



## SURAT KETERANGAN

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Telah melaksanakan penelitian pada tahun 2018 dengan judul sebagai berikut :

**The Effects of Salinity and Temperature Shock on *Kappaphycus alvarezii* Seaweed Spores Release.**

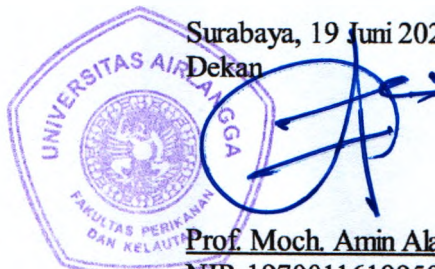
Adapun penelitian ini sudah mengacu pada prosedur pertimbangan etik dari *American Fisheries Society* (AFS, 2014) yang berjudul *Guidelines for the Use of Fishes in Research* dan *Canadian Council on Animal Care* (CCAC, 2005) yang berjudul *Guidelines on the Care and Use of Fish in Research, teaching and Testing*. Sehingga penelitian tersebut tidak perlu dilakukan *Uji Ethical Clearence* karena penelitiannya menggunakan mikroorganisme rumput laut, tidak termasuk Vertebrata dan Cephalopoda dengan treatment kualitas air dan mengacu kepada (CCAC, 2005: Guideline-8, hal 21).

Artikel ini sudah published dan menghasilkan output yang sangat baik.

Demikian surat keterangan ini kami buat untuk dapat dipergunakan sebagai persyaratan pengusulan Jabatan Fungsional **Lektor Kepala** atas nama Dr. Woro Hastuti Satyantini, Ir. M.Si.

Surabaya, 19 Juni 2023

Dekan



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# **Guidelines for the Use of Fishes in Research**

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American Institute of Fishery Research Biologists; and H. L. Bart on behalf of the  
American Society of Ichthyologists and Herpetologists

American Fisheries Society  
Bethesda, Maryland  
2014

A suggested citation format for this book follows.

Use of Fishes in Research Committee (joint committee of the American Fisheries Society, the American Institute of Fishery Research Biologists, and the American Society of Ichthyologists and Herpetologists). 2014. Guidelines for the use of fishes in research. American Fisheries Society, Bethesda, Maryland.

Cover art: Close-up photograph of Brown Trout, *Salmo trutta*, from the South Fork of the Cache la Poudre River, Colorado, taken by James Rose in 2010.

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Printed in the United States of America on acid-free paper.

Library of Congress Control Number 2014943876  
ISBN 978-1-934874-39-4

American Fisheries Society Web site address: [www.fisheries.org](http://www.fisheries.org)

American Fisheries Society  
5410 Grosvenor Lane, Suite 100  
Bethesda, Maryland 20814  
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## **4. Animal Welfare Considerations**

### **4.1 General Considerations**

Research involving living animals, including fishes, must be based on experimental designs and animal care practices that can lead to scientifically valid results. Fishes are acutely sensitive to stress (e.g., Barton and Iwama 1991), and responses may include changes in behavior (e.g., Martins et al. 2012), reduced growth, changes in osmotic status, suppressed immune systems (with consequent disease onset), and altered reproductive capacity (Iwama et al. 2006; Schreck et al. 2001; Schreck 2010). Accordingly, unless the experimental objectives require actions or conditions designed to test responses to stress, fishes should be maintained, handled, and tested under conditions that will not create such responses. The Guidelines addresses the conduct of scientific research and focuses on established facts and the processes through which knowledge is developed. Research plans submitted to IACUCs should address animal care considerations, in addition to the details of research goals, objectives, and procedures. The extent to which IACUCs incorporate personal values concerning animal welfare into their institutional guidelines is determined within each institution.

### **4.2 Stress**

The study of stress has focused on how animals have evolved physiological and behavioral mechanisms to address the challenges of changing environmental conditions and then to permit them to maintain homeostasis, or self-sustaining balance. The set of environmental variables (conditions) best suited for the well-being of each species typically encompasses a specific range for each factor and species (see section 5.7 Facilities for Temporary Holding and Maintenance), as stress responses are species-specific (Schreck 2010). Accordingly, when fishes are maintained within these ranges, a state of homeostatic balance is expected. Deviations from homeostasis characterize a stress response. While many definitions for stress have been proposed, we employ the definition of Schreck (2000) and Schreck et al. (2001): “a physiological cascade of events that occurs when the organism is attempting to resist death or reestablish homeostatic norms in the face of insult.” When stressed, fish generally attempt to reestablish homeostasis via a process known as “allostasis regulation in which they adjust their physiological function to re-establish a dynamic balance” (Sterling and Eyer 1988). While allostasis is generally adaptive because it helps keep animals alive in the face of a short-term stressor(s), it can be maladaptive over the long term and have negative consequences on growth, reproduction, and immunological health (Schreck 2010). Accordingly, investigators need to understand those factors that might cause stress in their experimental animal(s), the potential consequences, and how stress might be avoided by optimizing experimental conditions.

