



andang miatmoko &lt;andang-m@ff.unair.ac.id&gt;

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## Scientific Reports: Decision on your manuscript

2 messages

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**Scientific Reports** <srep@nature.com>  
To: andang-m@ff.unair.ac.id

Thu, Oct 13, 2022 at 11:47 AM

Ref: Submission ID 1d392618-e692-4b00-afdc-4fc7c96e8a5a

Dear Dr Miatmoko,

Re: "The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine"

We are pleased to let you know that your manuscript has now passed through the review stage and is ready for revision. Many manuscripts require a round of revisions, so this is a normal but important stage of the editorial process.

Editor comments

Kindly go through the comments made by the reviewers on your submitted manuscript.

To ensure the Editor and Reviewers will be able to recommend that your revised manuscript is accepted, please pay careful attention to each of the comments that have been pasted underneath this email. This way we can avoid future rounds of clarifications and revisions, moving swiftly to a decision.

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[https://www.nature.com/documents/Effective\\_Response\\_To\\_Reviewers-1.pdf](https://www.nature.com/documents/Effective_Response_To_Reviewers-1.pdf)

2. Please highlight all the amends on your manuscript or indicate them by using tracked changes.

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To support the continuity of the peer review process, we recommend returning your manuscript to us within 14 days. If you think you will need additional time, please let us know and we will aim to respond within 48 hours.

Kind regards,

Amita Pathak  
Editorial Board Member  
Scientific Reports

Reviewer Comments:

Reviewer 1

"The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine" by Miatmoko et al. investigate the effectiveness of UA niosomes with chitosan coating as an orally administered in vivo therapy for the prevention of liver damage in NDEA-induced subjects. The study is interesting but needs to satisfy several concerns before being ready for publication.

Main concerns:

1. Authors used only serum levels of SGOT and SGPT as indicators of liver disease and potential improvement. These enzymes could be high due to other extrahepatic conditions. Therefore, they'd better use a panel of liver function indicators for accurate assessment, such as serum albumin, PT, and bilirubin.
2. In figure 7, the authors have indicated bleeding. This is not clear. To me, the arrows indicate hydropic changes in hepatocytes and RBCs within the hepatic sinusoids.
3. In the discussion section, the authors stated that "Negatively charged particles are more easily recognized by macrophages". On the contrary, positively charged NPs are more expected to be quickly cleared by macrophages. Could the authors explain and justify their statement?
4. In this study, the niosomes are administered orally, this makes the liver a primary target via the portal circulation. It should have been helpful to determine the change in the number of Von Kupffer cells.
5. In the introduction, the authors stated that "Chitosan can open the tight junctions of epithelial cells". I wonder what the authors might think about the effect chitosan might have on the tight junctions between hepatocytes.
6. With the oral administration of the niosomes, how can authors explain the impact on the lungs, while no effect is seen on the heart?
7. The manuscript needs further revision and typos to be corrected, for instance in the introduction section vesicular instead of "vascular" (page 3), span 60-cholesterol-UA instead of "60-cholesterol-UA span" in page 4, etc.

Reviewer 2

Reviewer Comments

Journal of Scientific Reports

Title: "The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine"

The topic of this manuscript is appreciated in the field of liver treatment and is of great value if applied. It improves the idea of using niosomes and chitosan in the drug delivery according to their properties such as enhancing the cell permeability and drug bioavailability. However, the study needs minor revisions before publication.

- 1- Figure 2: It is difficult to trace the change because the shapes overlap with each other, and it is suggested to use different colors.
  - 2- In the part "Morphology and organ weight of mice induced with NDEA after administration of UA niosomes", It would be better to make the sentences connected; it would be better to connect the paragraphs to understand the meaning better.
  - 3- I would have preferred to photograph nanoparticles by TEM if possible.
  - 4- Figure 6 & figure 7: The circles and arrows should be thicker.
- Note : In addition to some writing errors shown in the manuscript with yellow highlighting.

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**Andang MIATMOKO** <andang-m@ff.unair.ac.id>  
To: Scientific Reports <srep@nature.com>

Sat, Oct 22, 2022 at 1:34 AM

Dear Dr. Pathak,

Apologize me, could I get the manuscript attachment from reviewer 2 since, in the review comments, it was stated Note: In addition to some writing errors shown in the manuscript with yellow highlighting; however, I did not find the attachment.

many thanks for your help

best regards,  
Andang

[Quoted text hidden]

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**Salam,**

**[Andang Miatmoko, PhD., Apt.](#)**

Department of Pharmaceutical Sciences

Faculty of Pharmacy, Airlangga University

Nanizar Zaman Joenoes Building

Campus C Airlangga University, Mulyorejo, 60115

Surabaya



andang miatmoko &lt;andang-m@ff.unair.ac.id&gt;

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## Scientific Reports: Decision on your manuscript

1 message

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**Scientific Reports** <srep@nature.com>  
To: andang-m@ff.unair.ac.id

Wed, Nov 9, 2022 at 11:40 AM

Ref: Submission ID 1d392618-e692-4b00-afdc-4fc7c96e8a5a

Dear Dr Miatmoko,

Re: "The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine"

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<https://submission.springernature.com/submit-revision/1d392618-e692-4b00-afdc-4fc7c96e8a5a>

You can visit <https://researcher.nature.com/your-submissions> to track progress of this or any other submissions you might have.

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[https://www.nature.com/documents/Effective\\_Response\\_To\\_Reviewers-1.pdf](https://www.nature.com/documents/Effective_Response_To_Reviewers-1.pdf)

2. Please highlight all the amends on your manuscript or indicate them by using tracked changes.

3. Check the format for revised manuscripts in our submission guidelines, making sure you pay particular attention to the figure resolution requirements:

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To support the continuity of the peer review process, we recommend returning your manuscript to us within 14 days. If you think you will need additional time, please let us know and we will aim to respond within 48 hours.

Kind regards,

Amita Pathak  
Editorial Board Member  
Scientific Reports

Reviewer Comments:

Reviewer 1

I'd like to thank the authors for their detailed response. However, there are still two points that require further attention.

1. The Bleeding in the liver tissue needs more images at various powers of magnification to prove.
2. The reference 23 actually states that "the positively charged NPs were taken by THP-1 macrophages at a higher rate than negatively charged ones". Which is the opposite to the authors claim in the first place.
3. The authors aimed to investigate if UA niosomes with chitosan can mitigate liver damage induced by NDEA. Therefore, it is still not clear to me why SGOT /SGPT have to be the only markers. For instance, bilirubin type and level will help validate the point they raised about the potential effect of chitosan on the tight junctions bounding the inter hepatocyte bile caliculi.

Reviewer 2

All comments have now been answered. The manuscript is accepted



andang miatmoko &lt;andang-m@ff.unair.ac.id&gt;

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## Scientific Reports: Decision on your manuscript

1 message

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**Scientific Reports** <srep@nature.com>  
To: andang-m@ff.unair.ac.id

Fri, Dec 9, 2022 at 11:29 AM

Ref: Submission ID 1d392618-e692-4b00-afdc-4fc7c96e8a5a

Dear Dr Miatmoko,

Re: "The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine"

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### Editor comments

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Kind regards,

Amita Pathak  
Editorial Board Member  
Scientific Reports

Reviewer Comments:

Reviewer 1

All comments have now been answered. Therefore, I recommend accepting the latest version of the manuscript for publication.

Reviewer 2

All comments have now been answered. The manuscript has been accepted

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Dear Editor,

Many thanks for your review. We have revised the manuscript as peer reviewer's suggestions accordingly, as the following:

### **Reviewer Comments:**

#### **Reviewer 1**

**"The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine" by Miatmoko et al. investigate the effectiveness of UA niosomes with chitosan coating as an orally administered in vivo therapy for the prevention of liver damage in NDEA-induced subjects. The study is interesting but needs to satisfy several concerns before being ready for publication.**

#### **Main concerns:**

**1. Authors used only serum levels of SGOT and SGPT as indicators of liver disease and potential improvement. These enzymes could be high due to other extrahepatic conditions. Therefore, they'd better use a panel of liver function indicators for accurate assessment, such as serum albumin, PT, and bilirubin.**

#### **Answer:**

Many thanks for the comment. We have referred to previous reports that evaluated the use of SGOT and SGPT as markers of liver damage which is characterized by abnormalities of the organ's architecture or function. Measuring enzyme levels in the liver can be used to assess the integrity of hepatocytes in liver function, for example evaluation of serum glutamic-oxaloacetic transaminase (SGOT) and serum glutamic-pyruvic transaminase (SGPT) <sup>1</sup>. Both these biomarkers can assess the extent of hepatocellular damage since injury to hepatocytes can cause changes in cell membrane permeability resulting in the absence of excessive transaminase enzymes. Periportal hepatocytes (zone 1) contained relatively more SGPT, while hepatocytes near the central vein (zone 3) contained more SGOT. Thus, the causes of liver inflammation predominantly involving zone 1 ailments such as viral hepatitis and autoimmunity largely produce an increase in SGPT. In contrast, ischemic or toxic disorders are more likely to involve zone 3 conditions, leading to a predominance of SGOT <sup>2</sup>. Ali *et al.* (2019) studied hepatic biomarkers to confirm the occurrence of hepatocarcinoma and the diagnosis of tumor response to therapy <sup>11</sup>. The transaminase activity of SGOT, SGPT, ALP, GGT, was significantly increased in plasma with the release of these enzymes from parenchyma cells in the liver, indicating considerable hepatocellular injury <sup>3</sup>. Li *et al.* (2015) also evaluated the effect of UA administration on SGOT and SGPT levels in mice. SGOT and SGPT levels were found to increase, indicating liver damage, whereas UA administration significantly reduced them. Liver damage is also demonstrated by the presence of severe hepatic steatosis indicating parenchymal involvement of 90% with steatosis located throughout the lobules and obvious balloon injury. Meanwhile, the administering of UA to mice induced a lower incidence of steatosis and less ballooning injury in the liver <sup>4</sup>. Thus, the SGOT and SGPT parameters represent accurate means of assessing liver damage and repair.

1. Giannini, E. G., Testa, R. & Savarino, V. *Liver enzyme alteration: A guide for clinicians.*



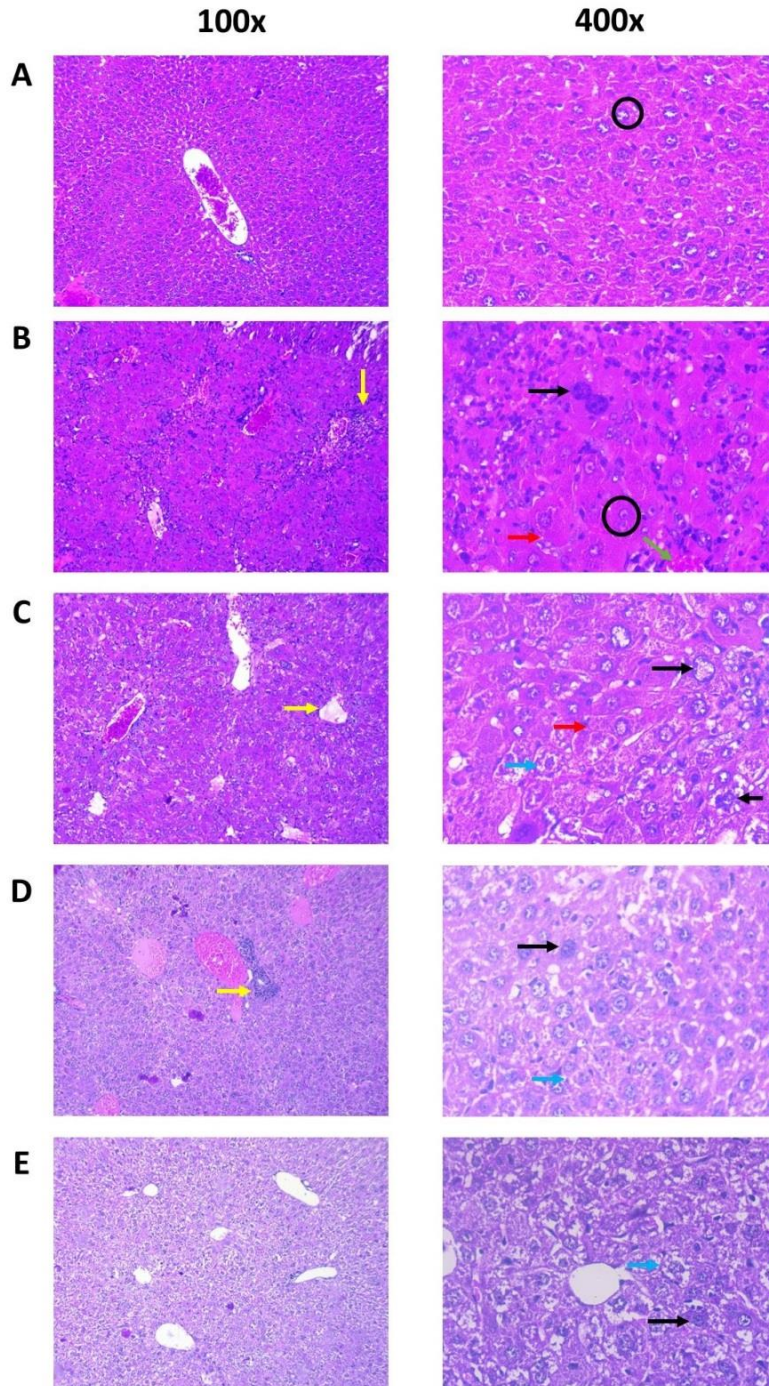
- C. Can. Med. Assoc. J.* **172**, 367–379 (2005).11.
2. Kasarala, G. & Tillmann, H. L. Review: Standard liver tests. *Clin. Liver Dis.* **8**, 13–18 (2016).
  3. Ali, S. A., Ibrahim, N. A., Mohammed, M. M. D., El-hawary, S. & Refaat, E. A. Heliyon The potential chemo preventive effect of ursolic acid isolated from *Paulownia tomentosa* , against *N*-diethylnitrosamine : initiated and promoted hepatocarcinogenesis. *Heliyon* **5**, e01769 (2019).
  4. Li, J. S., Wang, W. J., Sun, Y., Zhang, Y. H. & Zheng, L. Ursolic acid inhibits the development of nonalcoholic fatty liver disease by attenuating endoplasmic reticulum stress. *Food Funct.* **6**, 1643–1651 (2015).

**2. In figure 7, the authors have indicated bleeding. This is not clear. To me, the arrows indicate hydropic changes in hepatocytes and RBCs within the hepatic sinusoids.**

**Answer:**

Many thank for the correction. The histopathology of the liver is presented in Figure 6. We apologized for the miss position of the arrow to show the bleeding and have revised as the following:

Fig. 6B (right) indicated by green arrow



**Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at an equivalent dose of 11 mg UA/kgBW. Black circle = hepatic plate, black arrow = hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow = hemorrhage.

**3. In the discussion section, the authors stated that " Negatively charged particles are more easily recognized by macrophages". On the contrary, positively charged NPs are more expected to be quickly cleared by macrophages. Could the authors explain and justify their statement?**

**Answer:**

Many thanks for the comment. We have revised and added statements cited from the reference as the following:

Line 217-228: "Surface charge has been reported as affecting *in vivo* drug distribution. Several studies have revealed that positively charged nanoparticles show higher phagocytic and cellular uptake than negatively, neutrally charged, and PEGylated nanoparticles<sup>22,23</sup>. The positively charged nanoparticle will be endocytosized through clathrin receptors, while the negatively charged nanoparticles are primarily internalized via caveolin receptors<sup>23</sup>. However, other research into the bioavailability studies of nanoparticles has indicated that their negative charge increases the macrophage uptake more significantly than that of positively charged nanoparticles, thereby potentially reducing the effectiveness of nanodrug delivery<sup>24</sup>. Opsonin serum protein binding with negatively charged nanoparticles seems to occur to a higher degree than that of positively charged nanoparticles. Consequently, negatively charged nanoparticles are covered more extensively by opsonin proteins with greater stimulation of the phagocytosis by macrophages<sup>25</sup>."

22. Oh, N. & Park, J. H. *Endocytosis and exocytosis of nanoparticles in mammalian cells. Int. J. Nanomedicine* **9**, 51–63 (2014).
23. Jeon, S. et al. *Surface Charge-Dependent Cellular Uptake of Polystyrene Nanoparticles. Nanomaterials* **8**, (2018).
24. Bhattacharjee, S. et al. *Role of surface charge and oxidative stress in cytotoxicity of organic monolayer-coated silicon nanoparticles towards macrophage NR8383 cells. Part. Fibre Toxicol.* **7**, 25 (2010).
25. Hernández-Caselles, T., Villalaín, J. & Gómez-Fernández, J. C. *Influence of liposome charge and composition on their interaction with human blood serum proteins. Mol. Cell. Biochem.* **120**, 119–126 (1993).

**4. In this study, the niosomes are administered orally, this makes the liver a primary target via the portal circulation. It should have been helpful to determine the change in the number of Von Kupffer cells.**

**Answer:**

Many thanks for the comments. Inducing liver disease using NDEA can alter the number of Von Kupffer cells which are important components of the mononuclear phagocytic system and central to both hepatic and systemic responses to pathogens<sup>1</sup>. In cases of liver injury and hepatocellular necrosis, Kupffer cells, the main source of inflammatory mediators, are activated<sup>2</sup>. Kupffer cells express a variety of plasma membrane receptors that participate in the recognition and clearance of nanoparticles from the blood circulation<sup>3</sup>. The existence of this drug clearance mechanism will affect the level of a drug in the systemic circulation, the liver and its effectiveness. While this is, indeed, important, in this study, the main focus is on the extent of liver damage due to carcinogenic induction which is evident from changes in the SGOT and SGPT levels as well as

liver histopathology. In future research, it will be necessary to conduct observations regarding this issue. In addition, this evaluation will require more time due to the numerous samples stored in our laboratory for subsequent analysis in the post-pandemic context.

1. Dixon, L. J. et al. *Kupffer Cells in the Liver. Compr Physiol.* **3**, 785–797 (2016).
2. Kolios, G., Valatas, V. & Kouroumalis, E. *Role of Kupffer cells in the pathogenesis of liver disease. World J. Gastroenterol.* **12**, 7413–7420 (2006).
3. Haroon, H. B., Hunter, A. C., Farhangrazi, Z. S. & Moghimi, S. M. *A brief history of long circulating nanoparticles. Adv. Drug Deliv. Rev.* **188**, 114396 (2022).

**5. In the introduction, the authors stated that "Chitosan can open the tight junctions of epithelial cells". I wonder what the authors might think about the effect chitosan might have on the tight junctions between hepatocytes.**

**Answer:**

Many thanks for the comment. We have added some statements in the discussion section as the following:

Line 317-328: It has been reported that Chitosan induces transient tight junction opening by translocating the membrane's tight junction protein claudin-4 (Cldn4) into the cytoskeleton followed by its degradation in lysosomes<sup>41,42</sup>. Cldn4 has been recognised as a protein responsible for cell adhesion, polarity and paracellular permeability<sup>43</sup>. Intracellular redistribution results in the weakening of the tight junction leading to the opening of the cells<sup>41,42</sup>. On the other hand, it has been reported that Cldn4 is not expressed in normal hepatocytes. However, its expression is increased due to fibrosis, rather than inflammatory condition, of severe liver injury<sup>44</sup>, which this gene expression correlates with differentiation of progenitor cells into mature hepatocytes. This study also reported that its expression was not found in cases of hepatocellular carcinoma. Therefore, chitosan's effects on hepatocyte permeability and the drug's penetration into deeper damaged liver tissue are still questionable, need to be further explored.

41. Smith J, Wood E, Dornish M. *Effect of chitosan on epithelial cell tight junctions. Pharm Res.* 2004 Jan;21(1):43-9. doi: 10.1023/b:pham.0000012150.60180.e3. PMID: 14984256.

42. Ho YC, Sung HW. *Mechanism and consequence of chitosan-mediated reversible epithelial tight junction opening. Biomaterials.* 2011 Sep;32(26):6164-73. doi: 10.1016/j.biomaterials.2011.03.056. PMID: 21641031.

43. Lódi, C., Szabó, E., Holczbauer, A. et al. *Claudin-4 differentiates biliary tract cancers from hepatocellular carcinomas. Mod Pathol* **19**, 460–469 (2006). <https://doi.org/10.1038/modpathol.3800549>

44. Tsujiwaki M, Murata M, Takasawa A, Hiratsuka Y, Fukuda R, Sugimoto K, Ono Y, Nojima M, Tanaka S, Hirata K, Kojima T, Sawada N. *Aberrant expression of claudin-4 and -7 in hepatocytes in the cirrhotic human liver. Med Mol Morphol.* 2015 Mar;48(1):33-43. doi: 10.1007/s00795-014-0074-z. Epub 2014 Apr 16. PMID: 24737165.

**6. With the oral administration of the niosomes, how can authors explain the impact on the lungs, while no effect is seen on the heart?**

**Answer:**

Many thanks for the comment. we have added statements in the manuscript as the following:

Line 239-246: “NDEA is a well-known carcinogen that induces cancer of various organs in experimental animal subjects. Inducing liver cancer, NDEA can also result in lung adenocarcinoma<sup>28</sup>. Moreover, positively charged nanoparticles are also more easily taken up by lung cells, compared to neutral or negatively charged nanoparticles with the result that they can accumulate extensively in the lungs<sup>29</sup>. This may underlie the significant differences in the pulmonary organs, while in the heart, no changes were observed possibly due to differences in cell types and characteristics. However, further analysis of these organs is required.”.

**7. The manuscript needs further revision and typos to be corrected, for instance in the introduction section vesicular instead of "vascular" (page 3), span 60-cholesterol-UA instead of "60-cholesterol-UA span" in page 4, etc.**

**Answer:**

many thanks for the comments. we have revised the typos accordingly.

