},u_{2}\lambda_{1}\lambda_{2},...,\lambda_{7})=I+H_{sr}+H_{rr}+A+\frac{c_{1}}{2}u_{1}^{2}+\frac{c_{2}}{2}u_{2}^{2}+\sum_{i=1}^{7}\lambda_{i}g_{i}$$

where g_i denotes the right hand side of model (1) which is the *i*-th state variable equation. The variables λ_i , i=1,2,...,7, are called adjoint variables satisfying the following co-state equations

$$\begin{split} \frac{d\lambda_{i}}{dt} &= (\lambda_{i} \cdot \lambda_{z})\beta_{i}I + (\lambda_{i} \cdot \lambda_{z})\beta_{hs}H_{s} + (\lambda_{i} \cdot \lambda_{z})\beta_{ht}H_{r} + \lambda_{i}\delta, \\ \frac{d\lambda_{z}}{dt} &= -1 + (\lambda_{i} \cdot \lambda_{z})\beta_{i}S + (\lambda_{z} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{z} \cdot \lambda_{z})G_{s}\beta_{t}H_{s} + (\lambda_{z} \cdot \lambda_{s})G_{s}\beta_{t}H_{r} + \lambda_{z}(\delta + u_{t}) \\ \frac{d\lambda_{z}}{dt} &= (\lambda_{i} \cdot \lambda_{z})\beta_{hs}S + (\lambda_{z} \cdot \lambda_{z})G_{s}\beta_{s}I + (\lambda_{z} \cdot \lambda_{z})(1 - u_{z})\gamma_{1} + \lambda_{z}\delta, \\ \frac{d\lambda_{d}}{dt} &= (\lambda_{i} \cdot \lambda_{z})\beta_{hs}S + (\lambda_{z} \cdot \lambda_{s})G_{s}\beta_{s}I + (\lambda_{z} \cdot \lambda_{z})(1 - u_{z})\gamma_{2} + \lambda_{d}\delta, \\ \frac{d\lambda_{z}}{dt} &= -1 + (\lambda_{z} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{z} \cdot \lambda_{z})(1 - u_{z})\gamma_{3} + \lambda_{z}(\delta + u_{s}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})(1 - u_{z})\gamma_{d} + \lambda_{d}(\delta + u_{n}) \\ \frac{d\lambda_{d}}{dt} &= -1 + (\lambda_{d} \cdot \lambda_{z})u_{1}\alpha_{s} + (\lambda_{d} \cdot \lambda_{z})u_{2}\alpha_{s} + (\lambda_{d}$$

where the transversality conditions $\lambda_i(t_i) = 0$, i = 1,...,7.

The procedure to obtain the optimal controls $u = (u_1^*, u_2^*)$ are as follows[15,16].

1. Minimize the Hamilton function H with respect to u, that is $\frac{\partial H}{\partial u} = 0$. The stationary condition gives

$$u_1^* = \begin{cases} 0 & for \quad u_I \leq 0 \\ \frac{(\mathcal{A}_o - \mathcal{A}_s)\alpha_s H_n + (\mathcal{A}_s - \mathcal{A}_t)\alpha_s I + (\mathcal{A}_s - \mathcal{A}_s)\alpha_s H_s}{c_I} & for \quad 0 < u_I < I \\ 1 & for \quad u_I \geq 1 \end{cases}$$

$$u_{2}^{*} = \begin{cases} 0 & for \quad u_{2} \leq 0 \\ \frac{(\lambda_{7}\lambda_{3})\gamma_{1}H_{3} + (\lambda_{7}\lambda_{4})\gamma_{2}H_{3} + (\lambda_{7}\lambda_{5})\gamma_{3}H_{3} + (\lambda_{7}\lambda_{6})\gamma_{4}H_{3}}{c_{2}} & for \quad 0 < u_{2} < 1 \\ 1 & for \quad u_{2} \geq 1 \end{cases}$$

2. Solve the state system $\dot{x}(t) = \frac{\partial H}{\partial \lambda}$ where $x = (S, I, H_s, H_r, H_s, H_r)$

A), $\lambda = (\lambda_1, \lambda_2, ..., \lambda_7)$ and the initial condition x(0).

