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Andang Miatmoko &lt;andangmiatmoko@gmail.com&gt;

**229342291 (Drug Delivery) A revise decision has been made on your submission**

1 件のメッセージ

Drug Delivery &lt;onbehalf@manuscriptcentral.com&gt;

2022年10月26日 6:00

返信先: v.torchilin@neu.edu

To: andangmiatmoko@gmail.com

25-Oct-2022

Dear Dr Miatmoko:

Your manuscript entitled "The effect of surfactant type on characteristics, skin penetration and antiaging effectiveness of transfersomes containing amniotic mesenchymal stem cells metabolite products in UV-aging induced mice" which you submitted to Drug Delivery, has been reviewed. The reviewer comments are included at the bottom of this letter.

The reviewer(s) would like to see some revisions made to your manuscript before publication. Therefore, I invite you to respond to the reviewer(s)' comments and revise your manuscript.

When you revise your manuscript please highlight the changes you make in the manuscript by using the track changes mode in MS Word or by using bold or colored text.

In accordance with our format-free submission policy, an editable version of the article must be supplied at the revision stage. Please submit your revised manuscript files in an editable file format.

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To submit a revision, go to <https://rp.tandfonline.com/submission/flow?submissionId=229342291&step=1>. If you decide to revise the work, please submit a list of changes or a rebuttal against each point which is being raised when you submit the revised manuscript.

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Once again, thank you for submitting your manuscript to Drug Delivery and I look forward to receiving your revision.

Sincerely,  
Dr Torchilin  
Editor-in-Chief, Drug Delivery  
[v.torchilin@neu.edu](mailto:v.torchilin@neu.edu)

Comments from the Editors and Reviewers:

Reviewer: 1

Comments to the Author

This is a v good manuscript dealing with a novel idea of enhancing the penetration of amniotic mesenchymal stem cells through the skin using transfersomes.

I recommend publishing this manuscript after carrying the following:

- 1- The English language needs thorough revision throughout the text.
- 2- In the introduction: the use of sodium cholate and tween 80 as edge activators i transfersomes was previously

mentioned in: Colloids and Surfaces B: Biointerfaces, 2018, 167, pp. 63–72, so please mention.

3- All the nature of the error bars in the relevant figures should be stated.

4- The mechanism of action of Tween 80 in skin penetration should be discussed in detail in the discussion specifically its effect on stratum corneum. The authors can refer to: Molecular Pharmaceutics, 2010, 7(4), pp. 1266–1273.

Reviewer: 2

Comments to the Author

1. Abstract must be updated with numerical data of results.
2. Shorten the introduction with relevant information of study.
3. IR or DSC study must be added.
4. There is no release data performed.
5. How the liquid formulation applied to the skin of rats.
6. The English language and grammar must be rechecked.
7. Cite the following
  - a. Materials Science and Engineering: C 75, 1198-1205
  - b. International Journal of Pharmaceutics 505 (1-2), 147-158



Andang Miatmoko &lt;andangmiatmoko@gmail.com&gt;

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**Drug Delivery - Decision on Manuscript ID UDRD-2022-0470.R1**

1 件のメッセージ

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**Drug Delivery** <onbehalf@manuscriptcentral.com>

2022年11月16日 5:43

返信先: v.torchilin@neu.edu

To: andangmiatmoko@gmail.com

15-Nov-2022

Dear Dr Miatmoko:

Ref: The effect of surfactant type on characteristics, skin penetration and antiaging effectiveness of transfersomes containing amniotic mesenchymal stem cells metabolite products in UV-aging induced mice

Our referees have now considered your paper and have recommended publication in Drug Delivery subject to an originality check using the Crossref Similarity Check™ software.. We are pleased to accept your paper in its current form which will now be forwarded to the publisher for copy editing and typesetting. The reviewer comments are included at the bottom of this letter.

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Thank you for your contribution to Drug Delivery and we look forward to receiving further submissions from you.

Sincerely,  
Dr Torchilin  
Editor-in-Chief, Drug Delivery  
[v.torchilin@neu.edu](mailto:v.torchilin@neu.edu)

Reviewer(s)' Comments to Author:

1           **The effect of surfactant type on characteristics, skin penetration and anti-aging**  
2           **effectiveness of transfersomes containing amniotic mesenchymal stem cells metabolite**  
3           **products in UV-aging induced mice**

4  
5   Andang Miatmoko<sup>a,b,\*</sup>, Nurul Ailda Marufah<sup>a</sup>, Qothrin Nada<sup>a</sup>, Noorma Rosita<sup>a</sup>, Tristiana  
6   Erawati<sup>a</sup>, Joni Susanto<sup>c</sup>, Kusuma Eko Purwantari<sup>c</sup>, Arif Nurkanto<sup>d</sup>, Purwati<sup>b</sup>, Widji Soeratri<sup>a</sup>

7   <sup>a</sup> Department of Pharmaceutical Science, Faculty of Pharmacy, Universitas Airlangga,  
8   Campus C UNAIR Mulyorejo, Surabaya, 60115 Indonesia

9   <sup>b</sup> Stem Cell Research and Development Center, Campus C UNAIR Mulyorejo, Surabaya,  
10   60115 Indonesia

11   <sup>c</sup> Department of Anatomy and Histology, Faculty of Medicine, Universitas Airlangga,  
12   Campus A UNAIR, Jl. Prof. Dr. Moestopo No. 47, Tambak Sari, Surabaya, 60132, Indonesia

13   <sup>d</sup> Research Center for Biology, National Research and Innovation Agency, Kompleks CSC-  
14   BG LIPI, Jl. Raya Jakarta Bogor Km 46, Bogor, 16911, Indonesia

15  
16   \*Corresponding author. Tel.: 031-5933150; Fax: 031-5935249

17   E-mail: andang.m@ff.unair.ac.id (A. Miatmoko)

21 **Abstract**

22 Amniotic mesenchymal stem cell metabolite products (AMSC-MP) contain growth hormones  
23 that have considerable potential for anti-ageing therapy to improve the quality of an adjusted  
24 life year. Since it is difficult for these proteins to penetrate the skin, transfersome has been  
25 developed to enhance this process. However, its deformability is significantly affected by the  
26 type of surfactant acting as the edge activator. This study aims to determine the effect of  
27 surfactant types on transfersome-loading AMSC-MP. In this study, AMSC-MP transfersome  
28 was prepared with L- $\alpha$ -Phosphatidylcholine as a phospholipid and three types of surfactants,  
29 namely; cationic surfactant (stearylamine), anionic surfactant (sodium cholate), and non-ionic  
30 surfactant (Tween 80) with a phospholipid to surfactant weight ratio of 85:15. Transfersomes  
31 were prepared by thin layer hydration method and evaluated for physical characteristics,  
32 penetration, effectiveness and safety. The results showed that different types of surfactants  
33 affected the particle size and zeta potential of transfersomes. Sodium cholate, an anionic  
34 surfactant, produced the smallest transfersome particle size, i.e.,  $144.2 \pm 3.2$  nm, among all  
35 formulas. Trans-TW and Trans-SA had particle sizes of  $179.6 \pm 1.1$  nm and  $472.2 \pm 11.7$  nm  
36 respectively. Transfersome vesicles containing stearylamine had a positive charge of  $41.53 \pm$   
37  $6.03$  mV compared to Trans-SC and Trans-TW, whose respective charges were  $-56.9 \pm 0.55$   
38 mV and  $-41.73 \pm 0.86$  mV. The small particle size and low negative value of zeta potential  
39 enabled high dermal penetration by transfersomes containing AMSC-MP, thereby increasing  
40 its anti-aging effectiveness, while the positive charge of stearylamine hindered its penetration  
41 of deeper skin layers. Trans-SC and Trans-TW had higher collagen density values, at  $77.11 \pm$   
42  $4.15\%$  and  $70.05 \pm 6.95\%$ , than that of the normal skin group at  $67.69 \pm 2.87\%$ . In  
43 general, the AMSC-MP transfersomes composed of sodium cholate, stearylamine or Tween  
44 80 were relatively safe since minimal macrophage cell numbers invaded the dermis i.e., 0.5-  
45 1.0 cells per field of view. In conclusion, sodium cholate, an anionic surfactant, demonstrated  
46 considerable capacity as the edge activator of transfersome-loading AMSC-MP for skin anti-  
47 aging therapy.

48

49 **Keywords:** Quality Adjusted Life Year, Antiaging, AMSC-MP, Transfersomes, Edge  
50 Activator, Surfactants

51

## 52 1. Background

53 Skin aging, a complex progressive biological process caused by both intrinsic and extrinsic  
54 factors, negatively affects its appearance (Sjerobabski-Masnec & Šitum, 2010). The extrinsic  
55 impact of UV radiation is referred to as photoaging (Ahmad & Damayanti, 2018) which  
56 produces free radicals potentially detrimental to the structure and lining of the dermis by  
57 reducing both the number of fibroblast cells and collagen density. Reduced collagen synthesis  
58 results in skin aging, characterized by compromised skin elasticity, as well as the appearance  
59 of fine lines and dark blemishes. The use of anti-aging cosmetics seeks to prevent skin  
60 damage by increasing collagen density and the number of fibroblast cells (Ganceviciene et al.,  
61 2012).