Line 69: it has been revised to “vesicular”

Line 86: it has been revised to “span 60-cholesterol-UA”

**REVIEWER 2**

**Reviewer Comments**

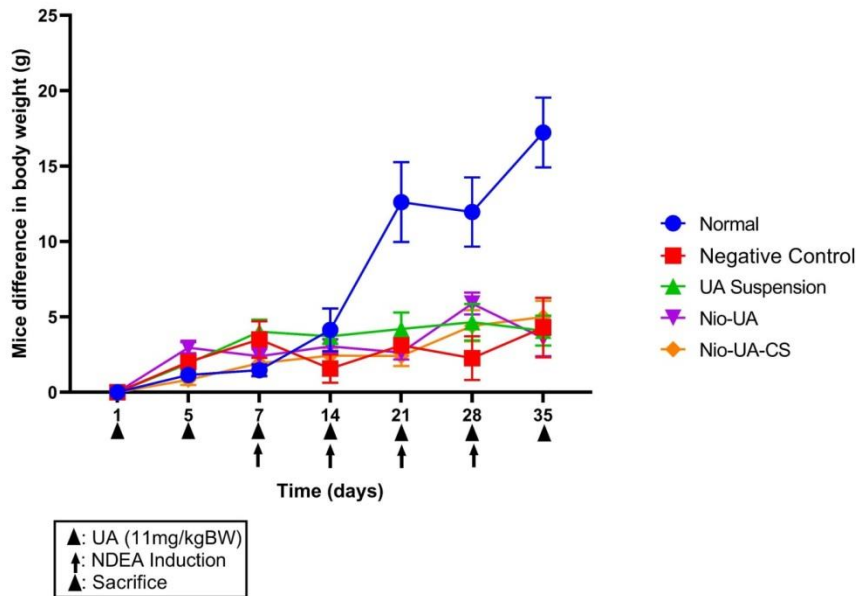
**Journal of Scientific Reports**

**Title: " The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver damage in mice induced by n-nitrosodiethylamine". The topic of this manuscript is appreciated in the field of liver treatment and is of great value if applied. It improves the idea of using niosomes and chitosan in the drug delivery according to their properties such as enhancing the cell permeability and drug bioavailability. However, the study needs minor revisions before publication.**

**1- Figure 2: It is difficult to trace the change because the shapes overlap with each other, and it is suggested to use different colors.**

**Answer:**

Many thanks for the comment. We have revised the figure as the following:



**Figure 2** The average difference in body weight of subjects that were treated orally six times with the equivalent of 11 mg UA/kgBW simultaneously with NDEA intraperitoneal induction four times at a dose of 25 mg NDEA/kgBW after which they were sacrificed.

## 2-In the part “Morphology and organ weight of mice induced with NDEA after administration of UA niosomes”,

**It would be better to make the sentences connected; it would be better to connect the paragraphs to understand the meaning better.**

### Answer:

Many thanks for the suggestion. We have revised the paragraphs as the following:

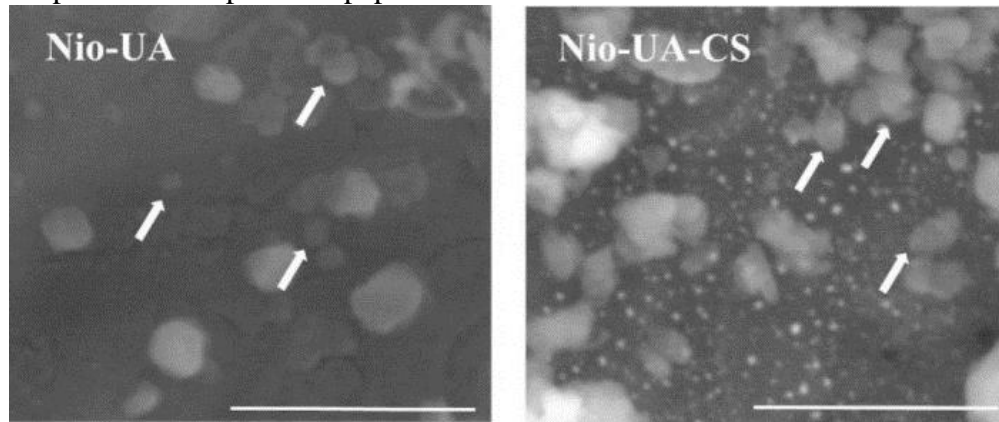
Line 127-144: “Each organ was photographed post-surgery to determine the qualitative comparison of the morphological organs of subjects in the normal group, the negative control group, the group that received UA, Nio-UA, and Nio-UA-CS suspension treatment. Pictures of complete organs of the normal group subjects, the negative control group subjects induced by NDEA, and the group subjects that received the suspension treatment of UA, Nio-UA, and Nio-UA-CS can be seen in **Figure 3A-G**. As it can be seen in **Figure 3A-E**, qualitative organ observations confirmed differences in the organs of normal subjects and those which had undergone NDEA induction. In the normal group, the liver surface was bright red and shiny in appearance. Meanwhile, in the negative control group induced by NDEA, a slight color change occurred and several nodules were visible on the surface of the liver, as presented in **Figure 3F-G**. This indicates that a 4-week period of NDEA induction damages liver cells.

Quantitatively, all the organs of each subject were weighed with each group members’ results being subsequently compared to determine if there was a significant difference. Data on the absolute and relative weight of each organ post-UA treatment and total NDEA induction for 28 days can be seen in **Figure 4A-E**. The results show that there were significant differences between groups in the normal group compared to the UA suspension and Nio-UA with regard to the liver and the UA suspension group compared to normal and Nio-UA-CS groups for the lungs.”

## 3- I would have preferred to photograph nanoparticles by TEM if possible.

**Answer:**

Many thanks for the comment. We have evaluated the morphology of niosomes through the use of SEM as reported in our previous paper.



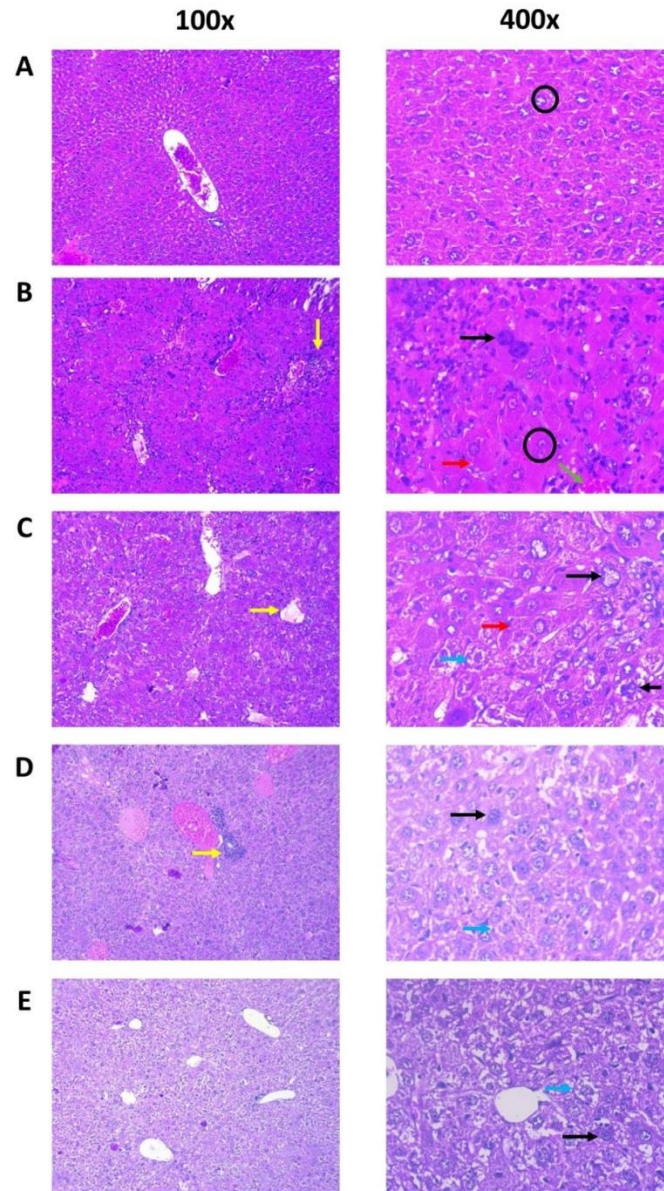
However, since we lacked the necessary facilities to undertake TEM analysis of these niosome samples, it was necessary for them to be sent to an external facility. However, when we contacted the laboratory in question, its equipment was still under maintenance and, consequently, we regret that we are unable to complete this step in the near future.

*Miatmoko A, Safitri SA, Aquila F, Cahyani DM, Hariawan BS, Hendrianto E, Hendradi E, Sari R. Characterization and distribution of niosomes containing ursolic acid coated with chitosan layer. Res Pharm Sci. 2021 Oct 15;16(6):660-673. doi: 10.4103/1735-5362.327512. PMID: 34760014; PMCID: PMC8562406.*

**4- Figure 6 & figure 7: The circles and arrows should be thicker.**

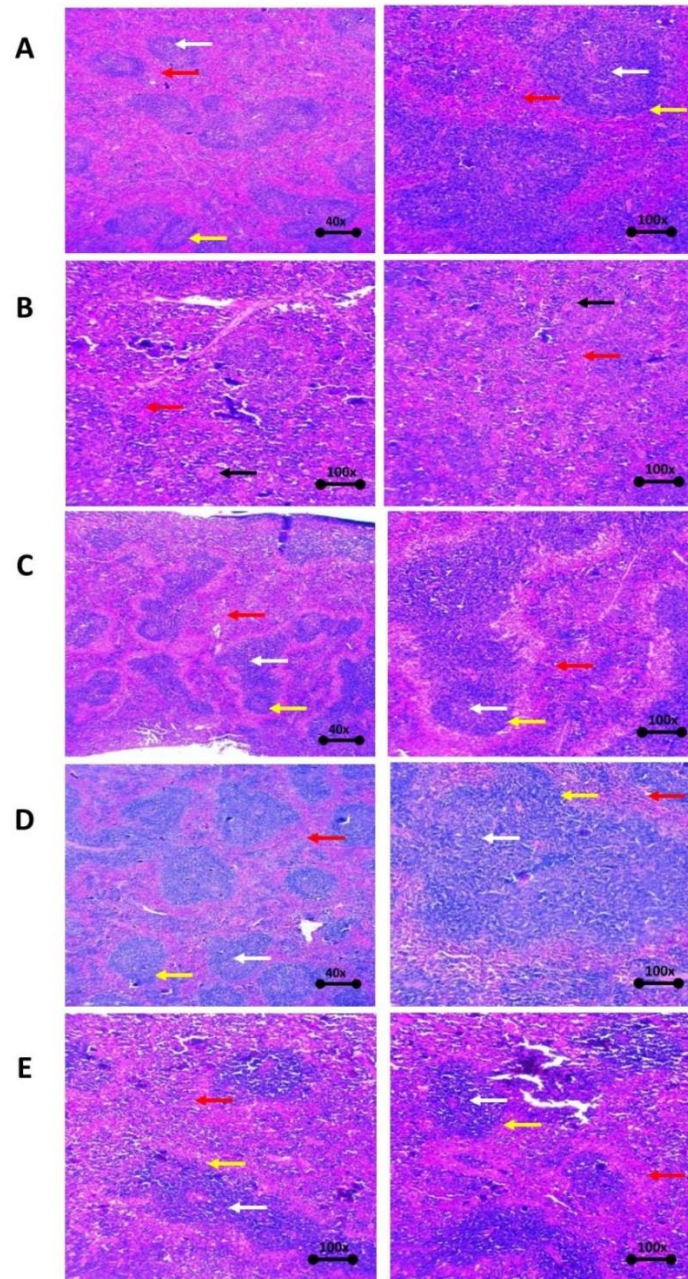
**Answer:**

Many thanks for the correction. We have revised the figures accordingly.



**Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at an equivalent dose of 11 mg UA/kgBW. Black circle = hepatic plate, black arrow = hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow = hemorrhage.





**Figure 7** Histopathological picture of the spleen of mice (A) Normal, (B) Negative control induced with 25 mg NDEA/kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS with an equivalent dose of 11 mg UA/kgBW with H&E staining. Red arrow = red pulp, white arrow = white pulp/germinal center, yellow arrow = marginal zone, black arrow = giant cell macrophage.

**Note :** In addition to some writing errors shown in the manuscript with yellow highlighting.

**Answer:**

We have revised the writing errors accordingly. However, we have not found the attached manuscript review, so we checked it by our team.

- Line 69: it has been revised to “vesicular”
- Line 86: it has been revised to “span 60-cholesterol-UA”

- Line 384: the AU has been revised to “The UA dose was equivalent to 11 mg UA/kgBW<sup>40</sup>”

- Line 408: the reference format has been revised to “treated subjects<sup>11</sup>.”

- Line 413: “the formula:<sup>54</sup>” has been revised to “ the formula<sup>50</sup>.”

- Line 419-423: we have changes the font size into 12

- We have revised the references:

17. Aquila, F. Pengaruh Penambahan Kitosan terhadap Karakteristik Fisikokimia dan Pelepasan Obat Niosom Asam Ursolat. (Universitas Airlangga, 2018).

18. Cahyani, D. M. Pengaruh pelapisan kitosan terhadap biodistribusi niosom asam ursolat yang dilabeli coumarin-6 pada mencit yang diinduksi n-nitrosodiethylamine. (2020).

19. Safitri, S. A. Pengaruh Rasio Span 60 – Kolesterol - Obat terhadap Karakteristik Fisikokimia Niosom Asam Ursolat. (Universitas Airlangga, 2018)

20. Priyambudi, P. Y. Pengaruh Pelapisan Kitosan Terhadap Kadar Niosom Asam Ursolat Dalam Plasma dan Liver yang Diberikan Peroral Pada Mencit yang Diinduksi N -Nitrosodietilamin. (Airlangga University, 2021).

with our published reports as the following:

17. Miatmoko A, Safitri SA, Aquila F, Cahyani DM, Hariawan BS, Hendrianto E, Hendradi E, Sari R. Characterization and distribution of niosomes containing ursolic acid coated with chitosan layer. *Res Pharm Sci.* 2021 Oct 15;16(6):660-673. doi: 10.4103/1735-5362.327512. PMID: 34760014; PMCID: PMC8562406.

- *Line 456*: the reference has been revised

11. Ali, S. A., Ibrahim, N. A., Mohammed, M. M. D., El-hawary, S. & Refaat, E. A. The potential chemo preventive effect of ursolic acid isolated from *Paulownia tomentosa* , against N-diethylnitrosamine : initiated and promoted hepatocarcinogenesis. *Heliyon* **5**, e01769 (2019).

- Line 498: the reference has been revised

27. Kwon, E., Shin, S. & Choi, M. Ursolic acid attenuates hepatic steatosis, fibrosis, and Insulin resistance by modulating the circadian rhythm pathway in diet-induced obese mice. *Nutrients* **10(11)**, 1719 (2018).

- Line 507: the reference has been revised

31. Sezgin-bayindir, Z., Onay-besikci, A., Vural, N. & Yuksel, N. Niosomes encapsulating paclitaxel for oral bioavailability enhancement: Preparation, characterization, pharmacokinetics and biodistribution. *J Microencapsul* **30(8)**,796-804 (2013).

- Line 526: the reference has been revised

39. Wang, L. *et al.* Nanoformulations of ursolic acid: A modern natural anticancer molecule. *Front. Pharmacol.* **12**,706121 (2021).

- We have deleted the double figure legend in manuscript draft

1 **The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver**  
2 **damage in mice induced by n-nitrosodiethylamine**

3  
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16 Running Title: The effectiveness of ursolic acid niosomes with chitosan coating

17  
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21

22

23 **Abstract**

24 Ursolic acid (UA) is a pentacyclic triterpene carboxylic acid which produces various effects,  
25 including anti-cancer, hepatoprotective, antioxidant and anti-inflammatory. However, UA  
26 demonstrates poor water solubility and permeability. Niosomes have been reported to  
27 improve the bioavailability of low water-soluble drugs. This study aimed to investigate the  
28 protective action of UA-niosomes with chitosan layers against liver damage induced by N-  
29 Nitrosodiethylamine (NDEA). UA niosomes were prepared using a thin layer hydration  
30 method, with chitosan being added by vortexing the mixtures. For the induction of liver  
31 damage, the mice were administered NDEA intraperitoneally (25 mg/kgBW). They were  
32 given niosomes orally (11 mg UA/kgBW) seven and three days prior to NDEA induction and  
33 subsequently once a week with NDEA induction for four weeks. The results showed that  
34 chitosan layers increased the particle sizes, PDI, and  $\zeta$ -potentials of UA niosomes. UA  
35 niosomes with chitosan coating reduced the SGOT and SGPT level. The histopathological  
36 evaluation of liver tissue showed an improvement with reduced bile duct inflammation and  
37 decreasing pleomorphism and enlargement of hepatocyte cell nuclei in UA niosomes with the  
38 chitosan coating treated group. It can be concluded that UA niosomes with chitosan coating  
39 improved the efficacy of preventive UA therapy in liver-damaged mice induced with NDEA.

40

41 **Keywords:** Preventive therapy, Cancer, Ursolic Acid, Niosomes, Liver Damage, N-  
42 Nitrosodiethylamine

43

#### 44 **Introduction**

45 Liver damage is the leading global cause of death. In 2017, 1.32 million deaths worldwide or  
46 2-4% of the annual total were due to liver cirrhosis <sup>1,2</sup>. Chemically-induced liver damage  
47 results from the metabolic transformation of chemicals into reactive intermediate compounds  
48 with the potential to change the structure and function of cellular macromolecules <sup>3</sup>. There  
49 are several causes of liver damage, one being exposure to carcinogenic chemicals such as N-  
50 nitrosodiethylamine (NDEA) which produces reactive oxygen species (ROS) causing  
51 oxidative stress and cellular destruction <sup>4</sup>. Reactive products and free radicals cause an  
52 increase in the serum index of liver function such as alanine transaminase (ALT) or serum  
53 glutamic-pyruvic transaminase (SGPT), aspartate aminotransferase (AST) or serum glutamic-  
54 oxaloacetic transaminase (SGPT), alkaline phosphatase (ALP), gamma-glutamyl transferase  
55 (GGT), and total bilirubin. In cases of severe histopathological lesions they cause neoplastic  
56 transformation <sup>5</sup>.

57 UA, a natural pentacyclic triterpenoid compound, has various pharmacological  
58 properties including anticancer, hepatoprotective, anti-angiogenesis, apoptosis induction,  
59 antioxidant and anti-inflammatory <sup>6,7</sup>. As an antioxidant, UA reduces oxidative stress,  
60 modulates the Receptor for Advanced Glycation End Products (RAGE) and decreases  
61 NADPH oxidase to prevent the formation of ROS <sup>8</sup>. UA also produces a hepatoprotective  
62 effect by maintaining the structural integrity of the liver, reducing high levels of bilirubin,  
63 stabilizing serum protein concentrations, and suppressing oxidative stress, inflammation, and  
64 apoptosis in the liver <sup>9,10</sup>. Oral administration of a 500 mg/kgBW dose of UA to subjects  
65 resulted in a reduction in SGOT and SGPT as well as improvement in liver histopathology <sup>11</sup>.

66 However, limitations on the oral use of UA, which belongs to class IV  
67 Biopharmaceutics Classification System (BCS) <sup>12</sup>, result from poor solubility and absorption.  
68 An effective drug delivery system is required to increase its solubility and dissolution.

69 Niosomes represent a vesicular bilayer system composed of non-ionic surfactants and  
70 cholesterol in the aqueous phase which can increase drug half-life, enhance stability, and  
71 deliver drugs to target organs in a controlled release <sup>13</sup>.

72 Chitosan, a natural polysaccharide, is a product of alkaline deacetylation of chitin <sup>14</sup>  
73 derived from the exoskeleton of crustaceans <sup>15</sup> and is widely employed because of its intrinsic  
74 polycation properties, low toxicity, and excellent biocompatibility. Modification of UA  
75 liposomes with chitosan coating can increase bioavailability, slow drug release in tumor  
76 tissue and reduce both dose and side effects. Chitosan can open the tight junctions of  
77 epithelial cells, thereby enabling a drug to pass easily through the epithelial membrane via the  
78 paracellular pathway <sup>15</sup>. Chitosan also possesses mucoadhesive properties as a result of ionic  
79 interactions between positively charged amino groups and negatively charged functional  
80 groups on the surface of epithelial cells provide a controlled release while also enhancing  
81 absorption in the gastrointestinal tract and intestinal permeability <sup>16</sup>. Therefore, it is expected  
82 that the modification of chitosan on the niosomal surface will enhance absorption in the  
83 gastrointestinal tract, promote UA niosome accumulation in the liver and increase  
84 bioavailability.

85 In our previous study, optimization of the UA niosome formula found the optimum  
86 physical stability in the span 60-cholesterol-UA formula with a mol percent ratio of 3:2:10 <sup>17</sup>.  
87 Characterization of UA reported that the presence of chitosan showed an increase in the  
88 physical stability of UA niosomes. Chitosan coating on UA niosomes affects their  
89 physicochemical properties which, in turn, causes an increase in particle size and a more  
90 positive zeta potential. Biodistribution evaluation with coumarin-6 labeling revealed that high  
91 fluorescence intensity of coumarin-6 indicates high levels of UA in plasma and liver, together  
92 with an increase in bioavailability.

93 In this study, the evaluation of the effectiveness of UA niosomes with chitosan coating  
94 as an orally administered *in vivo* therapy for the prevention of liver damage in NDEA-  
95 induced subjects was by means of serum levels of SGOT, SGPT, and liver tissue  
96 histopathology.

97

## 98 **RESULTS**

### 99 *Physical characteristics of UA niosomes*

100 Characteristic UA niosomes parameters include particle size, polydispersity index, and  $\zeta$ -  
101 potential. Measurements were taken from Nio-UA and Nio-UA-CS preparations. A graph of  
102 the characteristics of AU niosomes can be seen in **Figure 1A-C**.

103 UA niosomes with chitosan coating (Nio-UA-CS) experienced an increase in particle  
104 size from  $211.7 \pm 1.7$  nm (Nio-UA) to  $257.4 \pm 4.3$  nm. A significant difference also occurred  
105 in the PDI parameters where the presence of chitosan coating increased the PDI from  $0.337 \pm$   
106  $0.018$  to  $0.393 \pm 0.021$ . The  $\zeta$ -potential parameter of chitosan coating can also alter the  
107 charge from UA niosomes which was initially  $-26.6 \pm 0.2$  mV to  $-24.1 \pm 0.4$  mV. Based on a  
108 statistical analysis of the Independent T-Test conducted, the results were  $p < 0.001$  on the  
109 particle size parameter,  $p = 0.03$  on the PDI parameter, and  $p = 0.001$  on the  $\zeta$ -potential  
110 parameter, all three of which indicated a significant difference between Nio-UA and Nio-  
111 UA-CS.