3. Solve the co-state system $\lambda(t) = -\frac{\partial H}{\partial x}$ with the end condition

$$\lambda_1(t_i) = 0, i = 1,...,7.$$

Applying the procedure, we obtained the optimal controls as follows.

Theorem 5. The optimal controls (u_1^*, u_2^*) that minimize the objective function $J(u_0 u_2)$ on Γ is given by

$$\begin{aligned} &u_1^* = \max \left\{ 0, \min \left(1, \frac{(\lambda_e \cdot \lambda_s) \alpha_s H_s + (\lambda_z \cdot \lambda_s) \alpha_s J_+ (\lambda_z \cdot \lambda_s) \alpha_s H_u}{c_1} \right) \right\} \\ &u_2^* = \max \left\{ 0, \min \left(1, \frac{(\lambda_f \cdot \lambda_s) \gamma_1 H_s + (\lambda_f \cdot \lambda_s) \gamma_2 H_r + (\lambda_f \cdot \lambda_s) \gamma_3 H_u + (\lambda_f \cdot \lambda_s) \gamma_3 H_u}{c_2} \right) \right\} \end{aligned}$$

where A_i , i = 1,...,7 is the solution of the co-state equation (6) with the transversality conditions $\overline{A_i}(t_i) = 0$, i = 1,...,7.

The optimal system is obtained by substituting the optimal control (u_1^*, u_2^*) . The solutions of the optimality system will be solved numerically for some parameter choices. Most of the parameter values are assumed within realistic ranges due to lack of data.

5. Numerical simulation

In this section, we investigated the dynamic of model (1) with and without the optimal controls. We used iteration of 4-order Runge-Kutta method to obtain the optimal controls(17). First, we solved the state equations using the forward 4-order Runge-Kutta method. Then we solved the co-state equations with the terminal conditions using the backward 4-order Runge-Kutta method. After that, we updated the controls using a convex combination of the previous controls and the value from the characterizations of u_1^* and u_2^* . This procedure was iterated. The iteration was stopped if the values of unknowns at the previous iteration are very close to the ones at the current iteration

We considered three scenarios to explore the dynamics of TB-HIV co-infection. We considered the anti-TB treatment control u_1 in the

first scenario. In the second one, we considered the ARV treatment control u_2 . In the third scenario, we used the optimal anti-TB and ARV treatment controls u_1 and u_2 .

For these numerical simulations, we used parameters' values as in Table 2. Moreover, we used initial condition

[S(0), I(0), $H_s(0)$, $H_s(0)$, $H_s(0)$, $H_n(0)$, A(0)] = (5 000, 100, 50, 30, 10, 10, 10), and weighting constants c_1 = 80, c_2 = 100.

5.1. First scenario

We deployed the anti-TB treatment control u_1 and set the ARV control u_2 to zero. The profile of the optimal control u_1^* is depicted in Figure 2. To reduce HIV resistance and TB co-infection in 10 years, the anti-TB treatment should be given intensively in the first nine years before decreasing to the lower bound in the end of the 10th year.

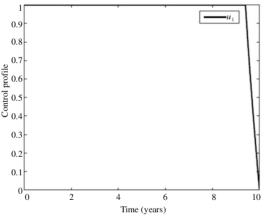


Figure 2. The profile of the optimal anti-TB control u_1^* .