62 AMSC-MP constitute a conditioned media of mesenchymal stem cell cultures of the  
63 amnion membrane (Han & Goleman, Daniel; Boyatzis, Richard; Mckee 2019). AMSC-MP  
64 contain significant amounts of growth hormone that possesses anti-aging properties (Islam et  
65 al., 2014) including Transforming Growth Factor Beta (TGF- $\beta$ ), Epidermal Growth Factor  
66 (EGF), basic Fibroblast Growth Factor (bFGF) and Keratinocytes Growth Factor (KGF) (Sari  
67 et al., 2020). Growth hormones, especially TGF- $\beta$ , can increase extracellular matrix (ECM)  
68 production, including collagen and fibroblasts (Shin et al., 2019), and inhibit ECM  
69 degradation. TGF- $\beta$  controls collagen homeostasis by regulating collagen production and  
70 degradation through the Smad pathway. On the other hand, the growth hormone in AMSC-  
71 MP consists predominantly of hydrophilic macromolecules >25 kDa in size, while  
72 hydrophilic molecules measuring >500 Da experience difficulty in penetrating the skin  
73 (Pratiwi et al., 2018). Consequently, penetrating the dermis to produce effects requires  
74 delivery carriers such as transfersomes.

75 Transfersomes represent an artificial vesicular system possessing ultra-deformable  
76 properties and an aqueous core surrounded by a double layer of phospholipids (Cevc 2004;  
77 Kamran et al. 2016). Their ability to deform enables them to pass through narrow skin pores  
78 and serve as carriers of drugs either high or low in molecular weight. They penetrate the  
79 epidermis by modifying intercellular lamellar lipids present in the stratum corneum (Cevc  
80 and Blume 1992; Imam et al. 2017). A study conducted by Surini & Joshita Djajadisastra  
81 (2018) developed a transfersomal anti-aging product containing *Centella asiatica* extract, a  
82 transfersomal gel with twice the penetrative ability than that of the control gel, as the active  
83 cosmetic ingredient. In addition, transfersomes have also been employed as a delivery system  
84 for proteins and peptides which penetrate the skin with difficulty due to large biogenic  
85 molecules and degradation in the GI tract when administered orally (Pawar et al., 2016)



86 Transfersomes consist of phospholipids and edge activators, while phospholipids are  
87 xerophobic and tend to avoid a dry environment. Transfersomes follow the osmotic gradient  
88 of the skin, penetrating its deeper layers where the water content is higher than that of the  
89 surface, through the intercellular gap in the stratum corneum (Cevc, 2003). The addition of  
90 edge activators may affect transfersome deformability (Yang et al., 2019). Surfactants have  
91 been known to act as edge activators which increase the deformability of the double layer of  
92 phospholipids by lowering interfacial tension and affecting membrane curvature (Surini &  
93 Joshita Djajadisastra, 2018).

94 The difference in charge between the functional groups of the surfactant will affect  
95 transfersome penetration (Reningtyas & Mahreni, 2015). Gupta & Rai (2017) reported that  
96 surfactants with non-ionic charges penetrate more rapidly than those containing ionic  
97 functional groups. They also explained that cationic-charged surfactants will be adsorbed on  
98 the surfaces of cell membranes contained in the negatively charged, cutaneous surface while  
99 anionic-charged surfactants are only adsorbed in a neutral double layer. The difference in  
100 charge within the surfactant functional group represents the basis for selecting those  
101 surfactants to be studied, namely non-ionic, cationic, and anionic.

102 Lee, et al. (2005) reported that transfersomal cream formulations made for DNA  
103 delivery are distinguished by the type of surfactant, i.e., anionic (sodium cholate) or nonionic  
104 (Tween 80), they contain. The use of sodium cholate and Tween 80 as the edge activators in  
105 transfersomes has also been reported (Abdel-Hafez, Hathout, & Sammour 2018).  
106 Transfersomes prepared with Tween 80 have a larger particle size and a lower zeta potential  
107 value compared to sodium cholate. The higher the potential zeta value, the stronger the  
108 repulsive force between particles. Transfersomes prepared with sodium cholate in DNA  
109 delivery are more stable than those prepared with Tween 80 (Moghassemi & Hadjizadeh,  
110 2014).

111 In this study, the use of surfactants with different charges was evaluated for its effect on  
112 the physical characteristics, *in vivo* skin penetration, and *in vivo* anti-aging effectiveness of  
113 transfersomes containing AMSC-MP. This was both in terms of collagen density and  
114 fibroblast count, as well as safety evaluations in UV aging-induced mice. The types of  
115 surfactants comprised Tween 80 as the non-ionic surfactant, stearylamine as the cationic  
116 surfactant, and sodium cholate as the anionic surfactant.

117

## 118 **2. Materials and method**

### 119 **2.1. Materials**

120 AMSC-MP were obtained from the Stem Cell Research and Development Center, Universitas  
 121 Airlangga, Indonesia. Approval for collecting human placenta tissues was granted by the  
 122 Ethical Committee of Universitas Airlangga Hospital with certificate number 101/KEH/2019,  
 123 dated January 10, 2019. L- $\alpha$ -Phosphatidylcholine was a product of Sigma-Aldrich Ltd.  
 124 (Buchs, Switzerland). Tween 80 stearylamine was acquired from Sigma-Aldrich Ltd  
 125 (Switzerland), while sodium cholate was purchased from Sigma-Aldrich Ltd. (New Zealand).  
 126 For the purposes of the penetration study, 1,2-dipalmitoyl-sn-glycero-3-  
 127 phosphoethanolamine-N-(lissamine rhodamine B sulfonyl) (ammonium salt) (16: 0 Liss-  
 128 Rhod PE) was obtained from Avanti Polar Lipids Inc. (USA). All other reagents used were of  
 129 the non-technical grade available.

130

## 131 2.2 Preparation of Transfersomes

132 Transfersomes were prepared by dissolving L- $\alpha$ - Phosphatidylcholine and the surfactants i.e.  
 133 Tween 80, sodium cholate, and stearylamine in chloroform before mixing them  
 134 homogeneously in a 50 mL round base flask at the appropriate amounts shown in Table 1.  
 135 The chloroform was subsequently evaporated using a rotary vacuum evaporator at a  
 136 temperature of 55°C and a velocity of 150 rpm. After the solvent had been completely  
 137 evaporated, a thin lipid film that formed at the bottom of the flask was hydrated using  
 138 AMSC-MP. The mixtures were vortexed and sonicated at room temperature for 30 minutes  
 139 until a suspension formed which was then extruded through 400 nm and 200 nm  
 140 polycarbonate membranes (Avanti Mini Extruder<sup>®</sup>, Avanti Lipids Inc., USA), and AMSC-  
 141 MP. The loaded transfersomes obtained were characterized by the formation of a transparent  
 142 emulsion-like liquid. For the *in vivo* skin penetration study, transfersomes were added to Liss  
 143 Rhod PE at a concentration of 0.1% of the total lipid moles and prepared using the same  
 144 method.

145

146 **Table 1.** Formulation of transfersome-loading amniotic mesenchymal stem cell metabolite  
 147 products prepared with different types of surfactant.

Component	Function	Formulation ( $W/W$ )		
		Transf-TW	Transf-SA	Transf-SC
AMSC-MP	Active Ingredients	95%	95%	95%
L- $\alpha$ -Phosphatidylcholine	Phospholipid	4.25%	4.25%	4.25%
Tween 80	Surfactant	7.5%	-	-

Stearylamine	Surfactant	-	7.5%	-
Sodium Cholate	Surfactant	-	-	7.5%

148

### 149 **2.3 Physical Characteristics and Morphology of Transfersome**

150 Approximately 100  $\mu\text{L}$  of the transfersome was diluted with 2 mL of demineralized water.  
 151 Tests relating to particle size, Polydispersity Index, and Zeta Potential were conducted  
 152 through Dynamic Light Scattering and Electrophoresis Light Scattering methods involving  
 153 the use of Malvern Zetasizer Instruments (Malvern Panalytical Ltd., UK) at a temperature of  
 154 25°C. Evaluation of transfersome morphology was undertaken using a Scanning Electron  
 155 Microscope (SEM) at the Division of Materials Characterization, Faculty of Industrial  
 156 Engineering, Tenth of November Institute of Technology, Surabaya. The samples were air-  
 157 dried onto SEM stubs using carbon tape before being sputter-coated with iridium to a  
 158 thickness of 20 nm.

159

### 160 **2.4 Fourier-Transform Infrared (FTIR) Spectroscopy Analysis**

161 The FTIR profiles of transfersome-loaded AMSC-MP were analysed using an FTIR  
 162 spectrophotometer (Shimadzu, Kyoto, Japan). The freeze-dried transfersomes were prepared  
 163 with potassium bromide at a weight ratio of 1:100, before being pressed to form thin,  
 164 translucent pellets which were subsequently examined at wavenumbers of 4000–400  $\text{cm}^{-1}$ .

165

### 166 **2.5 Differential thermal analysis (DTA) of Transfersomes**

167 The freeze-dried transfersomes were placed in aluminium crucibles and heated from 30°C to  
 168 300°C at a rate of 10°C/min using a DTA instrument (Mettler Toledo FP 85, Switzerland).