112

### 113 **Evaluation of mice body weight**

114 The weight of the subjects in the five groups was recorded every week prior to treatment  
115 commencing. The average differences in their weight gain and loss can be seen in **Figure 2**.

116 The body weight profiles of the normal group subjects that had not been induced by NDEA  
117 were compared with those of the other four groups that were subjected to NDEA induction on

118 four occasions. The normal group subjects were observed to have experienced the most  
119 significant weight gain, while those in the negative control group that had been administered  
120 NDEA, but did not undergo UA treatment, demonstrated the smallest difference in body  
121 weight. Previous studies of liver inflammation using an NDEA-induced subject model also  
122 yielded a weight loss profile <sup>18</sup>. NDEA metabolism in the liver can produce ROS that induce  
123 oxidative stress resulting in DNA damage (33).

124

### 125 **Morphology and organ weight of mice induced with NDEA after administration of UA** 126 **niosomes**

127 Each organ was photographed post-surgery to determine the qualitative comparison of the  
128 morphological organs of subjects in the normal group, the negative control group, the group  
129 that received UA, Nio-UA, and Nio-UA-CS suspension treatment. Pictures of complete  
130 organs of the normal group subjects, the negative control group subjects induced by NDEA,  
131 and the group subjects that received the suspension treatment of UA, Nio-UA, and Nio-UA-  
132 CS can be seen in **Figure 3A-G**. As it can be seen in **Figure 3A-E**, qualitative organ  
133 observations confirmed differences in the organs of normal subjects and those which had  
134 undergone NDEA induction. In the normal group, the liver surface was bright red and shiny  
135 in appearance. Meanwhile, in the negative control group induced by NDEA, a slight color  
136 change occurred and several nodules were visible on the surface of the liver, as presented in  
137 **Figure 3F-G**. This indicates that a 4-week period of NDEA induction damages liver cells.

138 Quantitatively, all the organs of each subject were weighed with each group  
139 members' results being subsequently compared to determine if there was a significant  
140 difference. Data on the absolute and relative weight of each organ post-UA treatment and  
141 total NDEA induction for 28 days can be seen in **Figure 4A-E**. The results show that there  
142 were significant differences between groups in the normal group compared to the UA



143 suspension and Nio-UA with regard to the liver and the UA suspension group compared to  
144 normal and Nio-UA-CS groups for the lungs.

145

#### 146 **Evaluation of SGOT-SGPT levels of mice induced with NDEA after administration of** 147 **UA niosomes**

148 The results of measuring the levels of SGOT and SGPT in the blood serum of subjects in the  
149 normal group, negative control, UA suspension, Niosom UA (Nio-UA), and Niosom UA with  
150 chitosan coating (Nio-UA-CS) can be seen in **Figure 5**. Based on these results, the  
151 administration of Nio-UA and Nio-UA-CS can be seen to restore relatively normal serum  
152 SGOT and SGPT levels.

153

#### 154 **Histopathology evaluation of liver and spleen mice induced with NDEA after** 155 **administration of UA niosomes**

156 The results of microscope observation of liver tissue can be seen in **Figure 6**. In this study, in  
157 order to further develop the effectiveness of UA niosomes with or without chitosan coating,  
158 histopathological analysis of liver and spleen tissue was carried out. Prior to observations  
159 being conducted, the tissue was stained with H&E to turn the extracellular matrix and  
160 cytoplasm pink, while the cell nucleus was highlighted in blue. The results of observations of  
161 subjects' liver tissue preparations can be seen in **Table 2**.

162 Parameters observed in this liver tissue include lobulation, bleeding, neutrophil  
163 infiltration and dysplastic hepatocytes. **Figure 6A**, which relates to a normal group, contains  
164 normal lobules with normal hepatic plate, uniform cell nucleus size and normal chromatin  
165 distribution. No bleeding, neutrophil infiltration and dysplastic hepatocytes were detected. In  
166 **Figure 6B**, the negative control experienced significant inflammatory cell infiltration, unclear  
167 hepatic plate, and erythrocytes outside the blood vessels which is a symptom of bleeding

168 (green arrow). Moreover, pleomorphic nuclei and hyperchromatin, which are indicative of  
169 cancer cells, are present indicating that this group is at the initiation stage because the other  
170 cell nuclei remain normal. In **Figure 6C**, the NDEA group induced with UA suspension  
171 treatment presented more portal veins, while darker nuclei thought to be due to necrosis, no  
172 proliferation of cells, swelling of cells, enlarged cell nuclei and cytoplasmic eosinophil  
173 granules, were indicative of it still being in the initiation phase. In **Figure 6D**, the NDEA-  
174 induced group subjected to Nio-UA treatment was found to have normal recognizable liver  
175 architecture, while in some preparations hyperchromatin nuclei were observed, inflammation  
176 occurred around the bile ducts and hepatocyte degeneration ensued (ballooning  
177 degeneration). From **Figure 6E**, containing the NDEA-induced group with Nio-UA-CS  
178 treatment, normal liver architecture can clearly be recognized, several hyperchromatin nuclei,  
179 mild inflammation/neutrophil infiltration in the bile ducts, and hepatocyte degeneration  
180 (ballooning degeneration) can be observed.

181 . The comparative observation results relating to spleen tissue viewed through a  
182 microscope of the normal group, the negative control group, suspensions of AU, Nio-UA,  
183 and Nio-UA-CS can be seen in **Figure 7**. The observation results of spleen tissue  
184 preparations of the subjects can be seen in **Table 3**. The parameters observed in the spleen  
185 tissue include density, germinal center or white pulp, neutrophil infiltration, and trabeculae.  
186 In the normal group (**Figure 7A**), under normal density conditions, the white pulp was clearly  
187 demarcated with red pulp, normal germinal centers and trabeculae and no neutrophil  
188 infiltration. In the negative control group (**Figure 7B**), while a decrease in the number of  
189 follicles, but no germinal center, was observable, there was an increase in macrophages (giant  
190 cells). However, the continued absence of hyperplasia obviated significant damage to the  
191 spleen caused by NDEA induction. In group induced by NDEA with UA suspension  
192 treatment (**Figure 7C**), an increase in the number of germinal centers and marginal

193 proliferation of white pulp lymphoid occurred, indicating the possibility of activation in  
194 lymphoid tissue. In group induced by NDEA with Nio-UA treatment (**Figure 7D**), a  
195 proliferation of white pulp lymphoid tissue was observed, indicating the additional possibility  
196 of activation in lymphoid tissue. In group induced by NDEA with Nio-UA-CS treatment  
197 (**Figure 7E**), mild neutrophil infiltration, marginal proliferation of white pulp lymphoid and  
198 an increase in the number of germinal centers was observed indicating the possibility of  
199 lymphoid tissue activation.

200

## 201 **Discussion**

202 The increase in particle size of chitosan-coated UA niosomes was due to the fact that chitosan  
203 had formed a hydrophilic shell on the niosomal surface through electrostatic interaction <sup>15,19</sup>.  
204 Although the particle size increased, coating chitosan on UA niosomes can enhance its  
205 effectiveness. It is estimated that, in the presence of chitosan, drug transport can be effected  
206 through two pathways, namely; direct cell membranes and paracellular pathways <sup>15</sup>.  
207 However, with the addition of chitosan, the value of the polydispersity index (PDI) also  
208 increased. The homogeneity criteria for samples with lipid-based carriers was that of PDI <  
209 0.3<sup>20</sup>. The PDI value of Nio-UA remained approximately 0.3 which indicated a relatively  
210 homogeneous size distribution. However, chitosan coating significantly increased the PDI  
211 value possibly due to the addition of chitosan forming a polymer layer on the surface of the  
212 random vesicles <sup>19,21</sup>. Zeta potential is a detection index of electric charge on the particle  
213 surface. *In vivo*, it can influence the distribution of niosomes, while it is thought that *in vitro*  
214 it might contribute to the physical stability of niosomes by reducing the rate of aggregation  
215 and fusion <sup>15</sup>. The addition of chitosan can significantly mitigate the negative properties of  
216 Nio-UA due to the electrostatic interaction between the positive charge on chitosan and the  
217 negative charge on UA <sup>15,21</sup>. Surface charge has been reported as affecting *in vivo* drug

218 distribution. Several studies have revealed that positively charged nanoparticles show higher  
219 phagocytic and cellular uptake than negatively, neutrally charged, and PEGylated  
220 nanoparticles<sup>22,23</sup>. The positively charged nanoparticle will be endocytosized through clathrin  
221 receptors, while the negatively charged nanoparticles are primarily internalized via caveolin  
222 receptors<sup>23</sup>. However, other research into the bioavailability studies of nanoparticles has  
223 indicated that their negative charge increases the macrophage uptake more significantly than  
224 that of positively charged nanoparticles, thereby potentially reducing the effectiveness of  
225 nanodrug delivery<sup>24</sup>. Opsonin serum protein binding with negatively charged nanoparticles  
226 seems to occur to a higher degree than that of positively charged nanoparticles.  
227 Consequently, negatively charged nanoparticles are covered more extensively by opsonin  
228 proteins with greater stimulation of the phagocytosis by macrophages<sup>25</sup>.

229 Data on the weight of each organ indicated a reduced mean relative weight of the liver  
230 in the members of the four NDEA-induced groups compared to those of the normal group.  
231 Induction of NDEA causes hepatic degeneration that generally reflects loss of function  
232 associated with hepatocellular atrophy and injury<sup>18</sup>. A significant difference in relative liver  
233 weight occurred in the normal group compared to the UA and Nio-UA suspensions. In  
234 previous *in vivo* studies, administration of UA was known to reduce liver weight. UA can  
235 effectively relieve hepatic steatosis and reduce adipocyte size in the epididymis and decrease  
236 total cholesterol and triglycerides in the liver and plasma of subjects<sup>26,27</sup>. In this study,  
237 NDEA-induced subjects did not present a difference in relative spleen weight compared to  
238 members of the normal group.

239 NDEA is a well-known carcinogen that induces cancer of various organs in  
240 experimental animal subjects. Inducing liver cancer, NDEA can also result in lung  
241 adenocarcinoma<sup>28</sup>. Moreover, positively charged nanoparticles are also more easily taken up  
242 by lung cells, compared to neutral or negatively charged nanoparticles with the result that

243 they can accumulate extensively in the lungs <sup>29</sup>. This may underlie the significant differences  
244 in the pulmonary organs, while in the heart, no changes were observed possibly due to  
245 differences in cell types and characteristics. However, further analysis of these organs is  
246 required.

247 The SGOT and SGPT levels in serum in the negative control group were recorded as  
248 higher than that in normal group. This indicates that the administration of NDEA 25  
249 mg/kgBW to negative control group members on four occasions caused liver damage  
250 characterized by increased levels of SGOT and SGPT in blood serum. SGOT and SGPT are  
251 enzymes sensitive to liver cell damage which are predominantly contained in liver cells and,  
252 to a lesser extent, in muscle cells. Exposure to toxic substances causes a change in the  
253 permeability of the liver cell membrane resulting in damage or leakage, as a result of which  
254 the liver cells will release the enzymes they contain into the blood circulation, thereby  
255 increasing the levels of SGOT and SGPT and signaling liver disease <sup>30</sup>.

256 The levels of SGOT and SGPT in the negative control group were also higher than  
257 those in the Nio-UA and Nio-UA-CS groups. SGOT levels showed a significant difference  
258 ( $P < 0.05$ ) while SGPT levels did not demonstrate a significant difference ( $P > 0.05$ ) in the Nio-  
259 AU and Nio-UA-CS groups compared to the negative control group. This indicates that the  
260 administration of Nio-UA and Nio-UA-CS produces a hepatoprotective effect by reducing  
261 the release of SGOT and SGPT into the blood compared to UA suspension. A previous study  
262 of *in vivo* test results relating to paclitaxel niosomes indicated that the plasma drug  
263 concentration was higher in the paclitaxel niosome group than in the paclitaxel suspension  
264 group <sup>31</sup>. Oral use of niosomes can improve permeation and bioavailability, solubility of  
265 hydrophobic drugs, drug accumulation in the liver and controlled and targeted drug release <sup>32</sup>.  
266 The SGOT level in the Nio-UA-CS group was lower than that of the Nio-UA group. The  
267 presence of chitosan can induce a greater effect marked by the release of fewer SGOT

268 enzymes. This finding supports those of previous studies regarding the modification of UA  
269 liposomes with chitosan coating increasing bioavailability, slowing drug release in tumor  
270 tissue, and reducing dosage and potential side effects. This can happen because chitosan  
271 opens tight junctions in epithelial cells and allows drug to pass freely through epithelial cells  
272 via paracellular pathways <sup>15</sup>. Chitosan also induces mucosal adhesion through ionic  
273 interactions between positively charged amino groups and negatively charged functional  
274 groups on the surface of epithelial cells, thereby providing controlled release and absorption  
275 in the gastrointestinal tract <sup>16</sup>. Chitosan has good mucoadhesive properties that can prolong  
276 the residence time of the drug in the gastrointestinal tract. Under acidic conditions, chitosan  
277 will trigger the opening of tight junctions between epithelial cells and facilitate paracellular  
278 transport of niosomes <sup>15</sup>. Therefore, the nanoparticle system in the presence of chitosan  
279 coating can effectively improve oral absorption. There is still no information regarding the  
280 effect of chitosan on tight junctions in hepatocytes

281 The levels of SGOT and SGPT in the UA suspension group were higher than in the  
282 negative control group, although they did not differ significantly. This is possible because the  
283 dose of 11 mg UA/kgBW administered is less effective if in the form of a suspension. The  
284 use of niosomes can overcome the problem of low drug solubility in water, thereby reducing  
285 drug dosage <sup>33</sup>. Previous research into the use of UA in the prevention of liver fibrosis due to  
286 CCl<sub>4</sub> induction found optimal protection through the administration of UA at a dose of  
287 50mg/kgBW in distilled water containing 0.1% Tween 80 <sup>10,34</sup>. Moreover, this is feasible due  
288 to the difference in the amount of UA taken because the UA suspension is insoluble.  
289 Consequently, there is a possibility that the preparation is not homogeneous, while the  
290 niosomes are more evenly dispersed than the suspension.

291 An analysis of the study results confirmed that the levels of SGOT and SGPT  
292 parameters in the Nio-UA and Nio-UA-CS groups were lower than in the normal group,

293 although not significantly different. The lower the level, the healthier the condition of the  
294 liver <sup>35</sup>. In terms of further research, if experimental subjects are used, it is preferable to  
295 complete a sampling to check the levels of SGOT and SGPT before the subjects are treated to  
296 ensure that their initial condition is healthy.

297         It is evident from these observations that the administration of Nio-UA-CS can reduce  
298 inflammation, pleomorphism, dysplasia, and enlargement of hepatocyte cell nuclei in mice  
299 liver. These results indicate that the administration of chitosan to UA niosomes increases the  
300 anti-inflammatory and anticancer activity of UA <sup>11</sup>. This finding is consistent with those of  
301 previous studies regarding CS modification of liposomes which resulted in increased drug  
302 activity of UA liposomes and enhanced antitumor drug efficacy <sup>15</sup>. Liver histopathology  
303 observations were linear with the results of SGOT and SGPT levels indicating that the  
304 optimum repair of liver damage occurred in the Nio-UA-CS group followed by Nio-UA and,  
305 finally, UA suspension.

306         Spleen histopathology was also observed in the course of this study. Conventional  
307 nanoparticles are known to be trapped by RES, most of which will migrate to the liver and  
308 spleen <sup>36</sup>. Liposomes and lipid nanocarriers larger than 100-150 nm can be taken up by  
309 phagocytes. Monocytes, macrophages and neutrophils are phagocytes. The majority of these  
310 phagocytes reside in the liver and spleen for subsequent elimination <sup>20</sup>

311         The administration of Nio-UA-CS indicates lymphoid tissue activation. Such  
312 activation is correlated with an increase in immune system activity <sup>37</sup> which can protect the  
313 body from non-self-pathogens or cancer cells by destroying them <sup>38</sup>. In a previous study on  
314 UA nanoparticles with chitosan coating as folate-targeting, the preparation was shown to  
315 enhance tumor inhibition and promote an immune-boosting more effectively than free UA  
316 <sup>39,40</sup>.

317 It has been reported that Chitosan induces transient tight junction opening by  
318 translocating the membrane's tight junction protein claudin-4 (Cldn4) into the cytoskeleton  
319 followed by its degradation in lysosomes<sup>41,42</sup>. Cldn4 has been recognised as a protein  
320 responsible for cell adhesion, polarity and paracellular permeability<sup>43</sup>. Intracellular  
321 redistribution results in the weakening of the tight junction leading to the opening of the cells  
322<sup>41,42</sup>. On the other hand, it has been reported that Cldn4 is not expressed in normal  
323 hepatocytes. However, its expression is increased due to fibrosis, rather than inflammatory  
324 condition, of severe liver injury<sup>44</sup>, which this gene expression correlates with differentiation  
325 of progenitor cells into mature hepatocytes. This study also reported that its expression was  
326 not found in cases of hepatocellular carcinoma. Therefore, chitosan's effects on hepatocyte  
327 permeability and the drug's penetration into deeper damaged liver tissue are still  
328 questionable, need to be further explored.

329 Chitosan coating on UA niosomes can improve the physical morphology of the liver,  
330 resulting in the relative weight of the liver and lung organs which are relatively the same as  
331 the normal group and there is no significant difference in the difference in body weight.  
332 Chitosan coating on UA niosomes can increase the effectiveness of UA as a therapy to  
333 prevent liver damage in subjects induced by N-Nitrosodiethylamine in terms of  
334 histopathological parameters of liver tissue which are relatively more normal than negative  
335 controls. Chitosan coating on UA niosomes can increase the effectiveness of UA as a therapy  
336 to prevent liver damage in mice induced by N-Nitrosodiethylamine in terms of decreasing  
337 serum levels of SGOT and SGPT.

338

## 339 **METHODS**

### 340 *Preparation of UA Niosomes*



341 Preparation of niosomes was conducted using a thin layer hydration method with a formula  
342 composition referred to previous studies as shown in **Table 1**<sup>17</sup>. UA (sigma-Aldrich, Tokyo,  
343 Japan) solution in methanol, span 60 (Wako Pure Chemical Industries, Ltd., Osaka, Japan),  
344 and cholesterol (Wako Pure Chemical Industries, Ltd., Osaka, Japan) in chloroform (Merck,  
345 Darmstadt, Germany) were mixed in a round bottom flask. The organic solvents were then  
346 heated in a rotary vacuum evaporator at a temperature of 60°C until they had all evaporated  
347 and a thin lipid layer was formed. This layer was hydrated using 2 ml PBS solution pH 7.4 at  
348 60°C for one hour<sup>17</sup>. Sonication was carried out with a water bath sonicator to form niosomes  
349 in order to reduce the size of the vesicles. Dissolving chitosan (Biotech, Cirebon, Indonesia)  
350 in 0.1 M acetic acid produced 0.1% chitosan solution which was subsequently diluted using  
351 distilled water to obtain a solution of 0.005% v/v chitosan which was added to the UA  
352 niosomal suspension. The addition was completed by mixing 40 µl of chitosan solution with  
353 400 µl of niosomal samples before vortexing for ten seconds.

354

### 355 *Physical characterizations of UA Niosomes*

356 Approximately 100 µL niosomes was diluted in 2mL aqua demineralization with particle size  
357 and PDI measurements subsequently being completed by the Dynamic Light Scattering  
358 method using Malvern Zetasizer Instruments (Malvern Panalytical, UK). Furthermore, 100  
359 µL niosomes were also taken diluted in 2 mL aqua demineralization ζ-potential measured  
360 using the Electrophoresis Light Scattering method with Malvern Zetasizer Instruments  
361 (Malvern Panalytical, UK). The evaluation was completed three times for each of the Nio-  
362 UA and Nio-UA-CS samples.

363

### 364 *In vivo efficacy evaluation of UA niosomes in mice induced with NDEA*

365 The use of experimental animals in this research was approved following an ethical  
366 feasibility test conducted on April 1, 2022 at the Faculty of Veterinary Medicine, Universitas  
367 Airlangga by the Faculty's Research Ethics Commission through the issuance of Certificate  
368 of Ethics Eligibility No. 2.KEH.035.04.2022. All methods were performed in accordance  
369 with ARRIVE guidelines and relevant regulations <sup>45</sup>. In this study, 6-week-old male mice  
370 (*Mus musculus*) Balb/c represented the subjects. Determination of the number of sample  
371 replications employed the Federer's Formula. Five randomly selected subjects formed the  
372 members of each treatment group. The negative control group was treated by means of  
373 NDEA i.p. injection for four weeks, while PBS pH 7.4 was administered orally during sample  
374 treatment.

375

#### 376 ***Induction of liver damage of mice by NDEA injection***

377 Induction of liver damage in subjects was achieved through the intraperitoneal administering  
378 of a 25 mg/kgBW dose of NDEA (sigma-Aldrich, Tokyo, Japan) <sup>46</sup> once a week for four  
379 weeks. Evaluation of the resulting liver damage was effected by recording the subjects' body  
380 weight on a weekly basis during the test period to identify any increase or decrease.

381

#### 382 ***Administration of UA niosomes into mice induced with NDEA***

383 Subjects were given drugs, including UA suspension in 0.5% CMC Na, Nio-UA, and Nio-  
384 UA-CS, according to whichever group they belonged. The UA dose was equivalent to 11 mg  
385 UA/kgBW <sup>40</sup>. The drug was administered orally using a needle probe seven and three days  
386 before NDEA induction and was continued once a week together the intraperitoneal induction  
387 of NDEA at a dose of 25 mg/kgBW for the subsequent four weeks.