Each investigator and the IACUC should understand the conditions that minimize stress for the species in question. Extrapolation between taxa, however, must be avoided because differences exist among species (Schreck 2010). The factors and range of conditions appropriate for fishes typically will deviate substantially from those used for mammals. Assumptions and perceptions based on experiences with mammals, especially primates, must not be extrapolated to fishes; however, investigators should be aware of APHIS policy (i.e., Policy 11, USDA 2011, [http://www.aphis.usda.gov/animal\\_welfare/policy.php?policy=11](http://www.aphis.usda.gov/animal_welfare/policy.php?policy=11)).

#### **4.2.1 Stages of Stress**

Stress responses are elicited after a fish detects a threat. Recognizing and understanding the three stages of stress is important. Each warrants consideration in the design of animal care protocols:

- Stage 1. Primary stress responses vary among species but are characterized by immediate neuroendocrine responses including catecholamine and corticosteroid release and can be quantified by measuring blood hormones. Sometimes behavioral changes accompany these endocrine responses that help the animal cope with the stressor and, in and of themselves, have few consequences to health.
- Stage 2. The secondary stage of a stress response is characterized by changes in blood and tissue function evoked by the primary response. Secondary stress typically occurs within minutes of the primary response and is characterized by increased blood glucose and heart rate, diuresis, alteration of leukocyte count, altered osmolyte balance, and behavioral changes (see section 5.6 Handling and Transport). Although these responses can have short-term positive effects, many also are negative, so they should be avoided when possible. They can be evaluated through the study of extracted blood (see section 5.9 Collection of Blood and Other Tissues).
- Stage 3. Tertiary stress responses are associated with long-term exposure and negatively affect the well-being of the organism. Effects associated with tertiary stress include decreased growth, propensity to contract disease, and decreased reproductive function (Selye 1976; Schreck et al. 2001; Iwama et al. 2006; see sections 5.8 Field Acclimation and 7.3 Acclimation to Laboratory Conditions). The best way to avoid a tertiary stress response is to care for animals so as to minimize stress responses.

#### **4.2.2 Measuring and Avoiding Stress**

While the nature of stress is insidious, it also tends to be polymorphic, changing with time and taking different forms in different species at different stages in their lives. It is rarely feasible to measure changes in blood hormones to assess primary or secondary stress; therefore, investigators are advised to design experiments that avoid stress unless the purposes of the research require measurements of stress indicators. Important indicators of a lack of stress are persistence of normal behavioral activity and propensity to feed and grow. Careful experimental design and planning can ensure study results that are not confounded by unrecognized or



unmeasured stress. Unless the aim of the research is to establish optimal conditions for holding particular species of fish in captivity, such as captive propagation of endangered species, it is generally advisable for investigators to select species for experiments whose optimal holding conditions are known and can be recreated in the laboratory. Specific factors to consider include (1) choice of species, (2) history of the animals under study, (3) water chemistry, (4) water flow, (5) water temperature, (6) light conditions and cycles, (7) bottom substrate, (8) noise and other physical stimuli, (9) shelter, (10) stocking density, and (11) size of tank relative to body size and activity rate. Other variables, such as fish density or the presence or absence of tank covers, may be important. Species that are known as reliable laboratory models (e.g., Zebrafish or Japanese Medaka) or that are commonly used in fish culture (e.g., Channel Catfish *Ictalurus punctatus* or Rainbow Trout *Oncorhynchus mykiss*) might be selected whenever such a choice is compatible with research objectives.

In addition to the aforementioned factors that are associated with long-term maintenance, additional considerations apply when fishes are handled or subjected to various experimental manipulations.

- Handling should be minimized. Merely catching fish in nets can induce release of stress hormones, such as cortisol, within one minute. Fishes should be given time to recover from handling prior to use in experiments. The amount of recovery time needed may vary with species and conditions; therefore, preliminary tests would help to establish the appropriate recovery period.
- Effects of stressors can be reduced through the use of sedatives or by adding environmental salts to the holding water to reduce osmotic and related stress. (Note that marine fishes, due to their osmoregulatory requirements, can be an exception.) The specific salts and concentrations will vary depending on each fish species and environmental conditions. Sedatives themselves, however, can evoke physiological stress responses (Trushenski et al. 2012a), so they should be employed cautiously and in accordance with established guidelines.
- Environmental conditions from which fish originated, or are held, should not be changed rapidly. This is especially true for temperature conditions. An instantaneous change of 2°C in water temperature generally is not lethal, but it can cause detectable stress responses. Tolerable changes depend on the species, the life history stage, previous thermal history, and the initial holding conditions. Effects due to previous thermal history have been detected for as long as a month posttreatment. Rapid, substantial changes in water quality also should be avoided (see section 7.7 Water Quality).
- Fish densities should be appropriate. Fish which live in shoals should be kept as groups but not in such large groups that they are crowded and compete for food and space or degrade water quality.