169

### 170 **2.6 SDS PAGE Analysis**

171 Qualitative analysis of the AMSC-MP-loaded transfersomes was conducted by means of  
 172 Sodium Dodecyl Sulphate Polyacrylamide Gel Electrophoresis (SDS-PAGE) which is used to  
 173 detect and separate proteins according to their molecular weight. The preparation was  
 174 analysed using Mini-Protean Tetra Cell<sup>®</sup> (Bio-Rad Laboratories Ltd.) at the Institute of  
 175 Tropical Disease, Campus C, Universitas Airlangga. The samples were diluted with  
 176 phosphate buffered saline pH 7.4 (1:1 v/v) and inserted into 30  $\mu\text{L}$  of gel which was then  
 177 incubated in a Fixer solution containing 40% ethanol, 10% acetic acid, and 50%  
 178 demineralized water for one hour, before being washed with demineralized water for 30

179 minutes. The gel was incubated in 0.02% sodium thiosulfate solution for one minute, washed  
180 with demineralized water for 3x20 seconds, incubated in a 0.1% silver nitrate solution for 200  
181 minutes at a temperature of 4°C and, finally, washed again with demineralized water for 3x20  
182 seconds. The gel was then placed on a coloring tray, washed with demineralized water for 1  
183 minute, developed with 3% sodium carbonate solution and re-washed with demineralized  
184 water for 20 seconds. At this point, the staining process was stopped by adding 5% acetic  
185 acid solution prior to incubation of five minutes' duration. Finally, the gel was deposited in a  
186 1% acetic acid solution at a temperature of 4°C.

187

## 188 **2.7 *In vivo* skin penetration study**

189 For the *in vivo* studies, 6-8-week-old mice (*Mus musculus*) weighing 20-25 grams which had  
190 been acquired from the Faculty of Veterinary, Universitas Airlangga served as the  
191 experimental subjects with a study protocol approved by its Ethics Commission (Certificate  
192 number 2.KE.057.05.2021, dated May 25, 2021).

193 The *in vivo* skin penetration study focused on four treatment groups, each containing  
194 four subjects, i.e., control (liposome), AMSC-MP-loaded Transfersome prepared with  
195 stearylamine (Trans-SA), Tween 80 (Trans-TW), and sodium cholate (Trans-SC). In this  
196 study, Lis RHOD PE was added to the liposomes or transfersomes. First, the hair on the  
197 subjects' backs was shaved before skin aging was induced through daily exposure to UV rays  
198 at a dose of 80 mJ / cm<sup>2</sup> for a period of one week. The subjects were anesthetized with  
199 ketamine through an intraperitoneal dose of 20 mg/kg body weight. A glass ring with a  
200 diffusion area of 2.54 cm<sup>2</sup> was adhered to the skin of the subjects' backs to which the samples  
201 were subsequently applied non-occlusively. The subjects were sacrificed by means of  
202 cervical dislocation either one and two hours after administration of the samples. An area of  
203 skin was gently cleaned with saline applied by means of cotton swabs before being excised  
204 for further analysis. The skin tissue was wrapped in aluminium foil and placed in an ultradeep  
205 freezer (-80°C) in preparation for cryosection to be performed with a 1959 UV Cryostat Leica  
206 CM to a thickness of 16 µm. The tissue slides were later observed using a fluorescence  
207 microscope.

208

## 209 **2.8 *In vivo* skin anti-aging efficacy study: evaluation of collagen density and number of** 210 **fibroblasts**

211 Skin aging in the subjects whose back fur had been removed was induced by UV-B light  
212 exposure at an intensity of 80 mJ /cm<sup>2</sup>. Daily irradiation lasting 34 minutes was carried out

213 for seven days. The sample was subsequently applied to a 2.54 cm<sup>2</sup> area of skin on each  
214 subject's back at two-day intervals for a period of two weeks. On day 15, the subjects were  
215 sacrificed with their skin being excised and soaked in Neutral Buffered Formalin (NBF)  
216 solution in order to make histopathological tissue preparations. The skin tissue was then cut  
217 using a microtome and stained with Mallory acid for collagen fibril evaluation and  
218 Hematoxylline-Eosine staining to enable calculation of the number of fibroblasts. The tissue  
219 slides prepared were observed under a light microscope. Analysis of collagen fibrils was  
220 carried out using J-Images Software, while the fibroblasts were counted manually.

221

## 222 **2.9 Skin Irritation Evaluation: number of macrophages**

223 For the purposes of this study, the subjects' shaved back skin was applied to the samples  
224 within an area measuring 2.54 cm<sup>2</sup>. 24 hours after application, the subjects were sacrificed  
225 and a skin sample excised, prepared for tissue slides by paraffin block method and stained  
226 with Hematoxyline-Eosine. The skin tissue was then subjected to quantitative analysis for  
227 evidence of irritation by calculating the number of macrophages under a light microscope.

228

## 229 **2.10 Statistical Analysis**

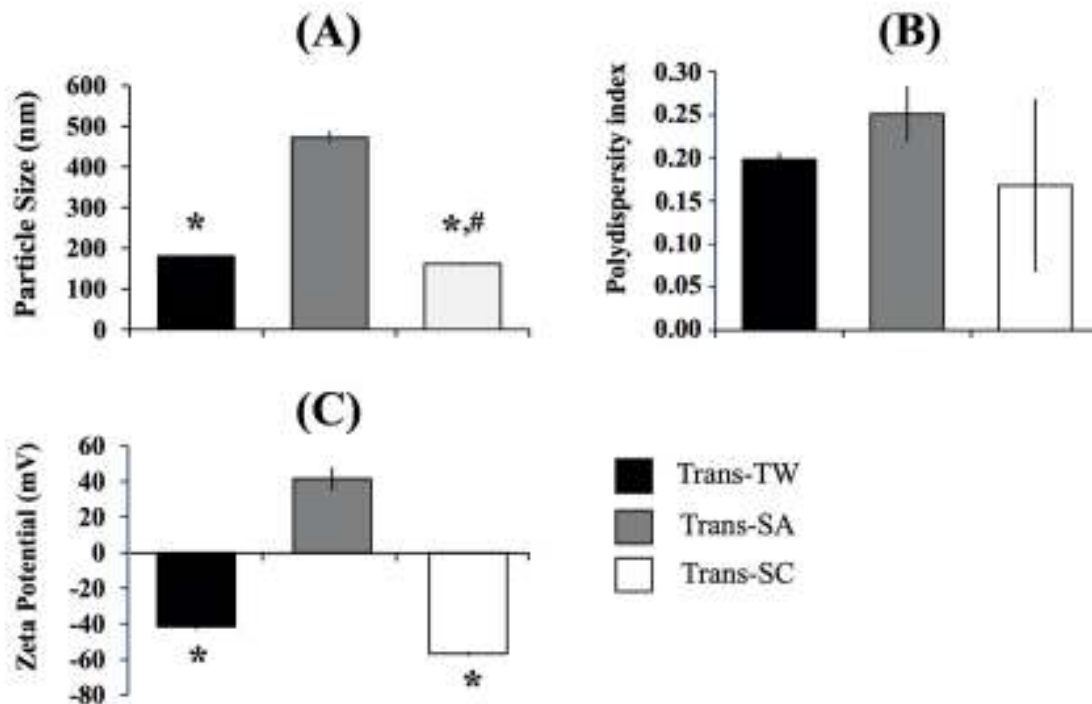
230 The numerical data which was analysed for normal distribution by means of a Kolmogorov–  
231 Smirnov test indicated the average  $\pm$  standard deviation. If the data was normal ( $P$  value  
232  $\geq 0.05$ ), it was subjected to a quantitative One Way Analysis of Variance. If the  $P$  value  $<$   
233  $0.05$ , the data analysis was followed by a Post Hoc Tukey HSD test to evaluate the significant  
234 differences between the groups. In cases of data that was not distributed normally, a non-  
235 parametric analysis was performed using Kruskal Wallis and Pairwise Comparison tests.

236

237

238 3. Results

239 3.1 Physical Characteristics of Transfersome-loading AMSC-MP



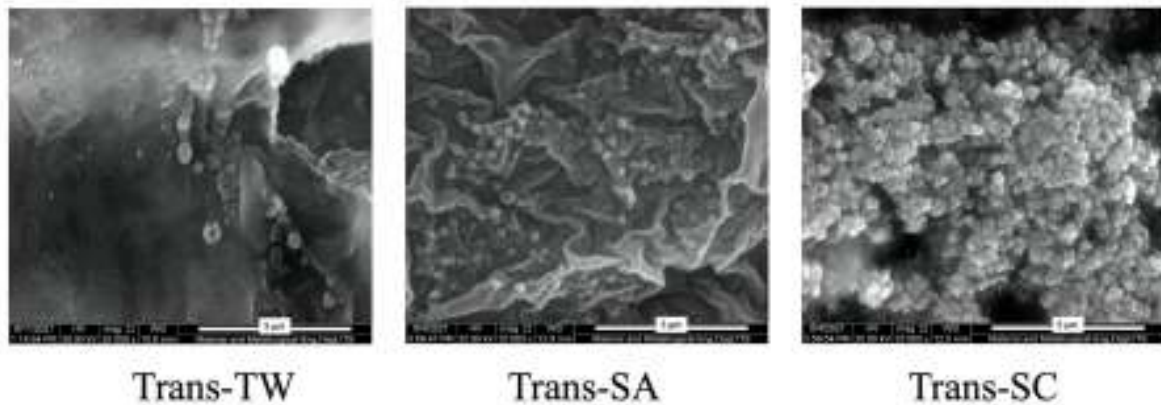
240  
241 **Figure 1.** Physical characteristics of transfersome-loading AMSC-MP prepared with different  
242 types of surfactants, i.e., Tween 80 (Trans-TW), stearylamine (Trans-SA), and sodium  
243 cholate (Trans-SC) measured for (A) particle size, (B) polydispersity index, and (C) zeta  
244 potential. The results were measured in three replications. \* $P < 0.05$  compared to Trans-SA,  
245 # $P < 0.05$  compared to Trans-TW.