388

389 ***SGOT and SGPT evaluation of mice induce with NDEA after administration of UA***  
390 ***niosomes***

391 After the final UA preparation had been administered, the subjects were left for seven days  
392 before their organs were surgically removed. Having been given intraperitoneal anesthesia in  
393 the form of a 10 mg/kgBW dose of ketamine, a blood sample was taken from the inferior  
394 vena cava, inserted into test tubes and centrifuged at 6000 g x force for 15 minutes at 4°C to  
395 obtain serum whose levels of SGOT and SGPT was then determined using the International  
396 Federation of Clinical Chemistry and Laboratory Medicine (IFCC) 37 method. The decrease  
397 in SGOT and SGPT levels was determined from comparisons between each treatment group  
398 and the control group. The SGOT and SGPT levels were determined by enzymatic reaction  
399 kinetic method. The reagents used were ready-to-use reagents consisting of AST (GOT) and  
400 ALT (GPT) reagents <sup>47</sup>.

401 ***Histopathological evaluation of liver and spleen of mice induce with NDEA after***  
402 ***administration of UA niosomes***

403 Following extraction of the blood sample, the subjects' spines were dislocated. The subjects  
404 were dissected and their livers immediately removed, rinsed with normal saline, and dry  
405 wiped with a tissue or filter paper, before finally being weighed, photographed and  
406 morphologically examined. The liver sections were fixed in 10% neutral buffered formalin  
407 and then stained with haematoxylin and eosin (H&E staining) for further histological analysis  
408 of the differences in appearance between the livers of the normal and **treated subjects** <sup>11</sup>.  
409 Changes in lobular architecture, bleeding, neutrophilic infiltration, and dysplastic hepatocytes  
410 on histopathological preparations of liver tissue were observed by means of light microscopy  
411 <sup>48,49</sup>. To evaluate the organ weight of the subjects, quantitatively each organ of mice in each  
412 group was weighed. Because overall body weight affects the weight of individual organs, the  
413 relative weight of the livers was calculated using the formula <sup>50</sup>:

414 
$$\text{Relative Weight} = \frac{\text{Absolute organ weight (g)}}{\text{Body Weight (g)}} \times 100\%$$

415 The calculation results relating to the relative weight of the organs in the treatment group  
416 were then compared with those of the normal and negative control groups to determine  
417 whether significant differences existed.

418

419 **Statistical analysis**

420 The quantitative data represent the average and standard deviation of sample measured in  
421 replications. A statistical analysis was performed using the one-way variant analysis  
422 (ANOVA) method followed by a Post Hoc Tukey HSD test. The *P value* < 0.05 is considered  
423 as a significant difference between the results.

424

425

426

427 **Data Availability**

428 The datasets used and/or analysed during the current study available from the corresponding  
429 author on reasonable request.

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432 future challenges to delivering quality health care. *PLoS One* **16**, (2021).
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555

556

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563 the version to be published; 4) Agreement to be accountable for all aspects of the work in ensuring  
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601

#### 602 **Ethical Conduct of Research Statement**

603 The animal study procedures were performed in accordance with the ethical clearance issued by The  
604 Ethics Commission of Faculty of Veterinary Medicine, Universitas Airlangga (Certificate number  
605 2.KEH.035.04.2022 dated April 1, 2022)

606

607 **Competing Interest**

608 The authors declare no competing interest

609

610

611 **Figure 1.** Average (A) particle size, (B) polydispersity index, (C)  $\zeta$ -potential of Nio-UA and  
612 Nio-UA-CS. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

613

614 **Figure 2.** The average difference in body weight of subjects that were treated orally six times  
615 with the equivalent of 11 mg UA/kgBW simultaneously with NDEA intraperitoneal induction  
616 four times at a dose of 25 mg NDEA/kgBW after which they were sacrificed.

617

618 **Figure 3.** Morphology of the heart, lungs, liver, spleen, and kidneys in group (A) of normal  
619 subjects with PBS pH 7.4 and oral administration; (B) ip-induced negative control 25 mg  
620 NDEA/kgBW with PBS pH 7.4; induced ip 25 mg NDEA /kgBW with (C) UA suspension  
621 (D) Nio-UA (E) Nio-UA-CS which is equivalent to 11 mg UA/kgBW. Differences in liver  
622 morphology in the (F) normal and (G) negative control groups induced by NDEA at a dose of  
623 25 mg/kgBW.

624

625 **Figure 4.** Graph of the relative weight of organs (A) liver, (B) spleen, (C) lungs, (D) kidney,  
626 (E) heart in the normal group and the group which had been NDEA induced with a dose of 25  
627 mg/kgBW and UA suspension treatment, Nio -UA, and Nio-UA-CS which is equivalent to 11  
628 mg UA/kgBW. \* $p < 0.05$ ; \*\* $p < 0.01$ .

629

630 **Figure 5.** Graph of the average SGOT and SGPT levels in the normal group and the NDEA-  
631 induced group at a dose of 25 mg/kgBW with suspension UA, Nio-UA, and Nio-UA-CS  
632 treatments which were equivalent to 11 mg UA/kgBW. The data displayed is the mean  $\pm$  SD  
633 (n=4).

634

635 **Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control  
636 induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at  
637 an equivalent dose of 11 mg UA/kgBW. Black circle = hepatic plate, black arrow =  
638 hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow  
639 = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow =  
640 hemorrhage.

641

642 **Figure 7** Histopathological picture of the spleen of mice (A) Normal, (B) Negative control  
643 induced with 25 mg NDEA/kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS with  
644 an equivalent dose of 11 mg UA/kgBW with H&E staining. Red arrow = red pulp, white  
645 arrow = white pulp/germinal center, yellow arrow = marginal zone, black arrow = giant cell  
646 macrophage.

647

648

649 **Table 1** Ursolic Acid Niosome Formulation

Formulation	Component (mol ratio)			Chitosan
	Span 60	Cholesterol	UA	
Nio-UA	60	40	10	-
Nio-UA-CS	60	40	10	+

650 Note:

651 UA : Ursolic Acid

652 CS : Chitosan

653 (-) : Without chitosan addition

654 (+) : With chitosan addition

655



**Table 2.** Observation of histopathological liver preparations of subjects in the normal group, negative control, suspension of UA, Nio-UA, and Nio-UA-CS equivalent to a dose of 11 mg UA/kgBW.

Group	Parameter			
	Lobulation	Hemorrhage	Neutrophil infiltration	Dysplastic Hepatocytes
Normal	Normal (approximately 40% experience mild degeneration/cloudy swelling)	Negative	Negative (approximately 40% present symptoms of mild port hepatitis)	Negative
Negative control	<ul style="list-style-type: none"> <li>• Enlargement of the hepatocellular plate</li> <li>• Hepatic plate not clear</li> <li>• Hepatocytes with severe hydropic degeneration (ballooning degeneration)</li> </ul>	Mild to moderate around the central vein	<ul style="list-style-type: none"> <li>• Moderate porta hepatitis</li> <li>• Several microabscess foci</li> <li>• Giant cells</li> </ul>	<ul style="list-style-type: none"> <li>• Visible enlargement and size of the nucleus varies and hyperchromatic nuclei</li> <li>• Eosinophilic granule cytoplasm</li> <li>• Proliferation of biliary duct epithelium</li> </ul>
UA suspension	<ul style="list-style-type: none"> <li>• Enlargement of the hepatocellular plate</li> <li>• Hepatic plate not clear</li> <li>• Hepatocytes with moderate to severe hydropic degeneration</li> <li>• Necrotic biliary ducts epithelium</li> </ul>	Negative	Mild portal hepatitis was diagnosed (33%) intralobular neutrophil infiltration (50%)	<ul style="list-style-type: none"> <li>• Visible hepatocyte nucleus enlargement</li> <li>• Eosinophilic granule cytoplasm</li> <li>• Proliferation of biliary duct epithelium (17%)</li> </ul>
Nio-UA	<ul style="list-style-type: none"> <li>• Normal liver architecture remains recognizable</li> <li>• Mild-severe hydropic degeneration</li> </ul>	Negative	Neutrophil infiltration around the bile ducts (pericholangitis)	Cells with hyperchromatic nuclei are observed
Nio-UA-CS	<ul style="list-style-type: none"> <li>• Normal liver architecture remains recognizable</li> <li>• Hepatocytes with severe hydropic degeneration</li> </ul>	Negative	Mild infiltration of the bile ducts (many are normal)	Several cells with large hyperchromatic nuclei were observed

**Table 3.** Observations of spleen histopathological preparations of mice in the normal group, negative control, UA suspension, Nio-UA, and Nio-UA-CS equivalent to a dose of 11 mg UA/kgBW.

Group	Parameter			
	Density	White pulp/Germinal center	Neutrophil Infiltration	Trabecular
Normal	Normal	Normal	Negative	Normal
Negative control	Lymphoid tissue appears rather loose	Slight to no visible germinal center, observable increase in macrophages (giant cells)	Negative	Normal
UA suspension	Lymphoid tissue appears rather loose	Marginal proliferation of white pulp lymphoid, increased number of germinal centers	Negative	Normal
Nio-UA	Normal	Marginal proliferation of white pulp lymphoid, a dramatic increase in the number of germinal centers	Mild neutrophil infiltration	Normal
Nio-UA-CS	Lymphoid tissue appears rather loose	Marginal proliferation of white pulp lymphoid, significant increase in the number of germinal centers	Negative	Normal

**Figure 1.** Average (A) particle size, (B) polydispersity index, (C)  $\zeta$ -potential of Nio-UA and Nio-UA-CS. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

**Figure 2.** The average difference in body weight of subjects that were treated orally six times with the equivalent of 11 mg UA/kgBW simultaneously with NDEA intraperitoneal induction four times at a dose of 25 mg NDEA/kgBW after which they were sacrificed.

**Figure 3.** Morphology of the heart, lungs, liver, spleen, and kidneys in group (A) of normal subjects with PBS pH 7.4 and oral administration; (B) intraperitoneal-induced negative control 25 mg NDEA/kgBW with PBS pH 7.4; induced ip 25 mg NDEA /kgBW with (C) UA suspension (D) Nio-UA (E) Nio-UA-CS which is equivalent to 11 mg UA/kgBW. Differences in liver morphology in the (F) normal and (G) negative control groups induced by NDEA at a dose of 25 mg/kgBW.

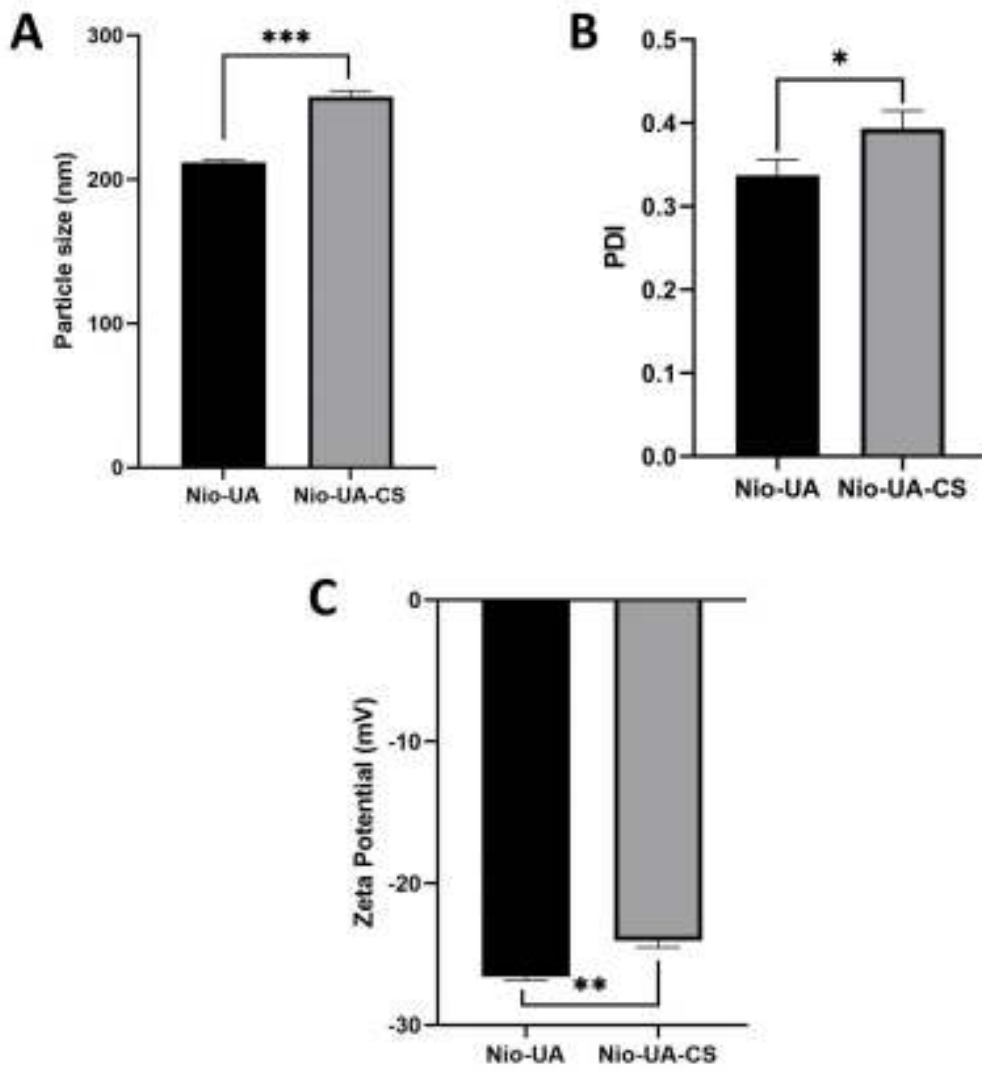
**Figure 4.** Graph of the relative weight of organs (A) liver, (B) spleen, (C) lungs, (D) kidney, (E) heart in the normal group and the group which had been NDEA induced with a dose of 25 mg/kgBW and UA suspension treatment, Nio -UA, and Nio-UA-CS which is equivalent to 11 mg UA/kgBW. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

**Figure 5.** Graph of the average SGOT and SGPT levels in the normal group and the NDEA-induced group at a dose of 25 mg/kgBW with suspension UA, Nio-UA, and Nio-UA-CS treatments which were equivalent to 11 mg UA/kgBW. The data displayed is the mean  $\pm$  SD (n=4).

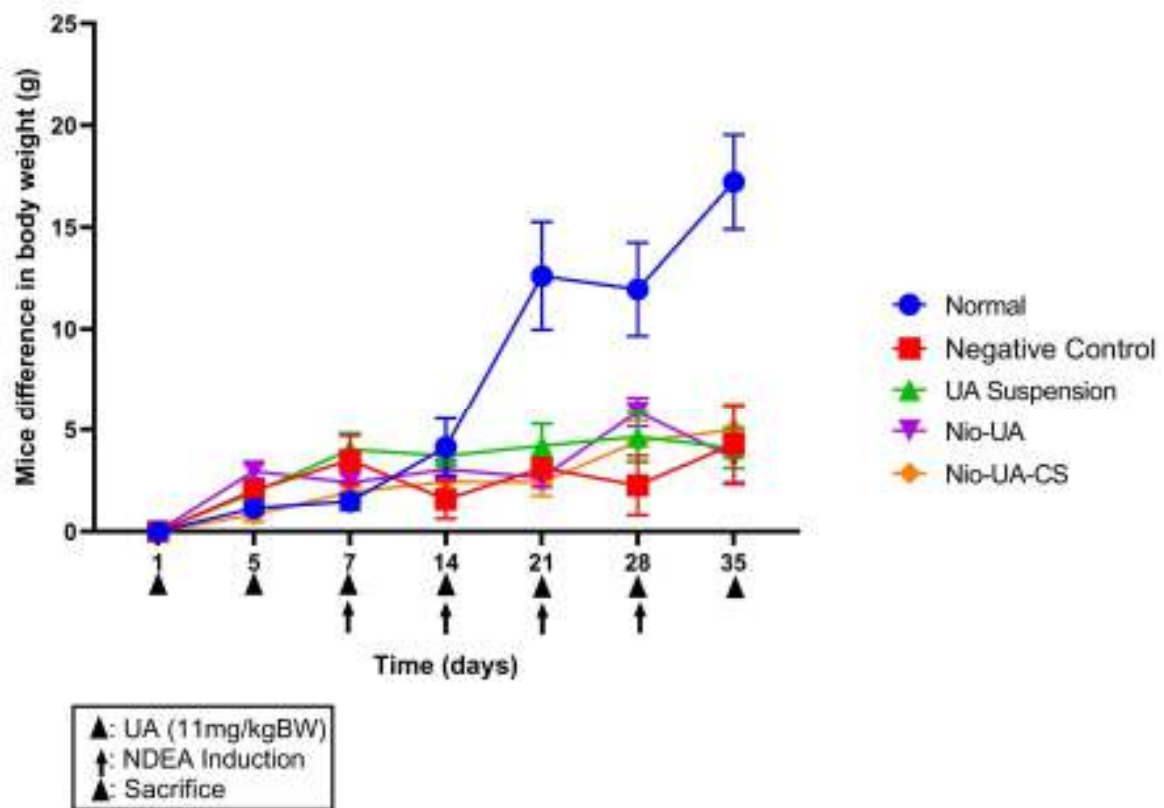
**Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at an equivalent dose of 11 mg UA/kgBW. Image magnification are 100x and 400x with H&E staining. Black circle = hepatic plate, black arrow = hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow = hemorrhage.

**Figure 7** Histopathological picture of the spleen of mice (A) Normal, (B) Negative control induced with 25 mg NDEA/kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS with an equivalent dose of 11 mg UA/kgBW with H&E staining. Red arrow = red pulp, white

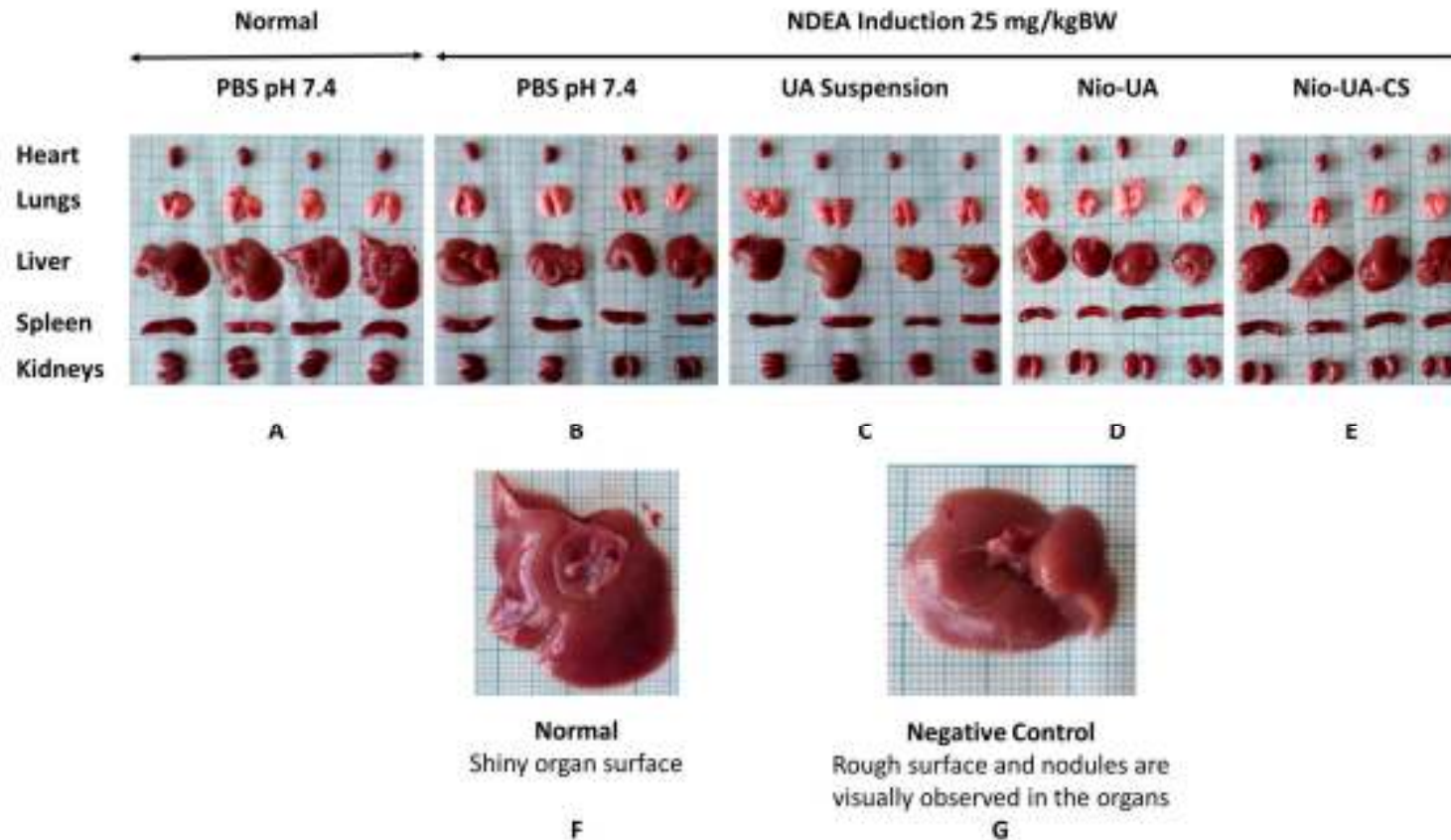
arrow = white pulp/germinal center, yellow arrow = marginal zone, black arrow = giant cell macrophage.