Canadian Council on Animal Care



***guidelines on:***  
***the care and use of***  
***fish in research,***  
***teaching and***  
***testing***

This document, the CCAC *guidelines on: the care and use of fish in research, teaching and testing*, has been developed by the *ad hoc* subcommittee on fish of the Canadian Council on Animal Care (CCAC) Guidelines Committee.

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In addition, the CCAC is grateful to former members of CCAC Council: Ms Susan Waddy, Fisheries and Oceans Canada; Dr Jack Miller, University of Western Ontario; and Dr Choong Foong, Dalhousie University; and to Dr David Noakes, University of Guelph who provided considerable assistance in preliminary phases of this project. CCAC thanks the many individuals, organizations and associations that provided comments on earlier drafts of this guidelines document. In particular, thanks are extended to representatives of Fisheries and Oceans Canada, Environment Canada, the Canadian Aquaculture Institute, the Canadian Food Inspection Agency and the Canadian Society of Zoologists.

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ISBN: 0-919087-43-4

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## C. AQUATIC FACILITIES

### Guideline 7:

**Aquatic facilities are complex systems that must be well designed to minimize stress to the fishes, promote efficient operation of the facility, and ensure a safe working environment for personnel.**

The most basic principle in the design of fish-holding facilities is that a healthy fish population is dependent on a stress-free environment, which in turn requires the best possible facilities and water system (Wedemeyer, 1996a). Water provides the supporting medium for all aquatic species and serves two essential purposes: the provision of oxygen for all life processes, and dilution and removal of metabolic wastes. Both of these functions must be thoroughly addressed in any fish-holding system. Section C. Aquatic Facilities is primarily targeted to land-based holding systems, rather than to outdoor ponds or open water cages; however, the provision of a stress-free environment is equally applicable to these types of facilities.

### 1. Water Supply

Aquatic facilities should be designed to minimize pathogens in the water intake and may require methods of preventing biofouling in water intake and distribution systems. Water intakes located in bodies of water should be positioned in areas where they will not pick up debris, recycled wastewater or shipping waste (i.e. bilge water and fuel spills), or be subject to breaking waves or ice damage.

### 2. Water Quality

#### Guideline 8:

**If fresh or sea water is drawn from an open body of water or a municipal source, it should be tested for, and treated to remove, contaminants and pathogens.**

A comprehensive analysis of water quality parameters (ions, pH, metals, etc.) should be con-

ducted before a fish holding/testing facility is planned within a particular location or building to ensure that the water supply is suitable. This analysis is usually the responsibility of the engineer in charge of designing the facility, in consultation with a knowledgeable fish health professional. Laboratories capable of carrying out the initial comprehensive analysis of the proposed water supply can be found through the Canadian Association for Environmental Analytical Laboratories (CAEAL), [www.caeal.ca](http://www.caeal.ca). Water sources can be tested for a wide range of bacterial and chemical contaminants at most regional hospital laboratories, and some larger municipalities may also have water testing laboratories. Water quality guidelines for the protection of aquatic life have been developed by the water quality task group of the Canadian Council of Ministers of the Environment ([www.ec.gc.ca/CEQG-RCQE/English/Ceeg/Water/default.cfm#dri](http://www.ec.gc.ca/CEQG-RCQE/English/Ceeg/Water/default.cfm#dri)) and may provide guidance on acceptable levels of contaminants. Appendix D, although drafted for on-going water quality evaluation, provides a list of the parameters which should be tested. Institutions that have commissioned the facility should ensure that this analysis has been carried out. Depending on the reliability of the water source, further testing may be needed, for example on an annual basis. The supply should also be evaluated to ensure that there is sufficient capacity, including periods of maximum demand or emergency situations. To protect fish from potential contaminants, other measures, such as a carbon filtering system or a reverse osmosis system, may be required where problems from the water source have been detected (Huguenin & Colt, 2002).

Tests to determine the chemical composition and presence of contaminants/toxins will determine the treatment necessary to make the water suitable for use. Seasonal factors such as phyto- or zooplankton blooms, tidal cycles, seasonal water mass turnovers and lake turnovers can have periodic effects (scales of hours, days or months) for both sea water and fresh water, and these need to be anticipated.