246  
247 The results in Figure 1 indicate that the particle sizes of all transfersomes were below 500 nm.  
248 The highest particle size of  $472.2 \pm 11.7$  nm was observed in the case of Trans-SA formula,  
249 followed by  $179.6 \pm 1.1$  nm for Trans-TW, and  $144.2 \pm$  of 3.2 nm for Trans-SC. A  
250 polydispersity index (PDI) analysis, showed that Trans-SA, Transf-TW, and Trans-SC had  
251 PDI values of  $0.251 \pm 0.031$ ,  $0.198 \pm 0.006$ , and  $0.168 \pm 0.099$  respectively; indicating  
252 homogeneous particle size distribution (Wei et al., 2014). Measurement of the zeta potential  
253 was undertaken to quantify the stability of the nanoparticles during storage (Sadeghi et al.,  
254 2015). The stability of the system increased if the value of the potential zeta  $\geq |\pm 30|$  mV.  
255 From the data above, the highest successive zeta potential values were confirmed as follows:  
256 Trans-SC  $-56.9 \pm 0.55$  mV, Trans-TW  $-41.73 \pm 0.86$  mV, and Trans-SA  $41.53 \pm 6.03$  mV.  
257 All formulas had a potential zeta value of  $\geq |\pm 30|$  mV meaning that the entire formula

258 demonstrated high levels of system stability due to the repulsive force between particles  
259 which prevented aggregation (Pertiwi et al., 2018).

260

### 261 3.2. Morphology of Transfersome-loading AMSC-MP by Scanning Electron Microscopy



262

263 **Figure 2.** Scanning electron microscopy (SEM) pictures of (A) transfersome containing  
264 Tween 80 (Trans-TW), (B) transfersome containing stearylamine (Trans-SA), and (C)  
265 transfersome containing sodium cholate (Trans-SC)-loading AMSC-MP. Scale bar: 5 µm

266

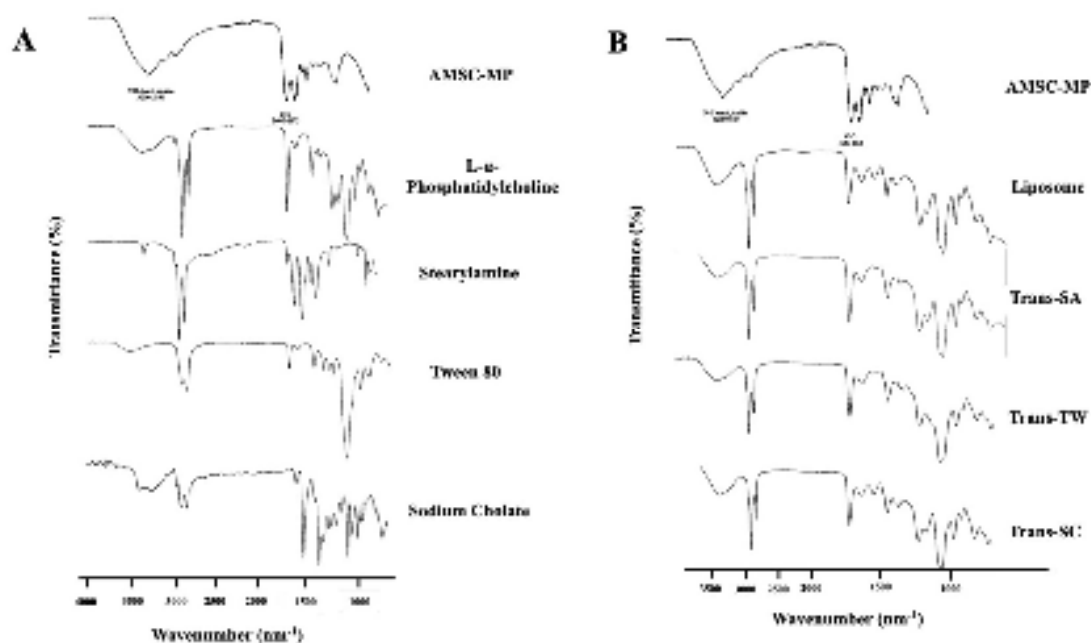
267 On morphological evaluation, the results showed that Trans-SA and Trans-TW had a single,  
268 spherical vesicular form, whereas, as shown in Figure 2, the Trans-SC contained clustered  
269 spherical vesicles.

270

### 271 3.3. FTIR Analysis of Transfersome-Loading AMSC-MP

272 The FTIR analysis results were further evaluated to establish the nature of the interaction  
273 between AMSC-MP and transfersome components. This involved determining changes to the  
274 absorption bands of particular functional groups within specific wavenumbers, as shown in  
275 Figure 3.

276



277

278 **Figure 3.** Fourier transform infrared spectra of A) components of transfersomes, i.e., AMSC-  
 279 MP, L- $\alpha$  phosphatidylcholine, stearylamine, Tween 80, sodium cholate; and B) liposome and  
 280 transfersome-loading AMSC-MP prepared with different surfactants as the edge activators,  
 281 i.e., Trans-SA, Trans-TW, and Trans-SC.

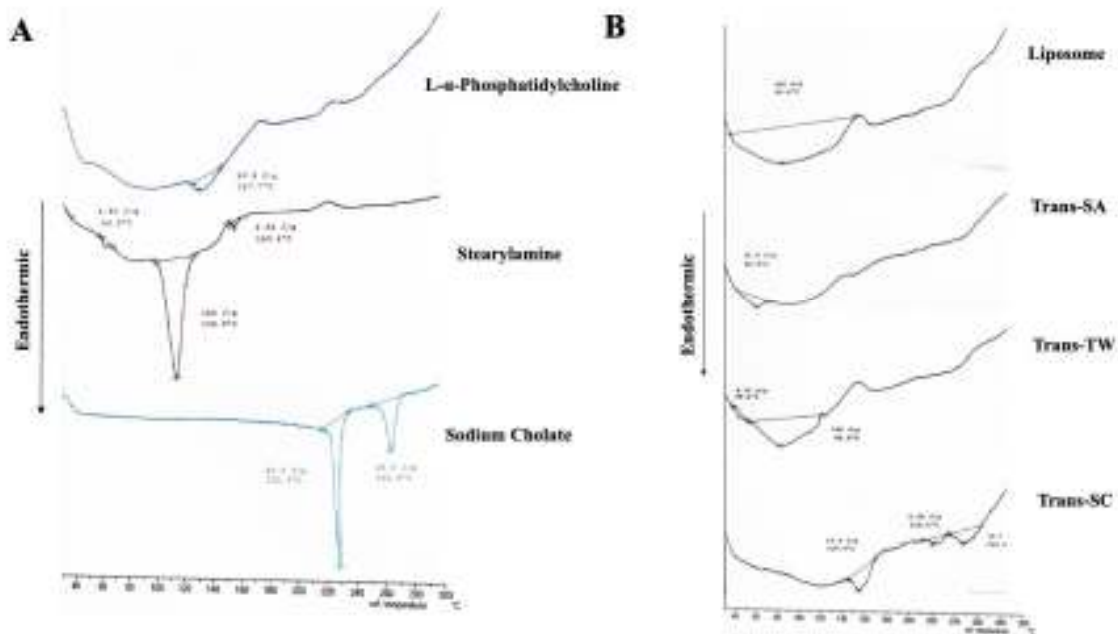
282

283 The results show that formulating AMSC-MP into transfersomes changed the infrared spectra  
 284 profile of AMSC-MP. In contrast, adding surfactants, i.e., SA, SC, TW, to the Trans-SA,  
 285 Trans-TW, Trans-SC, and liposomes, resulted in identical IR spectra profiles, as shown in  
 286 Figure 3A-B. The AMSC-MP transfersomes and liposomes had an absorption band of the N  
 287 – H group at a wavenumber of 3200 – 3350  $\text{cm}^{-1}$  identical to the absorption band that  
 288 appeared in AMSC-MP. Moreover, the presence of an absorption band for the C = O group at  
 289 a wavenumber of 1082 $\text{cm}^{-1}$  indicated that the observed spectra are identical in terms of  
 290 liposomes and the three transfersome formulas. The N – H and C = O groups are  
 291 characteristic of protein functional groups specific to AMSC-MP. In addition, specific  
 292 absorption bands of the N – H group within the wavenumber range of 2854 – 3000  $\text{cm}^{-1}$   
 293 appeared in both the spectra of Trans-SA, Trans-SC, and Trans-TW, as well as the liposome  
 294 representing the acyl chain of L- $\alpha$  phosphatidylcholine. No specific absorption bands were  
 295 observed for surfactants, i.e., SA, SC, and TW of the transfersomes. In addition, no new  
 296 peaks indicating that physical interaction had occurred were evident, and no chemical  
 297 interaction was detected.