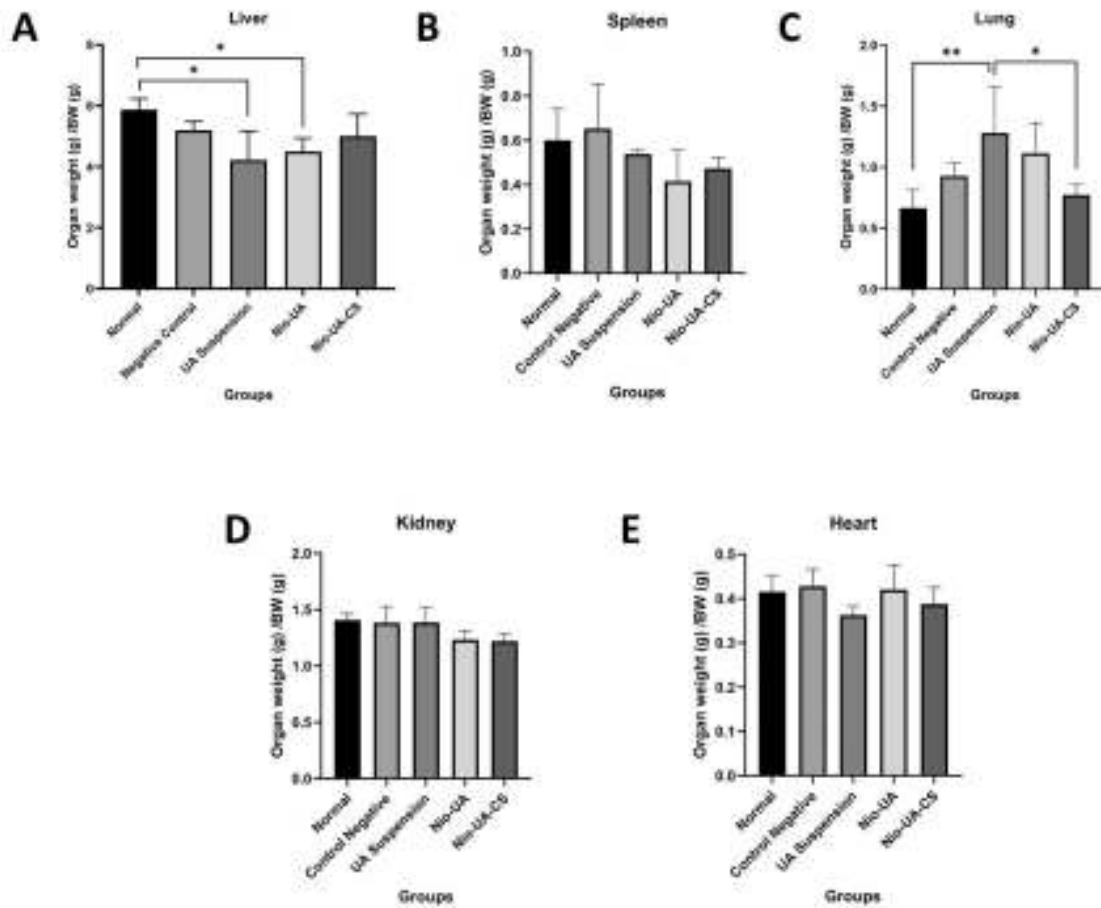
**Figure 1.** Average (A) particle size, (B) polydispersity index, (C)  $\zeta$ -potential of Nio-UA and Nio-UA-CS. \* $p<0.05$ ; \*\* $p<0.01$ ; \*\*\* $p<0.001$ .



**Figure 2** The average difference in body weight of subjects that were treated orally six times with the equivalent of 11 mg UA/kgBW simultaneously with NDEA intraperitoneal induction four times at a dose of 25 mg NDEA/kgBW after which they were sacrificed.

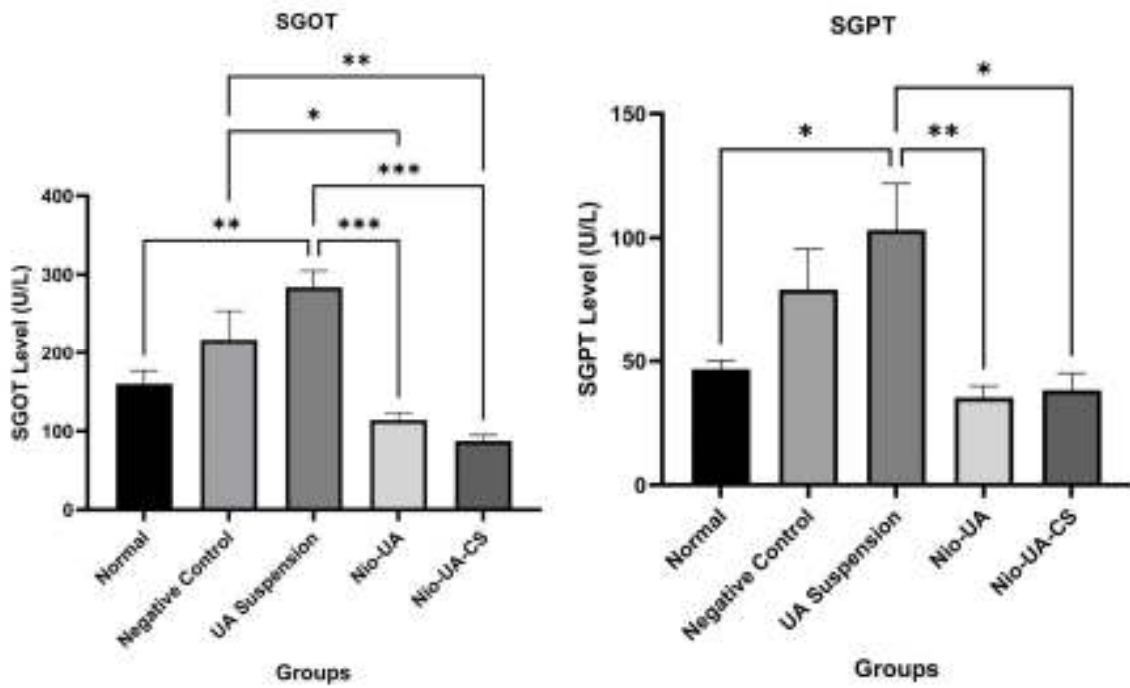


**Figure 3.** Morphology of the heart, lungs, liver, spleen, and kidneys in group (A) of normal subjects with PBS pH 7.4 and oral administration; (B) intraperitoneal-induced negative control 25 mg NDEA/kgBW with PBS pH 7.4; induced ip 25 mg NDEA /kgBW with (C) UA suspension (D) Nio-UA (E) Nio-UA-CS which is equivalent to 11 mg UA/kgBW. Differences in liver morphology in the (F) normal and (G) negative control groups induced by NDEA at a dose of 25 mg/kgBW.

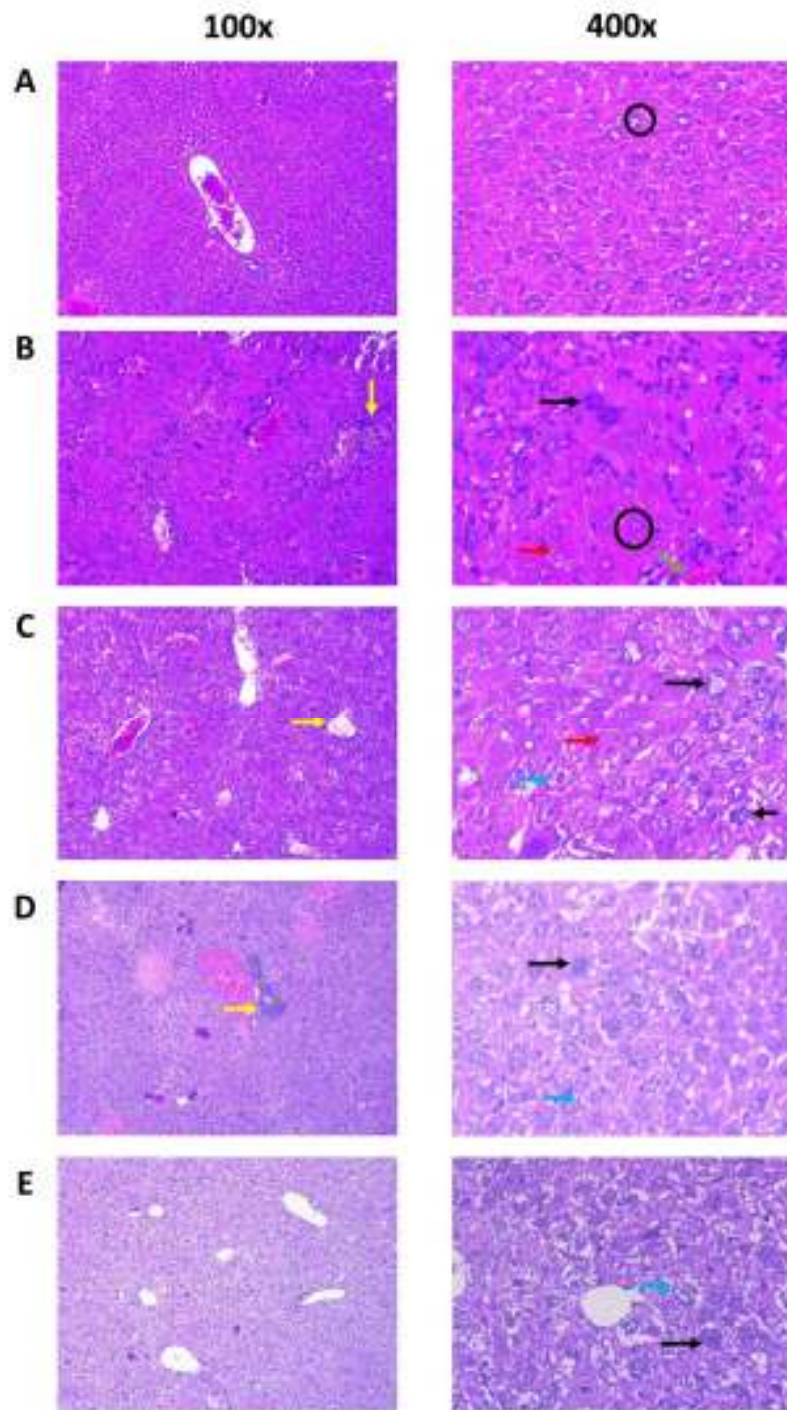


**Figure 4.** Graph of the relative weight of organs (A) liver, (B) spleen, (C) lungs, (D) kidney, (E) heart in the normal group and the group which had been NDEA induced with a dose of 25 mg/kgBW and UA suspension treatment, Nio -UA, and Nio-UA-CS which is equivalent to 11 mg UA/kgBW. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

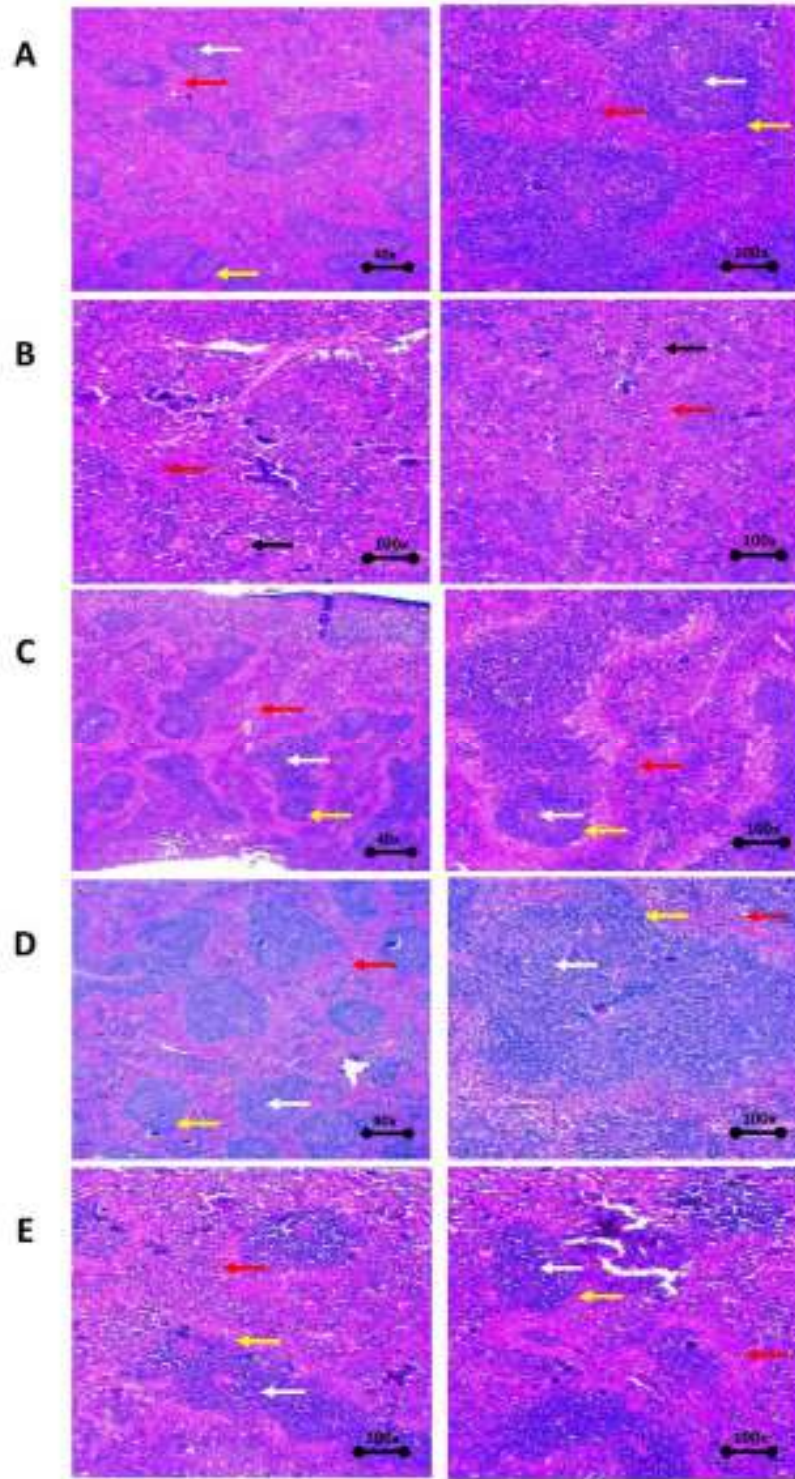




**Figure 5.** Graph of the average SGOT and SGPT levels in the normal group and the NDEA-induced group at a dose of 25 mg/kgBW with suspension UA, Nio-UA, and Nio-UA-CS treatments which were equivalent to 11 mg UA/kgBW. The data displayed is the mean  $\pm$  SD (n=4).



**Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at an equivalent dose of 11 mg UA/kgBW. Image magnification are 100x and 400x with H&E staining. Black circle = hepatic plate, black arrow = hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow = hemorrhage.



**Figure 7** Histopathological picture of the spleen of mice (A) Normal, (B) Negative control induced with 25 mg NDEA/kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS with an equivalent dose of 11 mg UA/kgBW with H&E staining. Red arrow = red pulp, white arrow = white pulp/germinal center, yellow arrow = marginal zone, black arrow = giant cell macrophage.



Dear Editor,

Many thanks for your email. We have revised and added some explanations in the manuscript accordingly. The revisions are as the following:

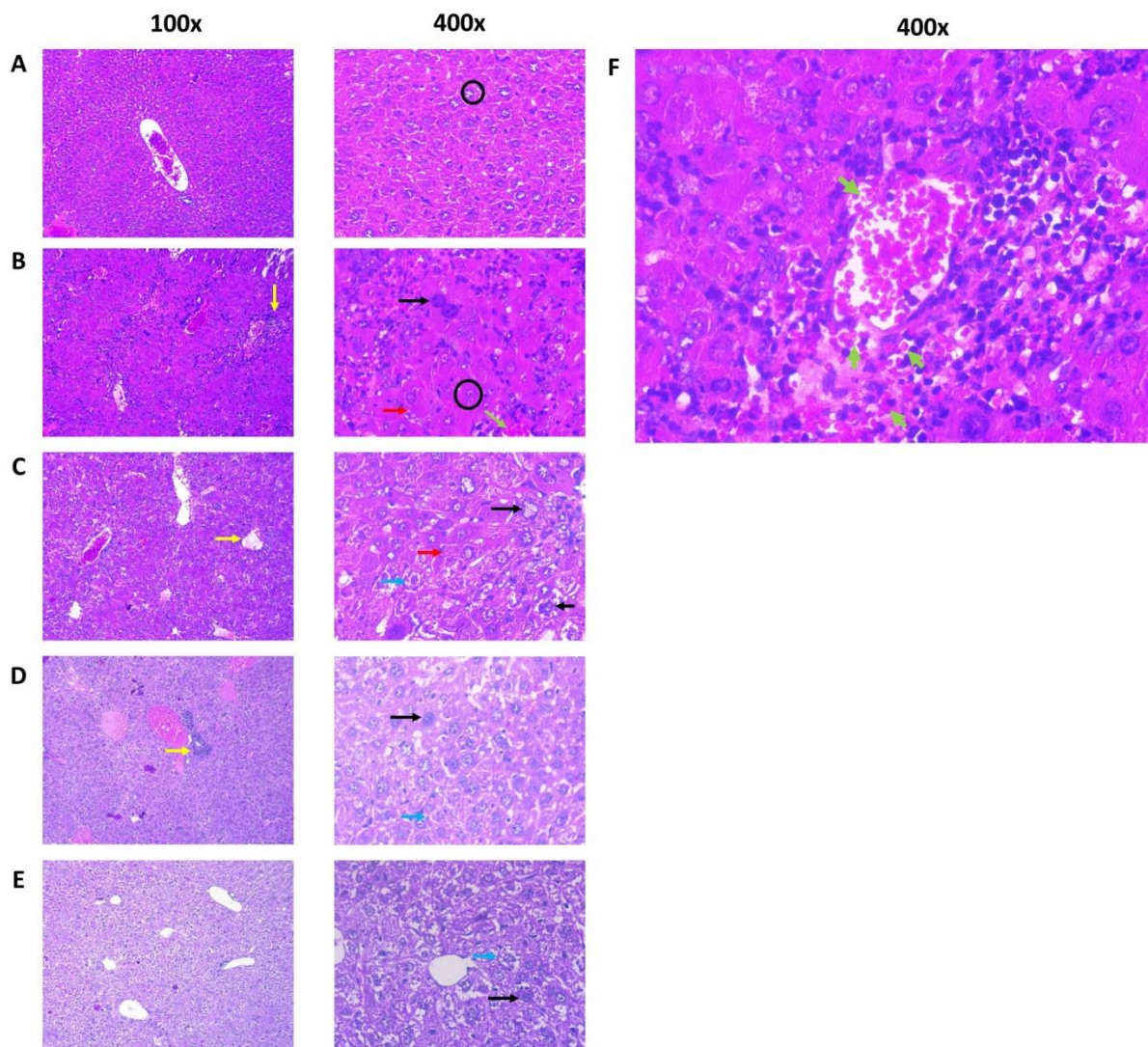
### Reviewer 1

**I would like to thank the authors for their detailed response. However, there are still two points that require further attention.**

**1. The Bleeding in the liver tissue needs more images at various powers of magnification to prove.**

**Answer:**

Many thanks for the comments. We have added the picture of the bleeding that occurred in the liver tissue as follows:



**Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at an equivalent

dose of 11 mg UA/kgBW. Picture F shows the bleeding in the liver tissue of the Negative control group. Image magnification are 100x and 400x with H&E staining. Black circle = hepatic plate, black arrow = hyperchromatic and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow = hemorrhage.

**2. reference 23 actually states that "the positively charged NPs were taken by THP-1 macrophages at a higher rate than negatively charged ones", which is the opposite of the authors' claim in the first place.**

**Answer:**

Many thanks for the comments. In the previous draft, we wrote that “negatively charged particles are more easily recognized by macrophages, which occurs due to opsonization with serum proteins”. In the revised version, we state that “several studies have revealed that positively charged nanoparticles show higher phagocytic and cellular uptake than negatively, neutrally charged, and PEGylated nanoparticles<sup>22,23</sup>. However, other research into the bioavailability studies of nanoparticles has indicated that their negative charge increases the macrophage uptake more significantly than positively charged nanoparticles, thereby potentially reducing the effectiveness of nano drug delivery<sup>24</sup>. In addition, Opsonin serum protein binding with negatively charged nanoparticles seems to occur to a higher degree than that of positively charged nanoparticles. Consequently, negatively charged nanoparticles are covered more extensively by opsonin proteins with greater stimulation of the phagocytosis by macrophages<sup>25</sup>”.

Although it was stated in the paper that the mechanism still needs to be fully understood, positively charged particles will interact directly with the cells, i.e., macrophages, and are taken up by the cells via clathrin receptors. However, in the in vivo studies, the negatively charged nanoparticles will agglomerate due to their interaction with the serum proteins, making them recognized and taken up by macrophages at different mechanisms, i.e., caveolin-mediated endocytosis. These in vivo factors affect the particle uptake by macrophages greater than those of positive-charged particles with the macrophages.

**3. The authors aimed to investigate if UA niosomes with chitosan can mitigate liver damage induced by NDEA. Therefore, it is still not clear to me why SGOT /SGPT have to be the only markers. For instance, bilirubin type and level will help validate the point they raised about the potential effect of chitosan on the tight junctions bounding the inter-hepatocyte bile canaliculi.**

**Answer:**

Many thanks for the comments. We agree that bilirubin should also be evaluated for this study. The study performed by Mukherjee and Ahmad (2015) revealed that induction of NDEA into rats produced abnormal liver architecture with severe hemorrhage, neutrophilic infiltration, and dysplastic hepatocytes manifested in a dose-dependent manner<sup>45</sup>. In addition, they showed that NDEA induction increased the serum GOT, GPT, ALP, and bilirubin levels. The increase in serum bilirubin level is associated with hyperbilirubinemia, possibly due to hepatic dysfunction<sup>46</sup>. The Ursolic acid treatment reduced the total serum bilirubin levels showing its efficacy for liver protection and promoting bile secretion<sup>47,48</sup>.

However, due to the limitation of the study, i.e., the volume of serum taken from the mice, we could not measure the serum bilirubin level. Therefore, we propose for further study to evaluate liver function by using chitosan-coated ursolic acid niosomes.

We have added sentences in the discussion section as follows:

Line 328-332: “In addition, NDEA induction has been reported to increase serum bilirubin levels<sup>45</sup>, and UA effectively reduced them, proving its potential efficacy for liver protection and promoting bile secretion<sup>46,47</sup>; however, this study was limited. Therefore, evaluating the serum bilirubin levels is vital to provide the information associated with the repair of liver damage and its dysfunctions<sup>48</sup>”.