From Figure 3A, we observed that the TB-infected subpopulation I decreased to zero by deploying the optimal control u_1^* and it increased without deploying u_1^* . Contrary, the result in Figure 3B shows that the AIDS subpopulation A tends to increase by deploying

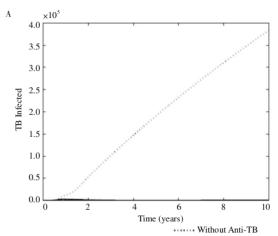


Figure 3. The dynamics of I(A) and A(B) using the optimal control u_1^* .

the optimal control u_1^* compared with the case without control because there is no intervention against AIDS. For the sensitive-HIV-TB co-infection subpopulation H_{sp} a decrease in the number of infected hosts is observed in Figure 4A in the presence of the optimal control u_1^* compared to an increase in the number of infected hosts in the absence of the control u_1^* . Similarly, in Figure 4B, this control strategy results in a significant decrease in the number of resistant-HIV and TB co-infection subpopulation H_{rr} as against an increase in the uncontrolled case. Hence, the anti-TB treatment control u_1^* gives a significant effect in controlling the TB-infected, sensitive-HIV and TB co-infection subpopulations.

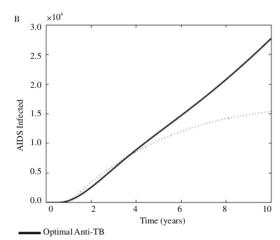
5.2. Second scenario

Here, we deployed the ARV treatment control u_2 and set the anti-TB treatment control u_1 to zero. The optimal control profile of ARV treatment u_2^* is shown in Figure 5. We can see that to eliminate resistant-HIV and TB co-infection in 10 years, the ARV treatment should be given intensively during the first year before it drops gradually and vanishes at the end of second year.

With this strategy, we observed in Figures 6 and 7 that there is not a significant difference in the number of TB infection, AIDS infection, HIV-TB co-infection with and without the ARV control treatment only. The result in Figures 6 and 7 clearly suggests that this strategy is not very effective in the control of the number of the infected cases.

5.3. Third scenario

In the third scenario, the anti-TB and ARV treatment controls u_1 and u_2 are used simultaneously. The profile of the optimal anti-TB treatment control u_1^* and ARV control u_2^* of this scenario is given in Figure 8. To reduce resistant-HIV and TB co-infection in 10 years, the anti-TB and ARV treatment should be given intensively during the first nine years



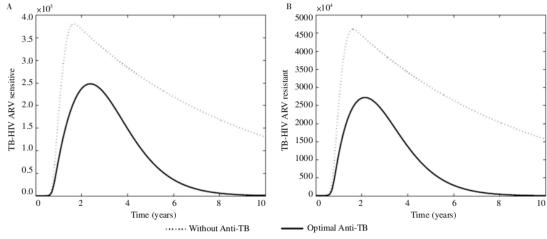


Figure 4. The dynamics of $H_{ii}(A)$ and $H_{ii}(B)$ using the optimal control u_1^*

and it drops gradually and vanishes at the end of the 10th year.

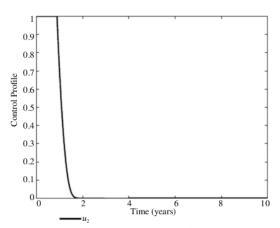


Figure 5. The profile of the optimal ARV control u_2^* .

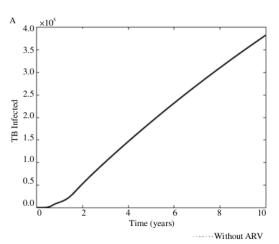
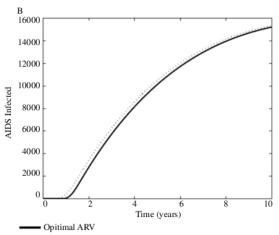


Figure 6. The dynamics of I(A) and A(B) using the optimal control u_2^* .