298

299 **3.4. DTA Analysis of Transfersome-Loading AMSC-MP**



300

301 **Figure 4.** Thermogram profiles A) L- $\alpha$ -phosphatidylcholine, stearylamine, and sodium  
302 cholate constituting the transfersome components, and; B) liposome and transfersome-  
303 loading AMSC-MP prepared with different types of surfactants i.e. stearylamine (Trans-SA),  
304 Tween 80 (Trans-TW), and sodium cholate (Trans-SC).

305

306 The effects of surfactant use in transfersome-loading AMSC-MP were further evaluated  
307 for changes in the physical characteristics of the transfersomes due to DTA. As shown in  
308 Figure 4A, the L- $\alpha$ -Phosphatidylcholine experienced an endothermic peak at 127.7°C, while  
309 the stearylamine thermogram reached three endothermic peaks at temperatures of 66.9°C,  
310 108.9°C, and 150.8°C. The sodium cholate thermogram indicates two endothermic peaks at  
311 221.4°C and 262.0°C.

312

313 For the DTA evaluation, the three transfersome formulae, namely: Trans-SA, Trans-SC,  
314 and Trans-TW, had different thermogram profiles. An endothermic peak occurred in the  
315 thermogram of AMSC-MP liposome at 82.5°C, indicating multiple peak shifts when  
316 compared to its component L- $\alpha$  phosphatidylcholine which was at 127.7°C. The Trans-SA  
317 thermogram experienced an endothermic peak at 68.8°C which was identical to that of  
318 stearylamine occurring at 66.9°C. On the other hand, Trans-SC experienced endothermic  
peaks at 148.6°C, 216.4°C, and 249.5°C with peak broadening appears compared with

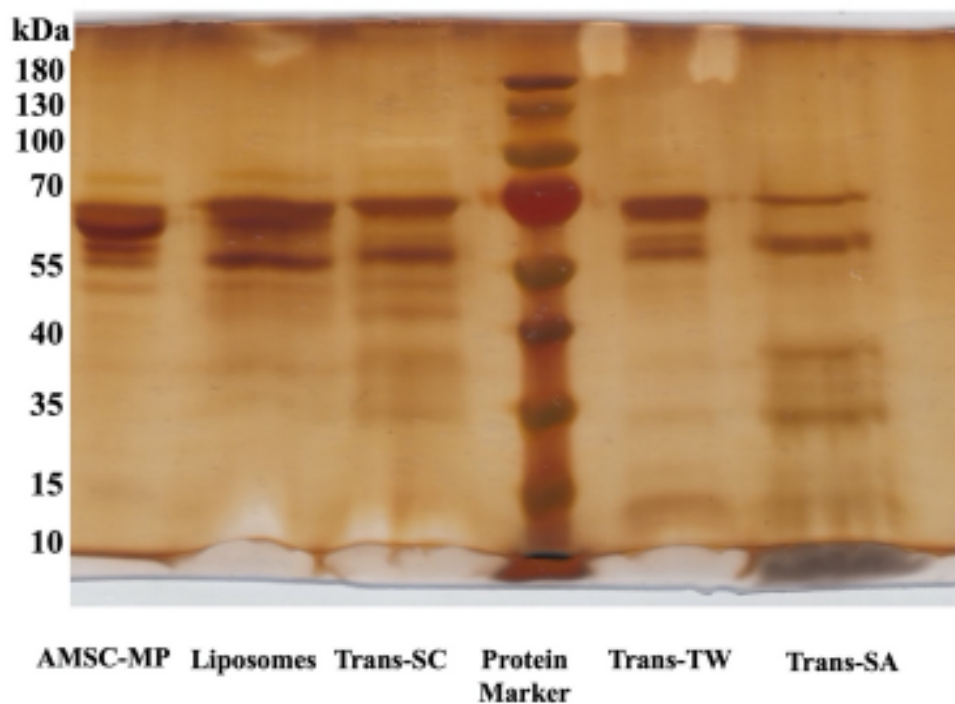
319 sodium cholate. [Meanwhile, Trans-TW experienced two endothermic peaks at 38.2°C and  
320 82.9°C which were identical to those occurring in liposome and L- $\alpha$ -phosphatidylcholine.

321

### 322 3.5. SDS PAGE Analysis of Transfersome-loading AMSC-MP

323 In order to measure the ability of transfersomes to load active substances of AMSC-MP, an  
324 SDS PAGE analysis was performed. The results confirmed the presence of an AMSC-MP-  
325 free major band in the same elution area as the protein ladder band with a molecular weight  
326 of 55-70 kDa. The use of Trans-TW confirmed the presence of marker proteins of major  
327 AMSC-MP components at molecular weights similar to 55-70 kDa, but fewer free proteins  
328 characterized by thin bands than liposomes (the control group) as presented in Figure 5.  
329 Trans-SC was shown to contain fewer free proteins compared to Trans-TW, while Trans-SA  
330 contained the lowest level of such proteins compared to the others as confirmed by  
331 observation of the thinnest band. This indicated the highest capacity to entrap the active  
332 substances of AMSC-MP as shown by the contents of Figure 5.

333



334

335 **Figure 5.** Results of SDS-PAGE analysis of transfersome containing Tween 80 (Trans-TW),  
336 (B) transfersome containing stearylamine (Trans-SA), and (C) transfersome containing  
337 sodium cholate (Trans-SC)-loading AMSC-MP.

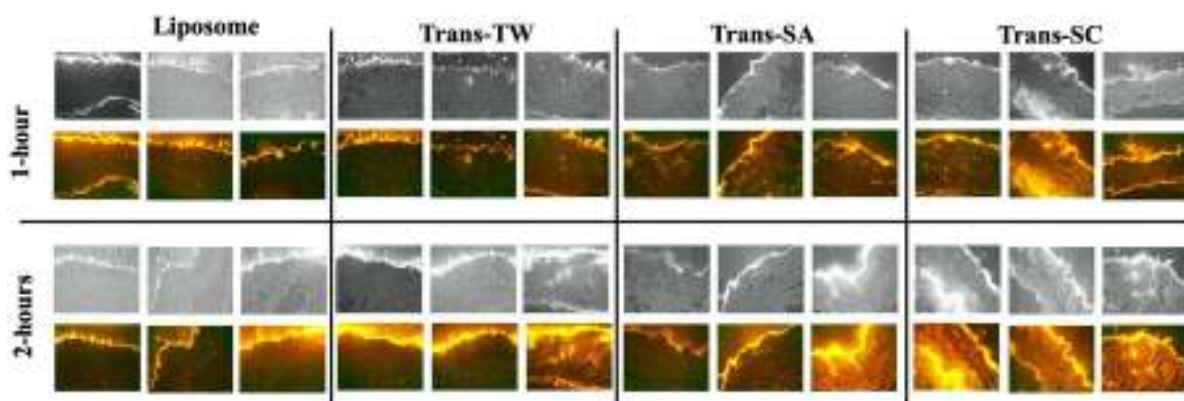
338

339

340 **3.6. In vivo skin penetration study results**

341 From the skin tissue preparations, the depth to which the vesicles of liposomes, Trans-SA,  
342 Trans-TW, and Trans-SC penetrate the skin layer is evident from the red-fluorescence  
343 intensity of Liss Rhod PE contained in the vesicles. The results shown in Figure 6 indicate  
344 that liposomes caused minimal dermis penetration, while fluorescence intensity was  
345 concentrated on the skin's surface (stratum corneum). Trans-SA demonstrated the highest red  
346 fluorescence intensity in the area of the stratum corneum indicating that the vesicles had been  
347 retained in the upper skin layer. On the other hand, Trans-TW was observed to penetrate as  
348 far as the deeper skin layer which was not the case with Trans-SA. However, its intensity  
349 remained lower than that of Trans-SC which produced the highest vesicle penetration of the  
350 dermis. The surfactant charge significantly affected the skin penetration of transfersome-  
351 loading AMSC-MP.