45. Mukherjee D, Ahmad R. Dose-dependent effect of N'-Nitrosodiethylamine on hepatic architecture, RBC rheology and polypeptide repertoire in Wistar rats. *Interdiscip Toxicol.* 2015 Mar;8(1):1-7. doi: 10.1515/intox-2015-0001. PMID: 27486353; PMCID: PMC4961919.
46. AbdelMonein, N. , Yacout, G. , Aboul-Ela, H. and Shreadah, M. (2017) Hepatoprotective Activity of Chitosan Nanocarriers Loaded with the Ethyl Acetate Extract of a *Stenotrophomonas* sp. Bacteria Associated with the Red Sea Sponge *Amphimedon ochracea* in CCl<sub>4</sub> Induced Hepatotoxicity in Rats. *Advances in Bioscience and Biotechnology*, **8**, 27-50. DOI: [10.4236/abb.2017.81003](https://doi.org/10.4236/abb.2017.81003).
47. Woźniak Ł, Skąpska S, Marszałek K. Ursolic Acid—A Pentacyclic Triterpenoid with a Wide Spectrum of Pharmacological Activities. *Molecules*. 2015; 20(11):20614-20641. <https://doi.org/10.3390/molecules201119721>
48. Xiong X, Chen W, Cui J, Yi S, Zhang Z, Li K. [Effects of ursolic acid on liver protection and bile secretion]. *Zhong Yao Cai*. 2003 Aug;26(8):578-81. Chinese. PMID: 14649204.

## Reviewer 2

**All comments have now been answered. The manuscript has been accepted**

### Answer:

Many thanks for your input so that we can revise and improve the content of our manuscript

1 **The effectiveness of ursolic acid niosomes with chitosan coating for prevention of liver**  
2 **damage in mice induced by n-nitrosodiethylamine**

3  
4 **Andang Miatmoko<sup>1,2\*</sup>, Amelia Anneke Faradisa<sup>1</sup>, Achmad Aziz Jauhari<sup>1</sup>, Berlian**  
5 **Sarasitha Hariawan<sup>3</sup>, Devy Maulidya Cahyani<sup>3</sup>, Hani Plumeriastuti<sup>4</sup>, Retno Sari<sup>1</sup>, Esti**  
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15  
16 Running Title: The effectiveness of ursolic acid niosomes with chitosan coating

17  
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21

22



23 **Abstract**

24 Ursolic acid (UA) is a pentacyclic triterpene carboxylic acid which produces various effects,  
25 including anti-cancer, hepatoprotective, antioxidant and anti-inflammatory. However, UA  
26 demonstrates poor water solubility and permeability. Niosomes have been reported to  
27 improve the bioavailability of low water-soluble drugs. This study aimed to investigate the  
28 protective action of UA-niosomes with chitosan layers against liver damage induced by N-  
29 Nitrosodiethylamine (NDEA). UA niosomes were prepared using a thin layer hydration  
30 method, with chitosan being added by vortexing the mixtures. For the induction of liver  
31 damage, the mice were administered NDEA intraperitoneally (25 mg/kgBW). They were  
32 given niosomes orally (11 mg UA/kgBW) seven and three days prior to NDEA induction and  
33 subsequently once a week with NDEA induction for four weeks. The results showed that  
34 chitosan layers increased the particle sizes, PDI, and  $\zeta$ -potentials of UA niosomes. UA  
35 niosomes with chitosan coating reduced the SGOT and SGPT level. The histopathological  
36 evaluation of liver tissue showed an improvement with reduced bile duct inflammation and  
37 decreasing pleomorphism and enlargement of hepatocyte cell nuclei in UA niosomes with the  
38 chitosan coating treated group. It can be concluded that UA niosomes with chitosan coating  
39 improved the efficacy of preventive UA therapy in liver-damaged mice induced with NDEA.

40

41 **Keywords:** Preventive therapy, Cancer, Ursolic Acid, Niosomes, Liver Damage, N-  
42 Nitrosodiethylamine

43

#### 44 **Introduction**

45 Liver damage is the leading global cause of death. In 2017, 1.32 million deaths worldwide or  
46 2-4% of the annual total were due to liver cirrhosis <sup>1,2</sup>. Chemically-induced liver damage  
47 results from the metabolic transformation of chemicals into reactive intermediate compounds  
48 with the potential to change the structure and function of cellular macromolecules <sup>3</sup>. There  
49 are several causes of liver damage, one being exposure to carcinogenic chemicals such as N-  
50 nitrosodiethylamine (NDEA) which produces reactive oxygen species (ROS) causing  
51 oxidative stress and cellular destruction <sup>4</sup>. Reactive products and free radicals cause an  
52 increase in the serum index of liver function such as alanine transaminase (ALT) or serum  
53 glutamic-pyruvic transaminase (SGPT), aspartate aminotransferase (AST) or serum glutamic-  
54 oxaloacetic transaminase (SGPT), alkaline phosphatase (ALP), gamma-glutamyl transferase  
55 (GGT), and total bilirubin. In cases of severe histopathological lesions they cause neoplastic  
56 transformation <sup>5</sup>.

57 UA, a natural pentacyclic triterpenoid compound, has various pharmacological  
58 properties including anticancer, hepatoprotective, anti-angiogenesis, apoptosis induction,  
59 antioxidant and anti-inflammatory <sup>6,7</sup>. As an antioxidant, UA reduces oxidative stress,  
60 modulates the Receptor for Advanced Glycation End Products (RAGE) and decreases  
61 NADPH oxidase to prevent the formation of ROS <sup>8</sup>. UA also produces a hepatoprotective  
62 effect by maintaining the structural integrity of the liver, reducing high levels of bilirubin,  
63 stabilizing serum protein concentrations, and suppressing oxidative stress, inflammation, and  
64 apoptosis in the liver <sup>9,10</sup>. Oral administration of a 500 mg/kgBW dose of UA to subjects  
65 resulted in a reduction in SGOT and SGPT as well as improvement in liver histopathology <sup>11</sup>.

66 However, limitations on the oral use of UA, which belongs to class IV  
67 Biopharmaceutics Classification System (BCS) <sup>12</sup>, result from poor solubility and absorption.  
68 An effective drug delivery system is required to increase its solubility and dissolution.

69 Niosomes represent a vesicular bilayer system composed of non-ionic surfactants and  
70 cholesterol in the aqueous phase which can increase drug half-life, enhance stability, and  
71 deliver drugs to target organs in a controlled release <sup>13</sup>.

72 Chitosan, a natural polysaccharide, is a product of alkaline deacetylation of chitin <sup>14</sup>  
73 derived from the exoskeleton of crustaceans <sup>15</sup> and is widely employed because of its intrinsic  
74 polycation properties, low toxicity, and excellent biocompatibility. Modification of UA  
75 liposomes with chitosan coating can increase bioavailability, slow drug release in tumor  
76 tissue and reduce both dose and side effects. Chitosan can open the tight junctions of  
77 epithelial cells, thereby enabling a drug to pass easily through the epithelial membrane via the  
78 paracellular pathway <sup>15</sup>. Chitosan also possesses mucoadhesive properties as a result of ionic  
79 interactions between positively charged amino groups and negatively charged functional  
80 groups on the surface of epithelial cells provide a controlled release while also enhancing  
81 absorption in the gastrointestinal tract and intestinal permeability <sup>16</sup>. Therefore, it is expected  
82 that the modification of chitosan on the niosomal surface will enhance absorption in the  
83 gastrointestinal tract, promote UA niosome accumulation in the liver and increase  
84 bioavailability.

85 In our previous study, optimization of the UA niosome formula found the optimum  
86 physical stability in the span 60-cholesterol-UA formula with a mol percent ratio of 3:2:10 <sup>17</sup>.  
87 Characterization of UA reported that the presence of chitosan showed an increase in the  
88 physical stability of UA niosomes. Chitosan coating on UA niosomes affects their  
89 physicochemical properties which, in turn, causes an increase in particle size and a more  
90 positive zeta potential. Biodistribution evaluation with coumarin-6 labeling revealed that high  
91 fluorescence intensity of coumarin-6 indicates high levels of UA in plasma and liver, together  
92 with an increase in bioavailability.

93 In this study, the evaluation of the effectiveness of UA niosomes with chitosan coating  
94 as an orally administered *in vivo* therapy for the prevention of liver damage in NDEA-  
95 induced subjects was by means of serum levels of SGOT, SGPT, and liver tissue  
96 histopathology.

97

## 98 **RESULTS**

### 99 *Physical characteristics of UA niosomes*

100 Characteristic UA niosomes parameters include particle size, polydispersity index, and  $\zeta$ -  
101 potential. Measurements were taken from Nio-UA and Nio-UA-CS preparations. A graph of  
102 the characteristics of AU niosomes can be seen in **Figure 1A-C**.

103 UA niosomes with chitosan coating (Nio-UA-CS) experienced an increase in particle  
104 size from  $211.7 \pm 1.7$  nm (Nio-UA) to  $257.4 \pm 4.3$  nm. A significant difference also occurred  
105 in the PDI parameters where the presence of chitosan coating increased the PDI from  $0.337 \pm$   
106  $0.018$  to  $0.393 \pm 0.021$ . The  $\zeta$ -potential parameter of chitosan coating can also alter the  
107 charge from UA niosomes which was initially  $-26.6 \pm 0.2$  mV to  $-24.1 \pm 0.4$  mV. Based on a  
108 statistical analysis of the Independent T-Test conducted, the results were  $p < 0.001$  on the  
109 particle size parameter,  $p = 0.03$  on the PDI parameter, and  $p = 0.001$  on the  $\zeta$ -potential  
110 parameter, all three of which indicated a significant difference between Nio-UA and Nio-  
111 UA-CS.

112

### 113 **Evaluation of mice body weight**

114 The weight of the subjects in the five groups was recorded every week prior to treatment  
115 commencing. The average differences in their weight gain and loss can be seen in **Figure 2**.

116 The body weight profiles of the normal group subjects that had not been induced by NDEA  
117 were compared with those of the other four groups that were subjected to NDEA induction on

118 four occasions. The normal group subjects were observed to have experienced the most  
119 significant weight gain, while those in the negative control group that had been administered  
120 NDEA, but did not undergo UA treatment, demonstrated the smallest difference in body  
121 weight. Previous studies of liver inflammation using an NDEA-induced subject model also  
122 yielded a weight loss profile<sup>18</sup>. NDEA metabolism in the liver can produce ROS that induce  
123 oxidative stress resulting in DNA damage<sup>33</sup>.

124

### 125 **Morphology and organ weight of mice induced with NDEA after administration of UA** 126 **niosomes**

127 Each organ was photographed post-surgery to determine the qualitative comparison of the  
128 morphological organs of subjects in the normal group, the negative control group, the group  
129 that received UA, Nio-UA, and Nio-UA-CS suspension treatment. Pictures of complete  
130 organs of the normal group subjects, the negative control group subjects induced by NDEA,  
131 and the group subjects that received the suspension treatment of UA, Nio-UA, and Nio-UA-  
132 CS can be seen in **Figure 3A-G**. As it can be seen in **Figure 3A-E**, qualitative organ  
133 observations confirmed differences in the organs of normal subjects and those which had  
134 undergone NDEA induction. In the normal group, the liver surface was bright red and shiny  
135 in appearance. Meanwhile, in the negative control group induced by NDEA, a slight color  
136 change occurred and several nodules were visible on the surface of the liver, as presented in  
137 **Figure 3F-G**. This indicates that a 4-week period of NDEA induction damages liver cells.

138 Quantitatively, all the organs of each subject were weighed with each group  
139 members' results being subsequently compared to determine if there was a significant  
140 difference. Data on the absolute and relative weight of each organ post-UA treatment and  
141 total NDEA induction for 28 days can be seen in **Figure 4A-E**. The results show that there  
142 were significant differences between groups in the normal group compared to the UA

143 suspension and Nio-UA with regard to the liver and the UA suspension group compared to  
144 normal and Nio-UA-CS groups for the lungs.

145

146 **Evaluation of SGOT-SGPT levels of mice induced with NDEA after administration of**  
147 **UA niosomes**

148 The results of measuring the levels of SGOT and SGPT in the blood serum of subjects in the  
149 normal group, negative control, UA suspension, Niosom UA (Nio-UA), and Niosom UA with  
150 chitosan coating (Nio-UA-CS) can be seen in **Figure 5**. Based on these results, the  
151 administration of Nio-UA and Nio-UA-CS can be seen to restore relatively normal serum  
152 SGOT and SGPT levels.

153

154 **Histopathology evaluation of liver and spleen mice induced with NDEA after**  
155 **administration of UA niosomes**

156 The results of microscope observation of liver tissue can be seen in **Figure 6**. In this study, in  
157 order to further develop the effectiveness of UA niosomes with or without chitosan coating,  
158 histopathological analysis of liver and spleen tissue was carried out. Prior to observations  
159 being conducted, the tissue was stained with H&E to turn the extracellular matrix and  
160 cytoplasm pink, while the cell nucleus was highlighted in blue. The results of observations of  
161 subjects' liver tissue preparations can be seen in **Table 2**.

162 Parameters observed in this liver tissue include lobulation, bleeding, neutrophil  
163 infiltration and dysplastic hepatocytes. **Figure 6A**, which relates to a normal group, contains  
164 normal lobules with normal hepatic plate, uniform cell nucleus size and normal chromatin  
165 distribution. No bleeding, neutrophil infiltration and dysplastic hepatocytes were detected. In  
166 **Figure 6B**, the negative control experienced significant inflammatory cell infiltration, unclear  
167 hepatic plate, and erythrocytes outside the blood vessels which is a symptom of bleeding

168 (green arrow). Moreover, pleomorphic nuclei and hyperchromatin, which are indicative of  
169 cancer cells, are present indicating that this group is at the initiation stage because the other  
170 cell nuclei remain normal. In **Figure 6C**, the NDEA group induced with UA suspension  
171 treatment presented more portal veins, while darker nuclei thought to be due to necrosis, no  
172 proliferation of cells, swelling of cells, enlarged cell nuclei and cytoplasmic eosinophil  
173 granules, were indicative of it still being in the initiation phase. In **Figure 6D**, the NDEA-  
174 induced group subjected to Nio-UA treatment was found to have normal recognizable liver  
175 architecture, while in some preparations hyperchromatin nuclei were observed, inflammation  
176 occurred around the bile ducts and hepatocyte degeneration ensued (ballooning  
177 degeneration). From **Figure 6E**, containing the NDEA-induced group with Nio-UA-CS  
178 treatment, normal liver architecture can clearly be recognized, several hyperchromatin nuclei,  
179 mild inflammation/neutrophil infiltration in the bile ducts, and hepatocyte degeneration  
180 (ballooning degeneration) can be observed.

181 . The comparative observation results relating to spleen tissue viewed through a  
182 microscope of the normal group, the negative control group, suspensions of AU, Nio-UA,  
183 and Nio-UA-CS can be seen in **Figure 7**. The observation results of spleen tissue  
184 preparations of the subjects can be seen in **Table 3**. The parameters observed in the spleen  
185 tissue include density, germinal center or white pulp, neutrophil infiltration, and trabeculae.  
186 In the normal group (**Figure 7A**), under normal density conditions, the white pulp was clearly  
187 demarcated with red pulp, normal germinal centers and trabeculae and no neutrophil  
188 infiltration. In the negative control group (**Figure 7B**), while a decrease in the number of  
189 follicles, but no germinal center, was observable, there was an increase in macrophages (giant  
190 cells). However, the continued absence of hyperplasia obviated significant damage to the  
191 spleen caused by NDEA induction. In group induced by NDEA with UA suspension  
192 treatment (**Figure 7C**), an increase in the number of germinal centers and marginal

193 proliferation of white pulp lymphoid occurred, indicating the possibility of activation in  
194 lymphoid tissue. In group induced by NDEA with Nio-UA treatment (**Figure 7D**), a  
195 proliferation of white pulp lymphoid tissue was observed, indicating the additional possibility  
196 of activation in lymphoid tissue. In group induced by NDEA with Nio-UA-CS treatment  
197 (**Figure 7E**), mild neutrophil infiltration, marginal proliferation of white pulp lymphoid and  
198 an increase in the number of germinal centers was observed indicating the possibility of  
199 lymphoid tissue activation.

200

## 201 **Discussion**

202 The increase in particle size of chitosan-coated UA niosomes was due to the fact that chitosan  
203 had formed a hydrophilic shell on the niosomal surface through electrostatic interaction <sup>15,19</sup>.  
204 Although the particle size increased, coating chitosan on UA niosomes can enhance its  
205 effectiveness. It is estimated that, in the presence of chitosan, drug transport can be effected  
206 through two pathways, namely; direct cell membranes and paracellular pathways <sup>15</sup>.  
207 However, with the addition of chitosan, the value of the polydispersity index (PDI) also  
208 increased. The homogeneity criteria for samples with lipid-based carriers was that of PDI <  
209 0.3<sup>20</sup>. The PDI value of Nio-UA remained approximately 0.3 which indicated a relatively  
210 homogeneous size distribution. However, chitosan coating significantly increased the PDI  
211 value possibly due to the addition of chitosan forming a polymer layer on the surface of the  
212 random vesicles <sup>19,21</sup>. Zeta potential is a detection index of electric charge on the particle  
213 surface. *In vivo*, it can influence the distribution of niosomes, while it is thought that *in vitro*  
214 it might contribute to the physical stability of niosomes by reducing the rate of aggregation  
215 and fusion <sup>15</sup>. The addition of chitosan can significantly mitigate the negative properties of  
216 Nio-UA due to the electrostatic interaction between the positive charge on chitosan and the  
217 negative charge on UA <sup>15,21</sup>. Surface charge has been reported as affecting *in vivo* drug



218 distribution. Several studies have revealed that positively charged nanoparticles show higher  
219 phagocytic and cellular uptake than negatively, neutrally charged, and PEGylated  
220 nanoparticles<sup>22,23</sup>. The positively charged nanoparticle will be endocytosized through clathrin  
221 receptors, while the negatively charged nanoparticles are primarily internalized via caveolin  
222 receptors<sup>23</sup>. However, other research into the bioavailability studies of nanoparticles has  
223 indicated that their negative charge increases the macrophage uptake more significantly than  
224 that of positively charged nanoparticles, thereby potentially reducing the effectiveness of  
225 nanodrug delivery<sup>24</sup>. Opsonin serum protein binding with negatively charged nanoparticles  
226 seems to occur to a higher degree than that of positively charged nanoparticles.  
227 Consequently, negatively charged nanoparticles are covered more extensively by opsonin  
228 proteins with greater stimulation of the phagocytosis by macrophages<sup>25</sup>.

229 Data on the weight of each organ indicated a reduced mean relative weight of the liver  
230 in the members of the four NDEA-induced groups compared to those of the normal group.  
231 Induction of NDEA causes hepatic degeneration that generally reflects loss of function  
232 associated with hepatocellular atrophy and injury<sup>18</sup>. A significant difference in relative liver  
233 weight occurred in the normal group compared to the UA and Nio-UA suspensions. In  
234 previous *in vivo* studies, administration of UA was known to reduce liver weight. UA can  
235 effectively relieve hepatic steatosis and reduce adipocyte size in the epididymis and decrease  
236 total cholesterol and triglycerides in the liver and plasma of subjects<sup>26,27</sup>. In this study,  
237 NDEA-induced subjects did not present a difference in relative spleen weight compared to  
238 members of the normal group.

239 NDEA is a well-known carcinogen that induces cancer of various organs in  
240 experimental animal subjects. Inducing liver cancer, NDEA can also result in lung  
241 adenocarcinoma<sup>28</sup>. Moreover, positively charged nanoparticles are also more easily taken up  
242 by lung cells, compared to neutral or negatively charged nanoparticles with the result that

243 they can accumulate extensively in the lungs <sup>29</sup>. This may underlie the significant differences  
244 in the pulmonary organs, while in the heart, no changes were observed possibly due to  
245 differences in cell types and characteristics. However, further analysis of these organs is  
246 required.

247         The SGOT and SGPT levels in serum in the negative control group were recorded as  
248 higher than that in normal group. This indicates that the administration of NDEA 25  
249 mg/kgBW to negative control group members on four occasions caused liver damage  
250 characterized by increased levels of SGOT and SGPT in blood serum. SGOT and SGPT are  
251 enzymes sensitive to liver cell damage which are predominantly contained in liver cells and,  
252 to a lesser extent, in muscle cells. Exposure to toxic substances causes a change in the  
253 permeability of the liver cell membrane resulting in damage or leakage, as a result of which  
254 the liver cells will release the enzymes they contain into the blood circulation, thereby  
255 increasing the levels of SGOT and SGPT and signaling liver disease <sup>30</sup>.

256         The levels of SGOT and SGPT in the negative control group were also higher than  
257 those in the Nio-UA and Nio-UA-CS groups. SGOT levels showed a significant difference  
258 ( $P < 0.05$ ) while SGPT levels did not demonstrate a significant difference ( $P > 0.05$ ) in the Nio-  
259 AU and Nio-UA-CS groups compared to the negative control group. This indicates that the  
260 administration of Nio-UA and Nio-UA-CS produces a hepatoprotective effect by reducing  
261 the release of SGOT and SGPT into the blood compared to UA suspension. A previous study  
262 of *in vivo* test results relating to paclitaxel niosomes indicated that the plasma drug  
263 concentration was higher in the paclitaxel niosome group than in the paclitaxel suspension  
264 group <sup>31</sup>. Oral use of niosomes can improve permeation and bioavailability, solubility of  
265 hydrophobic drugs, drug accumulation in the liver and controlled and targeted drug release <sup>32</sup>.  
266 The SGOT level in the Nio-UA-CS group was lower than that of the Nio-UA group. The  
267 presence of chitosan can induce a greater effect marked by the release of fewer SGOT

268 enzymes. This finding supports those of previous studies regarding the modification of UA  
269 liposomes with chitosan coating increasing bioavailability, slowing drug release in tumor  
270 tissue, and reducing dosage and potential side effects. This can happen because chitosan  
271 opens tight junctions in epithelial cells and allows drug to pass freely through epithelial cells  
272 via paracellular pathways <sup>15</sup>. Chitosan also induces mucosal adhesion through ionic  
273 interactions between positively charged amino groups and negatively charged functional  
274 groups on the surface of epithelial cells, thereby providing controlled release and absorption  
275 in the gastrointestinal tract <sup>16</sup>. Chitosan has good mucoadhesive properties that can prolong  
276 the residence time of the drug in the gastrointestinal tract. Under acidic conditions, chitosan  
277 will trigger the opening of tight junctions between epithelial cells and facilitate paracellular  
278 transport of niosomes <sup>15</sup>. Therefore, the nanoparticle system in the presence of chitosan  
279 coating can effectively improve oral absorption. There is still no information regarding the  
280 effect of chitosan on tight junctions in hepatocytes

281         The levels of SGOT and SGPT in the UA suspension group were higher than in the  
282 negative control group, although they did not differ significantly. This is possible because the  
283 dose of 11 mg UA/kgBW administered is less effective if in the form of a suspension. The  
284 use of niosomes can overcome the problem of low drug solubility in water, thereby reducing  
285 drug dosage <sup>33</sup>. Previous research into the use of UA in the prevention of liver fibrosis due to  
286 CCl<sub>4</sub> induction found optimal protection through the administration of UA at a dose of  
287 50mg/kgBW in distilled water containing 0.1% Tween 80 <sup>10,34</sup>. Moreover, this is feasible due  
288 to the difference in the amount of UA taken because the UA suspension is insoluble.  
289 Consequently, there is a possibility that the preparation is not homogeneous, while the  
290 niosomes are more evenly dispersed than the suspension.