Using the optimal controls in Figure 8, the dynamics of the TB, AIDS, HIV-TB co-infection subpopulations are given in Figures 9 and 10. For this strategy, we observed in Figure 9 that the control strategies resulted in a decrease in the number of TB infected and AIDS infected subpopulations compared to the number without control. A similar decrease is observed in Figure 10 for HIV-TB co-infection with drug-sensitivity and drug-resistance to ARV in the control strategy, while an increased number for the uncontrolled case was observed.

Our numerical results suggest that the combination of anti-TB treatment and ARV treatment is the most effective to eliminate the number of TB infection, AIDS infection and HIV-TB co-infection with drug-sensitivity and drug-resistance. Thus, if we can employ one treatment only, then anti-TB treatment is better than ARV treatment to reduce the number of TB infected and two strains HIV-TB co-infected populations.



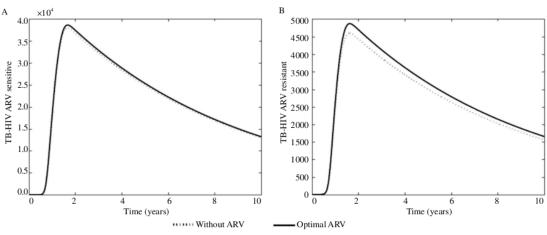


Figure 7. The dynamics of $H_{ii}(A)$ and $H_{ii}(B)$ using the optimal control u_2^* .

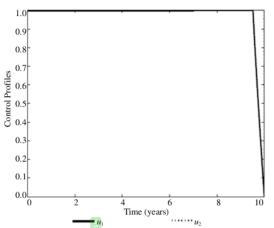


Figure 8. The profile of the optimal controls u_1^* and u_2^* .

6. Conclusion

In this paper, we have developed a deterministic mathematical model for the spread of two strains HIV and TB co-infection that incorporates anti-TB and ARV treatment as optimal control strategies. For the model without controls, we obtained three thresholds R_n , R_s and R_r , which are the basic reproduction ratios for the TB and two strains of HIV infections respectively. These ratios determine the existence and stability of the equilibria of the model. If the thresholds are less than unity, the diseases-free equilibrium is locally asymptotically stable. Finally, the conditions for existence of optimal control were studied analytically using the Pontryagin Maximum Principle. Our numerical simulation of the optimal control indicates that the best strategy is to combine the anti-TB and ARV treatments in order to reduce the two strains HIV and TB co-infection. However, if we have to use only one control, the anti-TB

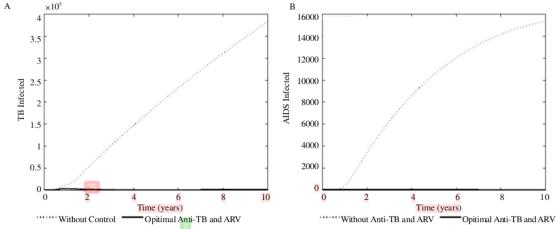


Figure 9. The dynamics of I(A) and A(B) using the optimal controls u_1^* and u_2^* .

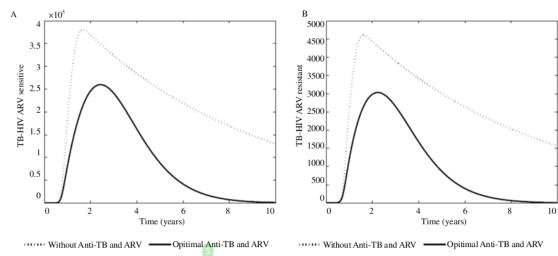


Figure 10. The dynamics of $H_{ii}(A)$ and $H_{ii}(B)$ using the optimal controls u_1^* and u_2^* .

treatment is more effective than ARV treatment to eliminate the number of two strains HIV and TB co-infected subpopulations.

Conflict of interest statement

We declare that we have no conflict of interest.

Acknowledgements

Parts of this research is funded by the Indonesian Directorate General for Higher Education (DIKTI) through DIPA DITLITABMAS Universitas Airlangga 2015 according to SK Rektor (No.: 516/UN3/2015).

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