352



353

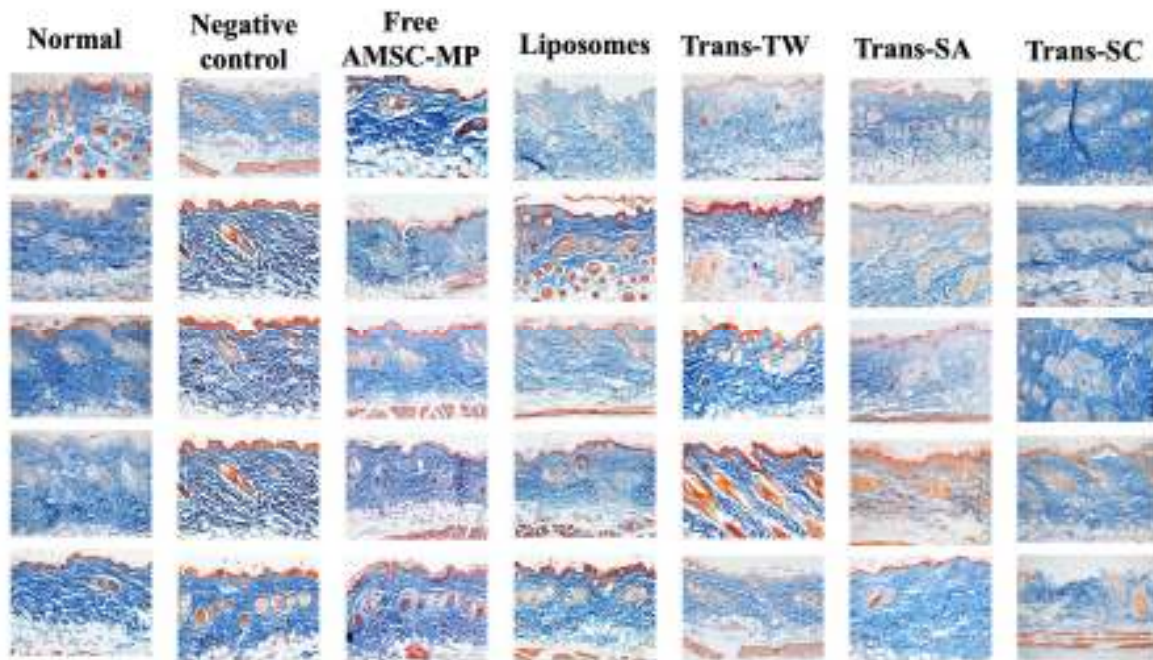
354 **Figure 6.** *In vivo* skin penetration of liposome, transfersome containing stearylamine (Trans-  
355 SA), transfersome containing sodium cholate (Trans-SC), and transfersome containing  
356 Tween 80 (Trans-TW)-loading AMSC-MP with the addition of Lis Rhod PE at a  
357 concentration of 0.1% mole of total lipid at one and two hours after topical application to a  
358 2.54 cm<sup>2</sup> area of skin on the subjects' backs.

359

360 **3.7. In vivo antiaging effectivity study: collagen density**

361 The data shows that skin aging due to UV exposure which can reduce and, in more extreme  
362 cases, damage skin collagen through the production of Reactive Oxygen Species (ROS) in the  
363 dermis resulted in decreased collagen density. The negative control group experienced the  
364 lowest level of collagen, as shown in Figure 7. The collagen density values ranging from  
365 highest to lowest were as follows: Trans-SC of 77.11 ± 4.15%, Trans-SA of 71.81 ± 5.93%,

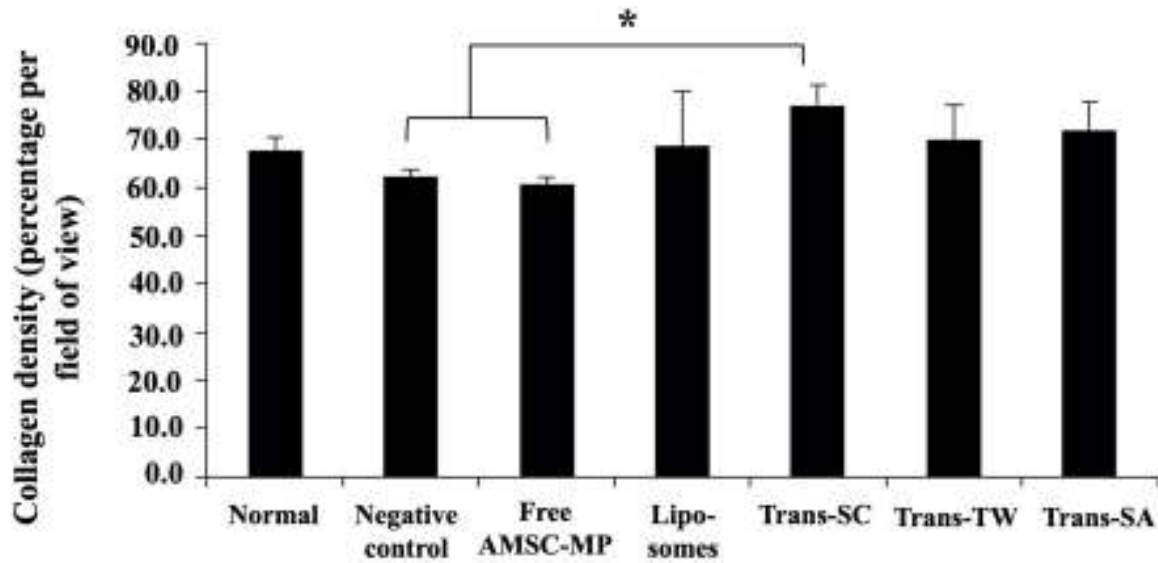
366 and Trans-TW of  $70.05 \pm 6.95\%$ . Trans-SC and Trans-TW had higher collagen density values  
367 than that of the normal skin group of  $67.69 \pm 2.87\%$ , as presented in Figure 8.



368

369 **Figure 7.** Photomicroscopy of collagen density in the dermis layer of the dorsal skin of  
370 subjects without UV light induction (normal skin group) and those in the UV light induction  
371 group (UV-aging skin negative control) with administration of free AMSC-MP, transfersome  
372 containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and  
373 transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP. The samples were  
374 subsequently applied to a  $2.54 \text{ cm}^2$  area of skin on the back of each subject once every two  
375 days for a period of two weeks.

376



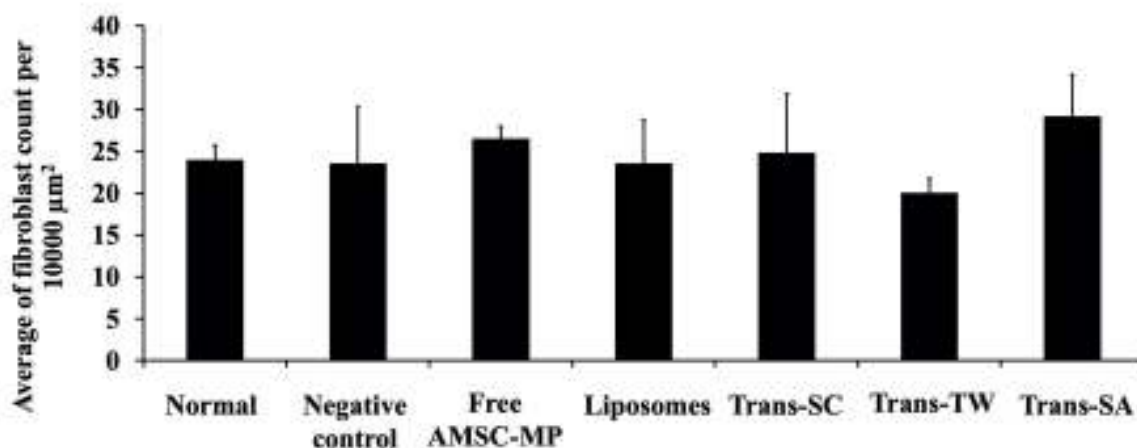
377

378 **Figure 8.** Quantitative histogram analysis of collagen density of the dermis layer of the  
 379 dorsal skin of subjects without UV light induction (normal skin group) and the UV light  
 380 induction group (UV-aging skin negative control) with administration of free AMSC-MP,  
 381 transfersome containing stearylamine (Trans-SA), transfersome containing sodium cholate  
 382 (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP. The  
 383 samples were subsequently applied to a 2.54 cm<sup>2</sup> area of skin on the back of each subject  
 384 once every two days for a period of two weeks. \**P*<0.05.

385

### 386 **3.8. *In vivo* antiaging effectivity study: Fibroblast number**

387 The evaluation results relating to anti-aging activity were analyzed by evaluating the number  
 388 of fibroblast cells capable of producing collagen. The more numerous the fibroblasts, the  
 389 greater the quantity of collagen formed. Compared to the normal skin group, AMSC-MP  
 390 administration increased the number of fibroblast cells to  $30 \pm 6.79$  cells per field of view, a  
 391 level relatively similar to Trans-SA or Trans SC, as shown in Figure 9.



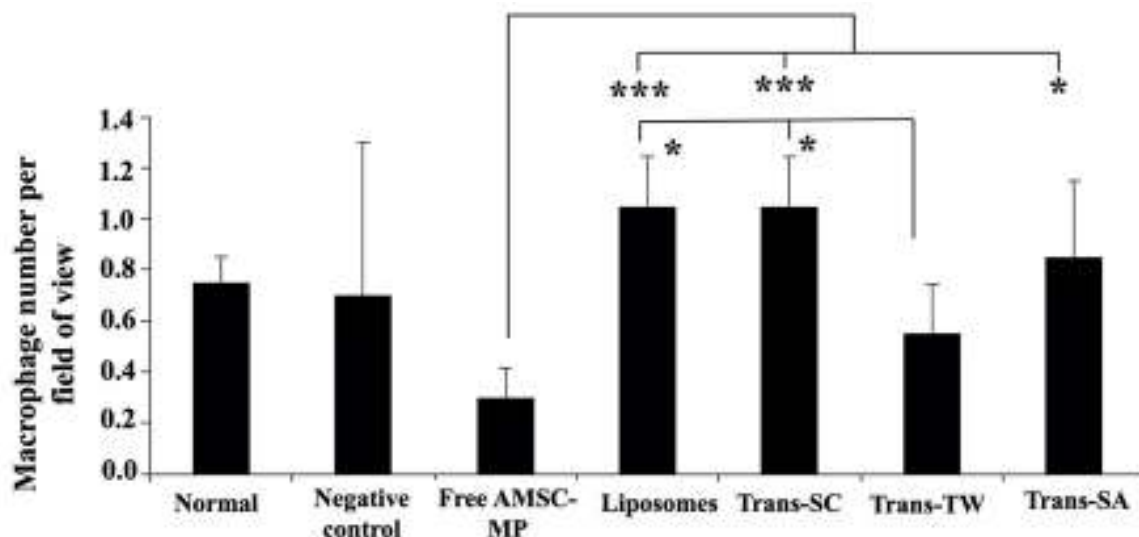
392  
 393 **Figure 9.** The number of fibroblasts contained in the dorsal skin tissue slides of subjects not  
 394 subjected to UV light induction (normal skin group) and the UV light induction group (UV-  
 395 aging skin negative control) following administration of free AMSC-MP, transfersome  
 396 containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and  
 397 transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP. The samples were  
 398 subsequently applied to a 2.54 cm<sup>2</sup> area of the skin on the back of each subject once every  
 399 two days for a period of two weeks.