291         An analysis of the study results confirmed that the levels of SGOT and SGPT  
292 parameters in the Nio-UA and Nio-UA-CS groups were lower than in the normal group,

293 although not significantly different. The lower the level, the healthier the condition of the  
294 liver <sup>35</sup>. In terms of further research, if experimental subjects are used, it is preferable to  
295 complete a sampling to check the levels of SGOT and SGPT before the subjects are treated to  
296 ensure that their initial condition is healthy.

297         It is evident from these observations that the administration of Nio-UA-CS can reduce  
298 inflammation, pleomorphism, dysplasia, and enlargement of hepatocyte cell nuclei in mice  
299 liver. These results indicate that the administration of chitosan to UA niosomes increases the  
300 anti-inflammatory and anticancer activity of UA <sup>11</sup>. This finding is consistent with those of  
301 previous studies regarding CS modification of liposomes which resulted in increased drug  
302 activity of UA liposomes and enhanced antitumor drug efficacy <sup>15</sup>. Liver histopathology  
303 observations were linear with the results of SGOT and SGPT levels indicating that the  
304 optimum repair of liver damage occurred in the Nio-UA-CS group followed by Nio-UA and,  
305 finally, UA suspension.

306         Spleen histopathology was also observed in the course of this study. Conventional  
307 nanoparticles are known to be trapped by RES, most of which will migrate to the liver and  
308 spleen <sup>36</sup>. Liposomes and lipid nanocarriers larger than 100-150 nm can be taken up by  
309 phagocytes. Monocytes, macrophages and neutrophils are phagocytes. The majority of these  
310 phagocytes reside in the liver and spleen for subsequent elimination <sup>20</sup>

311         The administration of Nio-UA-CS indicates lymphoid tissue activation. Such  
312 activation is correlated with an increase in immune system activity <sup>37</sup> which can protect the  
313 body from non-self-pathogens or cancer cells by destroying them <sup>38</sup>. In a previous study on  
314 UA nanoparticles with chitosan coating as folate-targeting, the preparation was shown to  
315 enhance tumor inhibition and promote an immune-boosting more effectively than free UA  
316 <sup>39,40</sup>.

317 It has been reported that Chitosan induces transient tight junction opening by  
318 translocating the membrane's tight junction protein claudin-4 (Cldn4) into the cytoskeleton  
319 followed by its degradation in lysosomes <sup>41,42</sup>. Cldn4 has been recognised as a protein  
320 responsible for cell adhesion, polarity and paracellular permeability <sup>43</sup>. Intracellular  
321 redistribution results in the weakening of the tight junction leading to the opening of the cells  
322 <sup>41,42</sup>. On the other hand, it has been reported that Cldn4 is not expressed in normal  
323 hepatocytes. However, its expression is increased due to fibrosis, rather than inflammatory  
324 condition, of severe liver injury <sup>44</sup>, which this gene expression correlates with differentiation  
325 of progenitor cells into mature hepatocytes. This study also reported that its expression was  
326 not found in cases of hepatocellular carcinoma. Therefore, chitosan's effects on hepatocyte  
327 permeability and the drug's penetration into deeper damaged liver tissue are still  
328 questionable, need to be further explored. In addition, NDEA induction has been reported to  
329 increase serum bilirubin levels <sup>45</sup>, and UA effectively reduced them, proving its potential  
330 efficacy for liver protection and promoting bile secretion <sup>46,47</sup>; however, this study was  
331 limited. Therefore, evaluating the serum bilirubin levels is vital to provide the information  
332 associated with the repair of liver damage and its dysfunctions <sup>48</sup>.

333 Chitosan coating on UA niosomes can improve the physical morphology of the liver,  
334 resulting in the relative weight of the liver and lung organs which are relatively the same as  
335 the normal group and there is no significant difference in the difference in body weight.  
336 Chitosan coating on UA niosomes can increase the effectiveness of UA as a therapy to  
337 prevent liver damage in subjects induced by N-Nitrosodiethylamine in terms of  
338 histopathological parameters of liver tissue which are relatively more normal than negative  
339 controls. Chitosan coating on UA niosomes can increase the effectiveness of UA as a therapy  
340 to prevent liver damage in mice induced by N-Nitrosodiethylamine in terms of decreasing  
341 serum levels of SGOT and SGPT.

342

## 343 **METHODS**

### 344 *Preparation of UA Niosomes*

345 Preparation of niosomes was conducted using a thin layer hydration method with a formula  
346 composition referred to previous studies as shown in **Table 1**<sup>17</sup>. UA (sigma-Aldrich, Tokyo,  
347 Japan) solution in methanol, span 60 (Wako Pure Chemical Industries, Ltd., Osaka, Japan),  
348 and cholesterol (Wako Pure Chemical Industries, Ltd., Osaka, Japan) in chloroform (Merck,  
349 Darmstadt, Germany) were mixed in a round bottom flask. The organic solvents were then  
350 heated in a rotary vacuum evaporator at a temperature of 60°C until they had all evaporated  
351 and a thin lipid layer was formed. This layer was hydrated using 2 ml PBS solution pH 7.4 at  
352 60°C for one hour<sup>17</sup>. Sonication was carried out with a water bath sonicator to form niosomes  
353 in order to reduce the size of the vesicles. Dissolving chitosan (Biotech, Cirebon, Indonesia)  
354 in 0.1 M acetic acid produced 0.1% chitosan solution which was subsequently diluted using  
355 distilled water to obtain a solution of 0.005% v/v chitosan which was added to the UA  
356 niosomal suspension. The addition was completed by mixing 40 µl of chitosan solution with  
357 400 µl of niosomal samples before vortexing for ten seconds.

358

### 359 *Physical characterizations of UA Niosomes*

360 Approximately 100 µL niosomes was diluted in 2mL aqua demineralization with particle size  
361 and PDI measurements subsequently being completed by the Dynamic Light Scattering  
362 method using Malvern Zetasizer Instruments (Malvern Panalytical, UK). Furthermore, 100  
363 µL niosomes were also taken diluted in 2 mL aqua demineralization ζ-potential measured  
364 using the Electrophoresis Light Scattering method with Malvern Zetasizer Instruments  
365 (Malvern Panalytical, UK). The evaluation was completed three times for each of the Nio-  
366 UA and Nio-UA-CS samples.

367

368 ***In vivo efficacy evaluation of UA niosomes in mice induced with NDEA***

369 The use of experimental animals in this research was approved following an ethical  
370 feasibility test conducted on April 1, 2022 at the Faculty of Veterinary Medicine, Universitas  
371 Airlangga by the Faculty's Research Ethics Commission through the issuance of Certificate  
372 of Ethics Eligibility No. 2.KEH.035.04.2022. All methods were performed in accordance  
373 with ARRIVE guidelines and relevant regulations <sup>49</sup>. In this study, 6-week-old male mice  
374 (*Mus musculus*) Balb/c represented the subjects. Determination of the number of sample  
375 replications employed the Federer's Formula. Five randomly selected subjects formed the  
376 members of each treatment group. The negative control group was treated by means of  
377 NDEA i.p. injection for four weeks, while PBS pH 7.4 was administered orally during sample  
378 treatment.

379

380 ***Induction of liver damage of mice by NDEA injection***

381 Induction of liver damage in subjects was achieved through the intraperitoneal administering  
382 of a 25 mg/kgBW dose of NDEA (sigma-Aldrich, Tokyo, Japan) <sup>50</sup> once a week for four  
383 weeks. Evaluation of the resulting liver damage was effected by recording the subjects' body  
384 weight on a weekly basis during the test period to identify any increase or decrease.

385

386 ***Administration of UA niosomes into mice induced with NDEA***

387 Subjects were given drugs, including UA suspension in 0.5% CMC Na, Nio-UA, and Nio-  
388 UA-CS, according to whichever group they belonged. The UA dose was equivalent to 11 mg  
389 UA/kgBW <sup>40</sup>. The drug was administered orally using a needle probe seven and three days  
390 before NDEA induction and was continued once a week together the intraperitoneal induction  
391 of NDEA at a dose of 25 mg/kgBW for the subsequent four weeks.

392

393 ***SGOT and SGPT evaluation of mice induce with NDEA after administration of UA***  
394 ***niosomes***

395 After the final UA preparation had been administered, the subjects were left for seven days  
396 before their organs were surgically removed. Having been given intraperitoneal anesthesia in  
397 the form of a 10 mg/kgBW dose of ketamine, a blood sample was taken from the inferior  
398 vena cava, inserted into test tubes and centrifuged at 6000 g x force for 15 minutes at 4°C to  
399 obtain serum whose levels of SGOT and SGPT was then determined using the International  
400 Federation of Clinical Chemistry and Laboratory Medicine (IFCC) 37 method. The decrease  
401 in SGOT and SGPT levels was determined from comparisons between each treatment group  
402 and the control group. The SGOT and SGPT levels were determined by enzymatic reaction  
403 kinetic method. The reagents used were ready-to-use reagents consisting of AST (GOT) and  
404 ALT (GPT) reagents <sup>51</sup>.

405 ***Histopathological evaluation of liver and spleen of mice induce with NDEA after***  
406 ***administration of UA niosomes***

407 Following extraction of the blood sample, the subjects' spines were dislocated. The subjects  
408 were dissected and their livers immediately removed, rinsed with normal saline, and dry  
409 wiped with a tissue or filter paper, before finally being weighed, photographed and  
410 morphologically examined. The liver sections were fixed in 10% neutral buffered formalin  
411 and then stained with haematoxylin and eosin (H&E staining) for further histological analysis  
412 of the differences in appearance between the livers of the normal and treated subjects <sup>11</sup>.  
413 Changes in lobular architecture, bleeding, neutrophilic infiltration, and dysplastic hepatocytes  
414 on histopathological preparations of liver tissue were observed by means of light microscopy  
415 <sup>45,52</sup>. To evaluate the organ weight of the subjects, quantitatively each organ of mice in each



416 group was weighed. Because overall body weight affects the weight of individual organs, the  
417 relative weight of the livers was calculated using the formula <sup>53</sup>:

$$418 \quad \text{Relative Weight} = \frac{\text{Absolute organ weight (g)}}{\text{Body Weight (g)}} \times 100\%$$

419 The calculation results relating to the relative weight of the organs in the treatment group  
420 were then compared with those of the normal and negative control groups to determine  
421 whether significant differences existed.

422

### 423 ***Statistical analysis***

424 The quantitative data represent the average and standard deviation of sample measured in  
425 replications. A statistical analysis was performed using the one-way variant analysis  
426 (ANOVA) method followed by a Post Hoc Tukey HSD test. The *P value* < 0.05 is considered  
427 as a significant difference between the results.

428

### 429 **Data Availability**

430 The datasets used and/or analysed during the current study available from the corresponding  
431 author on reasonable request.

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615

616 **Ethical Conduct of Research Statement**

617 The animal study procedures were performed in accordance with the ethical clearance issued  
618 by The Ethics Commission of Faculty of Veterinary Medicine, Universitas Airlangga  
619 (Certificate number 2.KEH.035.04.2022 dated April 1, 2022)

620

621 **Competing Interest**

622 The authors declare no competing interest

623

624

625 **Figure 1.** Average (A) particle size, (B) polydispersity index, (C)  $\zeta$ -potential of Nio-UA and  
626 Nio-UA-CS. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

627

628 **Figure 2.** The average difference in body weight of subjects that were treated orally six times  
629 with the equivalent of 11 mg UA/kgBW simultaneously with NDEA intraperitoneal induction  
630 four times at a dose of 25 mg NDEA/kgBW after which they were sacrificed.

631

632 **Figure 3.** Morphology of the heart, lungs, liver, spleen, and kidneys in group (A) of normal  
633 subjects with PBS pH 7.4 and oral administration; (B) ip-induced negative control 25 mg  
634 NDEA/kgBW with PBS pH 7.4; induced ip 25 mg NDEA /kgBW with (C) UA suspension  
635 (D) Nio-UA (E) Nio-UA-CS which is equivalent to 11 mg UA/kgBW. Differences in liver  
636 morphology in the (F) normal and (G) negative control groups induced by NDEA at a dose of  
637 25 mg/kgBW.

638

639 **Figure 4.** Graph of the relative weight of organs (A) liver, (B) spleen, (C) lungs, (D) kidney,  
640 (E) heart in the normal group and the group which had been NDEA induced with a dose of 25  
641 mg/kgBW and UA suspension treatment, Nio -UA, and Nio-UA-CS which is equivalent to 11  
642 mg UA/kgBW. \* $p < 0.05$ ; \*\* $p < 0.01$ .

643

644 **Figure 5.** Graph of the average SGOT and SGPT levels in the normal group and the NDEA-  
645 induced group at a dose of 25 mg/kgBW with suspension UA, Nio-UA, and Nio-UA-CS  
646 treatments which were equivalent to 11 mg UA/kgBW. The data displayed is the mean  $\pm$  SD  
647 (n=4).

648

649 **Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control  
650 induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at  
651 an equivalent dose of 11 mg UA/kgBW. Black circle = hepatic plate, black arrow =  
652 hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow  
653 = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow =  
654 hemorrhage.

655

656 **Figure 7** Histopathological picture of the spleen of mice (A) Normal, (B) Negative control  
657 induced with 25 mg NDEA/kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS with  
658 an equivalent dose of 11 mg UA/kgBW with H&E staining. Red arrow = red pulp, white  
659 arrow = white pulp/germinal center, yellow arrow = marginal zone, black arrow = giant cell  
660 macrophage.

661

662

663 **Table 1** Ursolic Acid Niosome Formulation

Formulation	Component (mol ratio)			Chitosan
	Span 60	Cholesterol	UA	
Nio-UA	60	40	10	-
Nio-UA-CS	60	40	10	+

664 Note:

665 UA : Ursolic Acid

666 CS : Chitosan

667 (-) : Without chitosan addition

668 (+) : With chitosan addition

669

**Table 2.** Observation of histopathological liver preparations of subjects in the normal group, negative control, suspension of UA, Nio-UA, and Nio-UA-CS equivalent to a dose of 11 mg UA/kgBW.

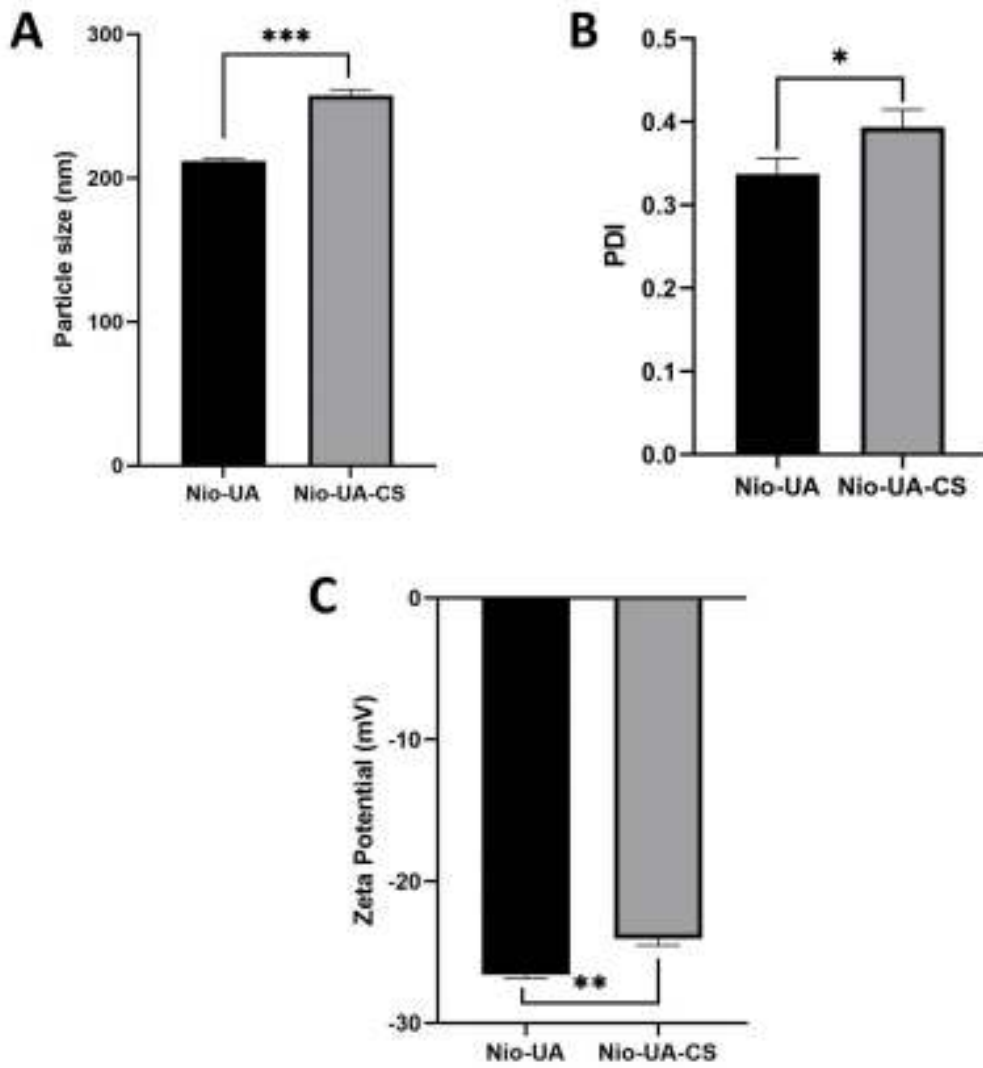
Group	Parameter			
	Lobulation	Hemorrhage	Neutrophil infiltration	Dysplastic Hepatocytes
Normal	Normal (approximately 40% experience mild degeneration/cloudy swelling)	Negative	Negative (approximately 40% present symptoms of mild port hepatitis)	Negative
Negative control	<ul style="list-style-type: none"> <li>• Enlargement of the hepatocellular plate</li> <li>• Hepatic plate not clear</li> <li>• Hepatocytes with severe hydropic degeneration (ballooning degeneration)</li> </ul>	Mild to moderate around the central vein	<ul style="list-style-type: none"> <li>• Moderate porta hepatitis</li> <li>• Several microabscess foci</li> <li>• Giant cells</li> </ul>	<ul style="list-style-type: none"> <li>• Visible enlargement and size of the nucleus varies and hyperchromatic nuclei</li> <li>• Eosinophilic granule cytoplasm</li> <li>• Proliferation of biliary duct epithelium</li> </ul>
UA suspension	<ul style="list-style-type: none"> <li>• Enlargement of the hepatocellular plate</li> <li>• Hepatic plate not clear</li> <li>• Hepatocytes with moderate to severe hydropic degeneration</li> <li>• Necrotic biliary ducts epithelium</li> </ul>	Negative	Mild portal hepatitis was diagnosed (33%) intralobular neutrophil infiltration (50%)	<ul style="list-style-type: none"> <li>• Visible hepatocyte nucleus enlargement</li> <li>• Eosinophilic granule cytoplasm</li> <li>• Proliferation of biliary duct epithelium (17%)</li> </ul>
Nio-UA	<ul style="list-style-type: none"> <li>• Normal liver architecture remains recognizable</li> <li>• Mild-severe hydropic degeneration</li> </ul>	Negative	Neutrophil infiltration around the bile ducts (pericholangitis)	Cells with hyperchromatic nuclei are observed
Nio-UA-CS	<ul style="list-style-type: none"> <li>• Normal liver architecture remains recognizable</li> <li>• Hepatocytes with severe hydropic degeneration</li> </ul>	Negative	Mild infiltration of the bile ducts (many are normal)	Several cells with large hyperchromatic nuclei were observed

**Table 3.** Observations of spleen histopathological preparations of mice in the normal group, negative control, UA suspension, Nio-UA, and Nio-UA-CS equivalent to a dose of 11 mg UA/kgBW.