400

### 401 **3.9. In vivo skin irritation study: Macrophage cell number**

402 As presented in Figure 10, the minimum number of macrophage cells, 0.3 cells per field of  
 403 view, was recorded in the AMSC-MP-treated group, while the use of liposomes and  
 404 transfersomes relatively increased the number of macrophages to as many as 0.8-1 cell per  
 405 field of view. In contrast, Trans-TW registered the lowest number of cells at 0.5 per field of  
 406 view. Although formulating AMSC-MP into transfersomes resulted in more numerous  
 407 macrophage cells, its effect remained minimal. Nevertheless, the results seems to be  
 408 considerable taking into account the deviation of macrophage numbers observed in negative  
 409 control groups which could be due to the natural variation of the immune response to foreign  
 410 matter.

411



412  
 413 **Figure 10.** The histopathological evaluation of macrophage cell numbers on the dorsal skin  
 414 of subjects' tissue slides without UV light induction (normal skin group) and the UV light  
 415 induction group (UV-aging skin negative control) following administration of free AMSC-  
 416 MP, transfersome containing stearylamine (Trans-SA), transfersome containing sodium  
 417 cholate (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP  
 418 to a 2.54 cm<sup>2</sup> area of skin on the back of each subject for twenty-four hours. \* $P < 0.05$ ;  
 419 \*\*\* $P < 0.005$ .

420

#### 421 4. Discussion

422 This study evaluates the effect of surfactants with various charges on the physical  
 423 characteristics of transfersomes. This significantly influences the *in vivo* skin penetration and  
 424 anti-aging effectiveness of AMSC-MP in terms of collagen density and the number of  
 425 fibroblasts. It also affects the safety evaluations reviewed according to the number of  
 426 macrophages present in dermal tissue. The types of surfactants used included Tween 80 as a  
 427 non-ionic surfactant, stearylamine as a cationic surfactant, and sodium cholate as an anionic  
 428 surfactant.

429 The particle size data provides an overview of the penetration of the skin by active  
 430 cosmetic ingredients. The smaller the particle size, the greater the contact between the active  
 431 ingredient and the stratum corneum and the larger the skin pores, both factors which facilitate  
 432 penetration of the dermis by active substances (Pardeike, Schwabe, & Müller 2010). AMSC-  
 433 MP transfersomes prepared with the anionic surfactant (sodium cholate) tend to have a  
 434 smaller particle size than the uncharged surfactant (Tween 80). This finding is in accordance  
 435 with that of the research conducted by Namdeo & Jain (1999). The use of a negatively

436 charged surfactant has been reported to reduce the average size of the particles because the  
437 negative charge renders the complex lipid bilayer of the transfersome liable to curvature due  
438 to the attractive force between the positively charged choline group on phospholipids and the  
439 negative charge on the surfactant sodium cholate (Gillet et al., 2011). The cationic surfactant  
440 stearylamine has a larger particle size than the non-ionic surfactant, Tween 80, probably  
441 because the positively charged amine group in the stearylamine will be repulsed by the  
442 positively charged choline group of L- $\alpha$ -phosphatidylcholine.

443 The zeta potential value is highly influenced by the ion charge of the surfactant which  
444 will also cause the transfersome to become charged. This, in turn, will affect the penetration  
445 and, consequently, effectiveness of the preparation in bringing the active ingredient into the  
446 therapeutic target in relation to the negative charge of the dermal cells. Transfersomes with  
447 non-ionic surfactant, Tween 80, have a slight negative charge and are, therefore, considered  
448 neutral (Dragicevic-Curic et al., 2010) due to the adsorption of hydroxyl ions (OH<sup>-</sup>) from  
449 water to the particle surface (Tian, Chen, & Zhang, 2016). Transfersomes with cationic  
450 surfactant, i.e., stearylamine, have a positive zeta potential value due to the positively charged  
451 amine groups making up the zeta potential of the bilayer membrane on liposomes (Tian et al.,  
452 2016). Transfersomes with the anionic surfactant, sodium cholate, are negatively charged  
453 because this surfactant adsorbs hydroxyl ions (OH<sup>-</sup>) from water to the particle surface (Tian  
454 et al., 2016).

455 A morphological evaluation using SEM obtained from Trans-SC indicates an apparent  
456 clustering of particles, probably due to the presence of attractive forces between the protein  
457 molecules in AMSC-MP. Positively charged growth hormones and negatively charged  
458 sodium cholate cause these clustered vesicles which may indicate unstable particle dispersion.  
459 In response, charge stabilizing agents can be added to stabilize these vesicles in order to  
460 prevent their aggregating.

461 The use of different surfactants in transfersome-loading AMSC-MP produces identical  
462 FTIR profiles with no new absorption band. However, the major bands observed differed  
463 from their constituents, an indication that the physical interaction occurred between the  
464 transfersome components and protein contained in the AMSC-MP. This line of argument is  
465 supported by the DTA thermograms that contain the endothermic peaks in Trans-TW that are  
466 identical to those evident in L- $\alpha$ -phosphatidylcholine and AMSC-MP liposomes. The absence  
467 of endothermic peaks and peak shifts in Trans-SA, Trans-TW, and Trans-SC, when compared  
468 to liposomes, confirms the weak energy present in the transition phase which is possibly due  
469 to the decrease in van der Waals interactions within the phospholipid bilayer membrane.



470 These results indicate reduced regularity of the phospholipid structure in the vesicles due to  
471 surfactant insertion (Miatmoko et al., 2021).

472 Based on the evaluation of SDS PAGE, transfersomes prepared with stearylamine  
473 demonstrated the optimum trapping efficiency of the thinnest free protein band present in the  
474 gel compared to other groups. The trapping efficiency of cationic surfactants is superior to  
475 that of anionic surfactants as demonstrated by the research conducted by Chang & Flanagan  
476 (1994). Cationic surfactants in transfersomes will experience spontaneous electrostatic forces  
477 of AMSC-MP proteins. From the results, it appears that the transfersomes had a qualitatively  
478 reduced amount of free protein in comparison to the liposome which indicates that  
479 transfersomes can trap more protein as the active ingredients in vesicles than can  
480 conventional liposomes. The authors strongly recommend that future studies evaluate  
481 whether the interaction involves membrane-protein interplay inside the aqueous core of  
482 transfersomes or the outer surface of the vesicle, or whether it also affects the lipid bilayer  
483 membrane, thereby promoting potential formulations for protein-related substance delivery.

484 In the case of transfersomes, potentially high levels of skin penetration are mainly  
485 influenced by the transcellular penetration mechanism. The evaluation of Trans-SA showed  
486 that particles can penetrate the dermis and that they tend to interact with and, therefore,  
487 penetrate the uppermost layer of skin. This could be due to the positive charge of the cationic  
488 stearylamine molecules reacting to the negatively charged skin layer (Gillet et al., 2011)  
489 resulting in a preferential accumulation in the stratum corneum. In contrast, the Trans-TW  
490 results showed that transfersome vesicles can penetrate the skin more effectively than those  
491 of Trans-SA. Transfersomes have been reported as improving the skin permeability of drugs  
492 by carrying intact the encapsulated drug penetrating the stratum corneum across a  
493 transepidermal osmotic gradient (El Zaafarany et al., 2010). On the other hand, transfersomes  
494 modify the intercellular lipids of the stratum corneum, thus increasing its fluidity, before the  
495 drug can penetrate. (Maghraby, Williams, and Barry, 2001). Tween itself has the ability to  
496 compromise the stratum corneum structure by extracting some of the intercellular lipids  
497 present (Hathout et al., 2010). In addition, as previously reported (El Zaafarany et al., 2010),  
498 the presence of Tween 80 results in the high deformability of transfersome vesicles due to its  
499 non-bulky structure and flexible hydrocarbon chain. The hydrophilic properties of Tween 80  
500 results in an extensive covered area on the surface moiety of the vesicles, thus reducing  
501 interfacial tension (Khan et al. 2021).