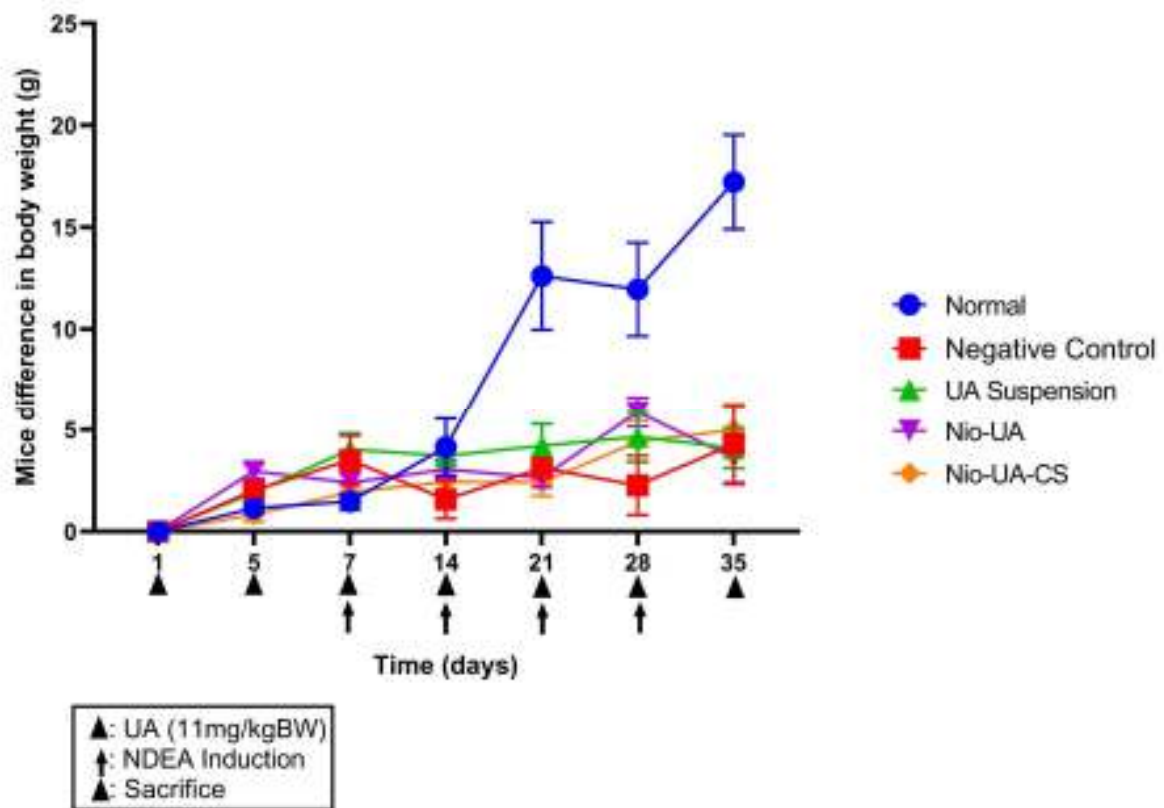
Group	Parameter			
	Density	White pulp/Germinal center	Neutrophil Infiltration	Trabecular
Normal	Normal	Normal	Negative	Normal
Negative control	Lymphoid tissue appears rather loose	Slight to no visible germinal center, observable increase in macrophages (giant cells)	Negative	Normal
UA suspension	Lymphoid tissue appears rather loose	Marginal proliferation of white pulp lymphoid, increased number of germinal centers	Negative	Normal
Nio-UA	Normal	Marginal proliferation of white pulp lymphoid, a dramatic increase in the number of germinal centers	Mild neutrophil infiltration	Normal
Nio-UA-CS	Lymphoid tissue appears rather loose	Marginal proliferation of white pulp lymphoid, significant increase in the number of germinal centers	Negative	Normal



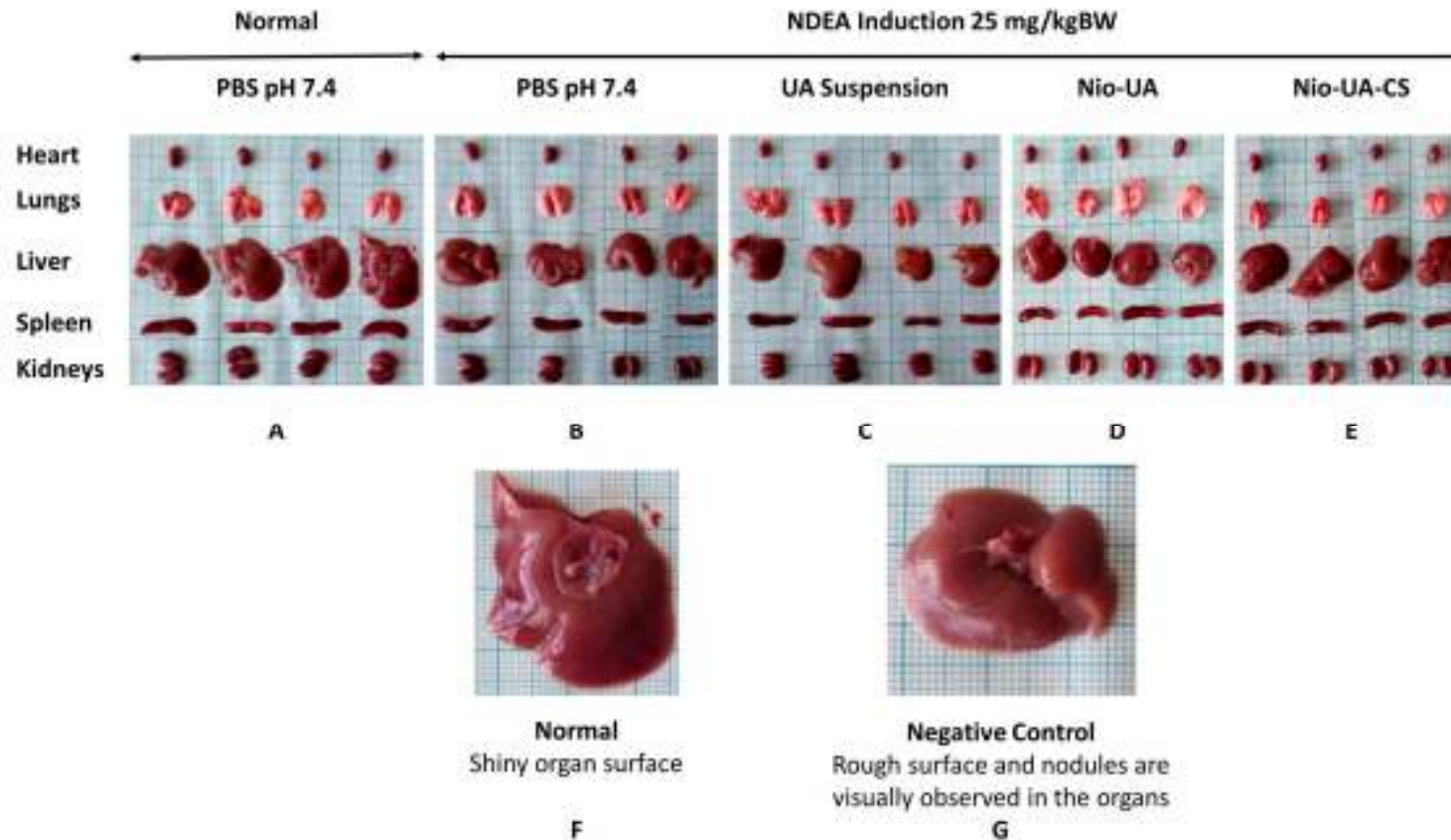




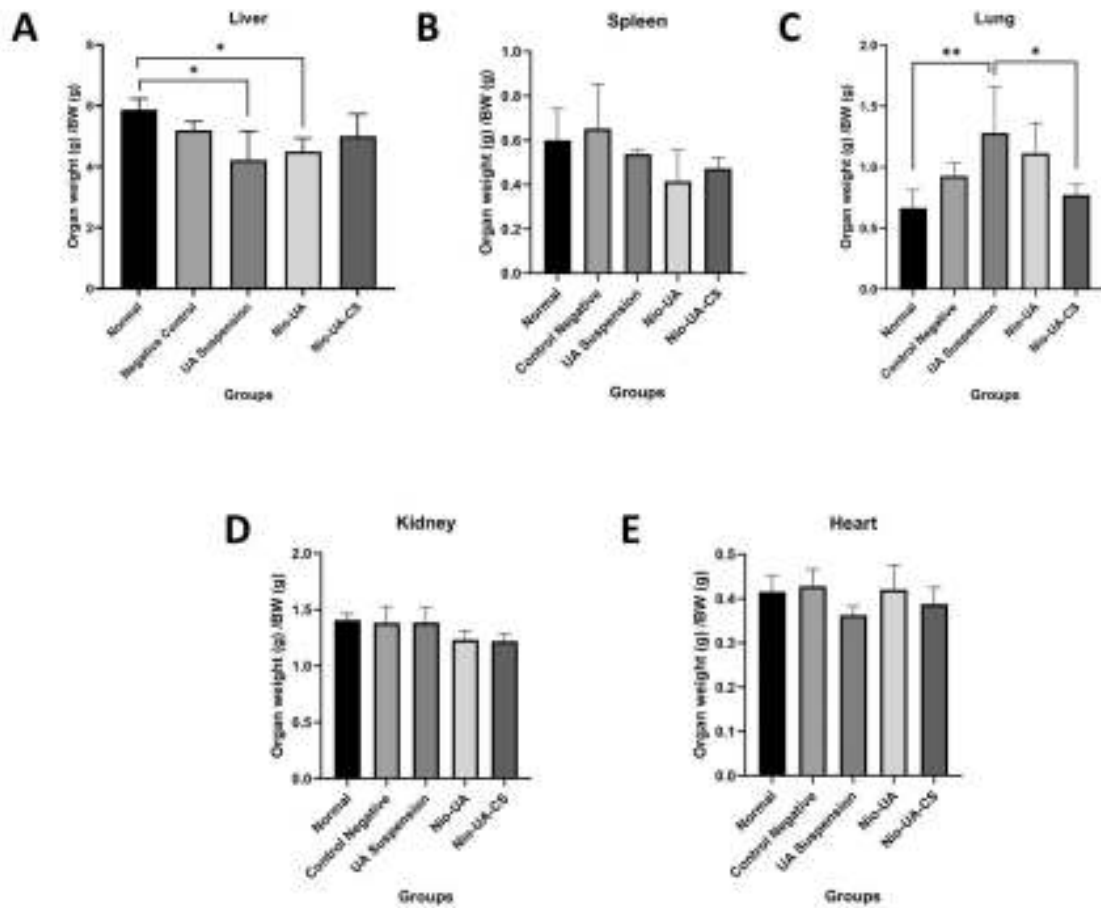
**Figure 1.** Average (A) particle size, (B) polydispersity index, (C)  $\zeta$ -potential of Nio-UA and Nio-UA-CS. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.



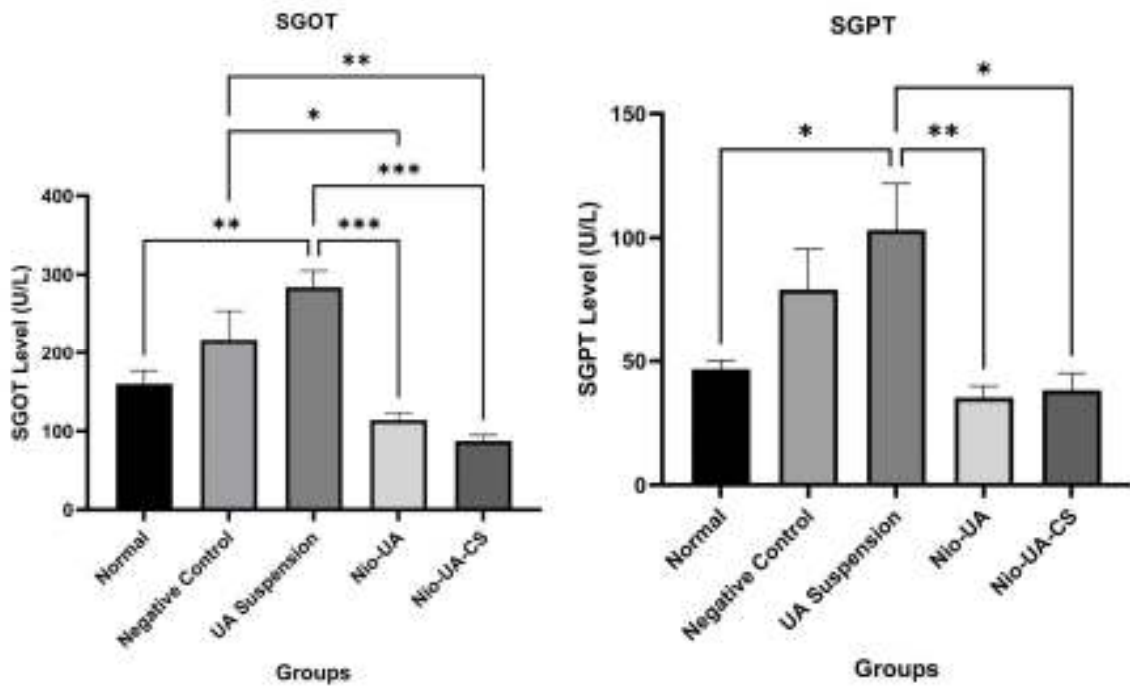
**Figure 2** The average difference in body weight of subjects that were treated orally six times with the equivalent of 11 mg UA/kgBW simultaneously with NDEA intraperitoneal induction four times at a dose of 25 mg NDEA/kgBW after which they were sacrificed.



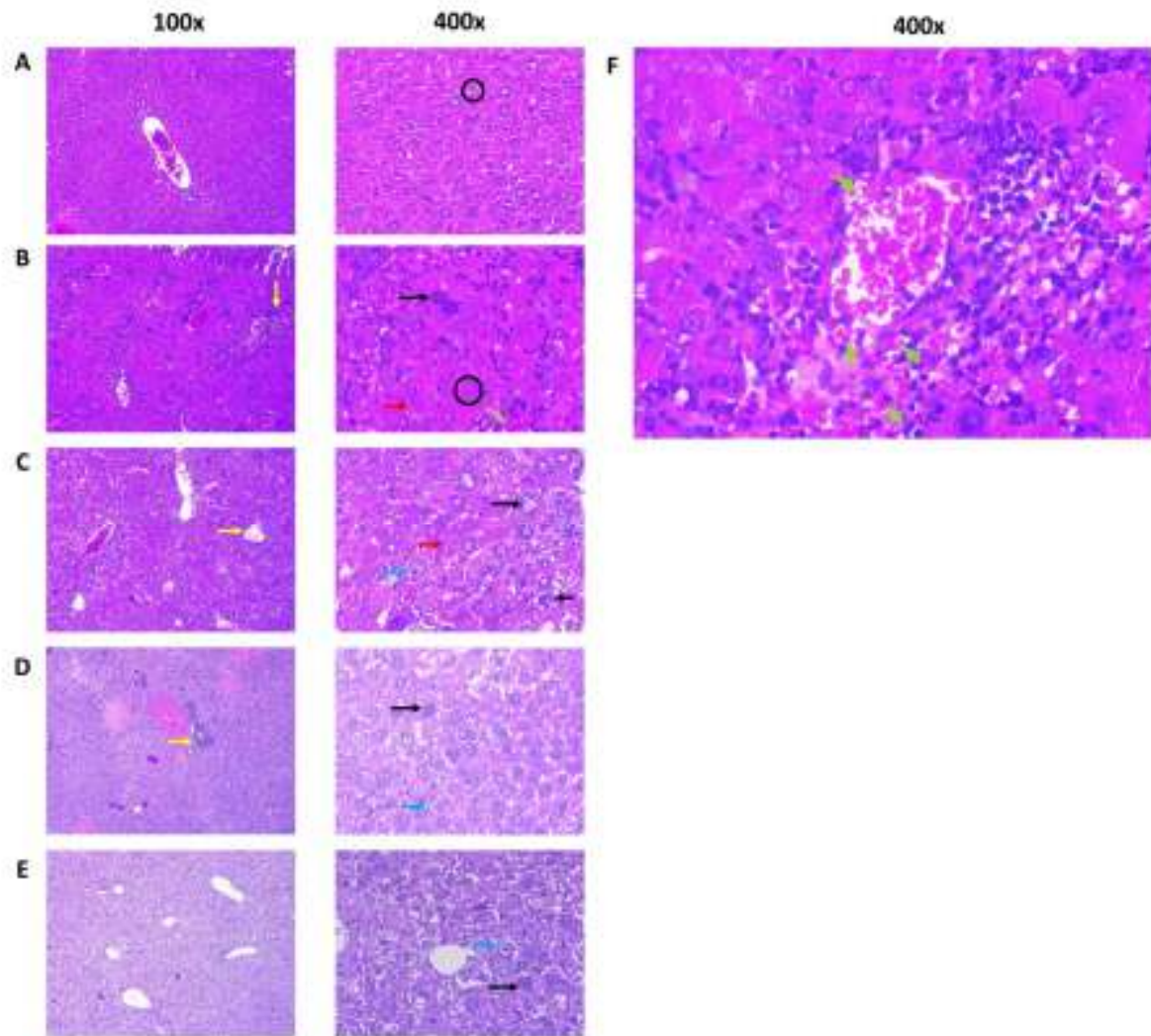
**Figure 3.** Morphology of the heart, lungs, liver, spleen, and kidneys in group (A) of normal subjects with PBS pH 7.4 and oral administration; (B) intraperitoneal-induced negative control 25 mg NDEA/kgBW with PBS pH 7.4; induced ip 25 mg NDEA /kgBW with (C) UA suspension (D) Nio-UA (E) Nio-UA-CS which is equivalent to 11 mg UA/kgBW. Differences in liver morphology in the (F) normal and (G) negative control groups induced by NDEA at a dose of 25 mg/kgBW.



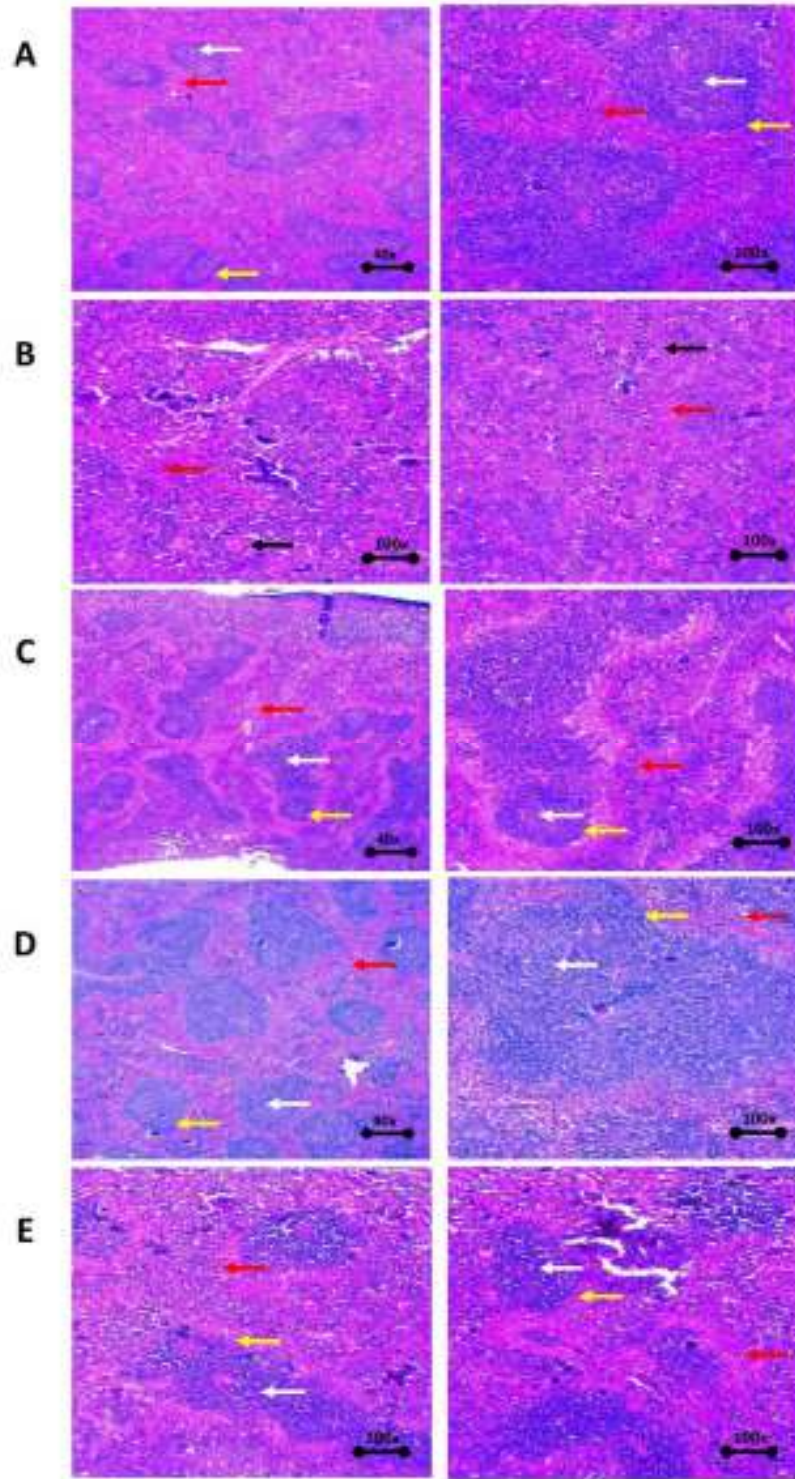
**Figure 4.** Graph of the relative weight of organs (A) liver, (B) spleen, (C) lungs, (D) kidney, (E) heart in the normal group and the group which had been NDEA induced with a dose of 25 mg/kgBW and UA suspension treatment, Nio -UA, and Nio-UA-CS which is equivalent to 11 mg UA/kgBW. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .



**Figure 5.** Graph of the average SGOT and SGPT levels in the normal group and the NDEA-induced group at a dose of 25 mg/kgBW with suspension UA, Nio-UA, and Nio-UA-CS treatments which were equivalent to 11 mg UA/kgBW. The data displayed is the mean  $\pm$  SD (n=4).



**Figure 6.** Histopathological picture of subjects' livers (A) Normal, (B) Negative control induced with 25 mg NDEA /kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS at an equivalent dose of 11 mg UA/kgBW. Picture F shows the bleeding in the liver tissue of the Negative control group. Image magnification are 100x and 400x with H&E staining. Black circle = hepatic plate, black arrow = hyperchromatin and enlarged cell nucleus, yellow arrow = neutrophil infiltration, blue arrow = hydropic degeneration, red arrow = cytoplasmic eosinophilic granules, green arrow = hemorrhage.



**Figure 7** Histopathological picture of the spleen of mice (A) Normal, (B) Negative control induced with 25 mg NDEA/kgBW ip; (C) UA suspension, (D) Nio-UA, (E) Nio-UA-CS with an equivalent dose of 11 mg UA/kgBW with H&E staining. Red arrow = red pulp, white arrow = white pulp/germinal center, yellow arrow = marginal zone, black arrow = giant cell macrophage.