502 The results for Trans-SC indicated that transfersome vesicles can penetrate more  
503 effectively than any other type of surfactant. Uncharged or nonionic group molecules tend not

504 to be retained in the upper skin layer and can, therefore, penetrate the deeper ones. Sodium  
505 cholate, an anionic surfactant, will cause transfersome vesicles to become negatively charged,  
506 thereby increasing transfersome penetration of the skin because the stratum corneum layer is  
507 negatively charged (Sinico et al., 2005; Yoo et al., 2008). The transfersome vesicles do not  
508 interact markedly with the skin layer with the result that diffusion into the deeper layers by  
509 carrying the AMSC-MP active ingredient encapsulated in the vesicle becomes easier. This  
510 finding matches that relating to the use of anionic surfactant (sodium cholate) which indicates  
511 that a more negative zeta potential value renders the resulting formula more stable and with  
512 an enhanced penetrative ability (Al Shuwaili et al., 2016). In a study conducted by Shaji &  
513 Lal, (2014), the presence of an anionic surfactant, sodium deoxycholate, in the transfersomal  
514 system of transdermal delivery of COX-2 inhibitors was shown to achieve highly effective  
515 penetration (Shaji & Lal, 2014). Moreover, non-ionic surfactants contain highly flexible  
516 hydrocarbon chains which facilitate their penetration of the skin (Gupta & Rai, 2017).

517 It was also reported that the presence of charge on the surface of the vesicles will affect  
518 drug diffusion. The negative charge on the vesicles has a greater flux than the positive one  
519 which will increase accumulation in superficial skin (Gillet et al., 2011). Therefore,  
520 transfersome containing anionic surfactant of sodium cholate has been shown to increase the  
521 collagen density of the skin. AMSC-MP which contain growth hormone and cytokines when  
522 they reach the therapeutic target, the viable dermis layer, will then increase collagen  
523 formation (Lee et al., 2014). The free AMSC-MP treatment produced a collagen density  
524 value of  $60.53 \pm 1.47\%$  which was similar to that of the UV negative control of  $62.16 \pm$   
525  $1.47\%$ . This is because the growth hormone present in AMSC-MP consists largely of  
526 hydrophilic macromolecules  $>25$  kDa in size, while hydrophilic molecules measuring  $>500$   
527 Da have difficulty penetrating the skin (Pratiwi et al., 2018). This renders it more challenging  
528 for AMSC-MP to reach the target of anti-aging therapy which is located in the viable dermis  
529 layer of the skin with the result that it cannot repair UV exposure-induced collagen damage.

530 The application of anti-aging AMSC-MP is highly effective in preventing cell damage  
531 and is regarded as capable of inhibiting the aging process. AMSC-MP contain many growth  
532 hormones that function as anti-aging agents in the same way as Transforming Growth Factor  
533 Beta (TGF- $\beta$ ), Epidermal Growth Factor (EGF), basic Fibroblast Growth Factor (bFGF) and  
534 Keratinocytes Growth Factor (KGF) ) (Islam et al., 2014). This study highlighted an increase  
535 in the number of fibroblast cells in the AMSC-MP treatment group which was in line with the

536 anti-aging effects of AMSC-MP whose use promotes the proliferation and migration of  
537 dermal fibroblasts and increases collagen synthesis of fibroblasts (Ardhaninggar et al., 2020).

538 These results indicate that AMSC-MP did not cause skin irritation, although the  
539 AMSC-MP formulation in nanocarriers produced an increase, albeit relatively limited, in the  
540 number of inflammatory cells per field of view. This is possibly due to the nature of the  
541 constituent materials and the use of surfactants, such as sodium cholate. However, it is  
542 tolerable.

543

## 544 **5. Conclusions**

545 The anionic surfactant, sodium cholate, induced changes in physical characteristics such as  
546 small particle size, more uniform polydispersity index, and negative zeta potential compared  
547 to transfersomes using cationic (stearylamine) and nonionic surfactants (Tween 80). The  
548 application of sodium cholate successfully improved skin penetration by transfersome-  
549 loading AMSC-MP, thereby enhancing their anti-aging effectiveness, in terms of collagen  
550 density and the number of fibroblasts, in UV aging-induced mice models. Although the  
551 nature of transfersome constituents may cause skin irritation, as evidenced by the increased  
552 number of macrophages, the AMSC-MP loaded-transfersomes formulation was relatively  
553 safe and the effect remained tolerable. The preferential use of surfactant as the edge activator  
554 of transfersome determines, to a significant degree, the characteristics as well as the efficacy  
555 of AMSC-MP as a form of anti-aging skin therapy.

556

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561

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567 and Technology.

568

## 569 6. Data Availability Statement

570 The data that support the findings of this study are available from the corresponding author,  
571 [AM], upon reasonable request.

572

## 573 7. References

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698

699

**Dear Editor,**

**Many thanks for your email regarding the peer review results of the manuscript. We have revised and corrected the points as the peer reviewers suggested.**

**Comments from the Editors and Reviewers:**

**Reviewer: 1**

**Comments to the Author**

**This is a v good manuscript dealing with a novel idea of enhancing the penetration of amniotic mesenchymal stem cells through the skin using transfersomes.**

**I recommend publishing this manuscript after carrying the following:**

**1- The English language needs thorough revision throughout the text.**

**Answer:**

Many thank for the comment. We have proofread the manuscript by the native speaker, please see the attached certificate from Simon D'Colledge.

**2- In the introduction: the use of sodium cholate and tween 80 as edge activators i transfersomes was previously mentioned in: *Colloids and Surfaces B: Biointerfaces*, 2018, 167, pp. 63–72, so please mention.**

**Answer:**

Many thank for the comment. We have added the reference as the following:

Line 104-105: The use of sodium cholate and Tween 80 as the edge activators in transfersomes has also been reported (Abdel-Hafez, Hathout, & Sammour 2018).

Abdel-Hafez, Salma M., Rania M. Hathout, and Omailma A. Sammour. 2018. "Curcumin-Loaded Ultradefomable Nanovesicles as a Potential Delivery System for Breast Cancer Therapy." *Colloids and Surfaces B: Biointerfaces* 167:63–72.

**3- All the nature of the error bars in the relevant figures should be stated.**

**Answer:**

Many thank for the comment. We have added some statement regarding the high value of deviation from the average as the following:

Line 400-403: Although formulating AMSC-MP into transfersomes resulted in more numerous macrophage cells, its effect remained minimal. Nevertheless, the results seems to be considerable taking into account the deviation of macrophage numbers observed in negative control groups which could be due to the natural variation of the immune response to foreign matter.

For other data, the deviation are acceptable therefore, no explanation is needed.

**4- The mechanism of action of Tween 80 in skin penetration should be discussed in detail in the discussion specifically its effect on stratum corneum. The authors can refer to: *Molecular Pharmaceutics*, 2010, 7(4), pp. 1266–1273.**

**Answer:**



Many thank for the comment. We have added some discussion as the following:

Line 482-492: Transfersomes have been reported as improving the skin permeability of drugs by carrying intact the encapsulated drug penetrating the stratum corneum across a transepidermal osmotic gradient (El Zaafrany et al., 2010). On the other hand, transfersomes modify the intercellular lipids of the stratum corneum, thus increasing its fluidity, before the drug can penetrate. (Maghraby, Williams, and Barry, 2001). Tween itself has the ability to compromise the stratum corneum structure by extracting some of the intercellular lipids present (Hathout et al., 2010). In addition, as previously reported (El Zaafrany et al., 2010), the presence of Tween 80 results in the high deformability of transfersome vesicles due to its non-bulky structure and flexible hydrocarbon chain. The hydrophilic properties of Tween 80 results in an extensive covered area on the surface moiety of the vesicles, thus reducing interfacial tension (Khan et al. 2021).

**Reviewer: 2**

### **Comments to the Author**

#### **1. Abstract must be updated with numerical data of results.**

##### **Answer:**

Many thanks for the correction. We have revised the abstract as the following:

Line 33-38: Sodium cholate, an anionic surfactant, produced the smallest transfersome particle size, i.e.,  $144.2 \pm 3.2$  nm, among all formulas. Trans-TW and Trans-SA had particle sizes of  $179.6 \pm 1.1$  nm and  $472.2 \pm 11.7$  nm respectively. Transfersome vesicles containing stearylamine had a positive charge of  $41.53 \pm 6.03$  mV compared to Trans-SC and Trans-TW, whose respective charges were  $-56.9 \pm 0.55$  mV and  $-41.73 \pm 0.86$  mV.

Line 41-45: . Trans-SC and Trans-TW had higher collagen density values, at  $77.11 \pm 4.15\%$  and  $70.05 \pm 6.95\%$ , than that of the normal skin group at  $67.69 \pm 2.87\%$ . In general, the AMSC-MP transfersomes composed of sodium cholate, stearylamine or Tween 80 were relatively safe since minimal macrophage cell numbers invaded the dermis i.e., 0.5-1.0 cells per field of view.

#### **2. Shorten the introduction with relevant information of study.**

##### **Answer:**

Many thanks for the correction. We have deleted less important statements and revised the introduction as the following:

Line 55-58 has been deleted: ~~Intrinsic factors constitute natural aging processes originating from within the body, such as increasing age and genetic or hormonal factors, while extrinsic factors are those caused by exposure to external triggers such as solar radiation containing ultraviolet (UV) rays, gravity, and the pernicious effects of cigarettes.~~

#### **3. IR or DSC study must be added.**

##### **Answer:**

Many thank for the suggestion. We have added the IR and DTA study in the methods, results and discussion section as the following:

##### **Line 157-165:**

#### **2.4 Fourier-Transform Infrared (FTIR) Spectroscopy Analysis**

The FTIR profiles of transfersome-loaded AMSC-MP were analysed using an FTIR spectrophotometer (Shimadzu, Kyoto, Japan). The freeze-dried transfersomes were prepared with potassium bromide at a weight ratio of 1:100, before being pressed to form thin, translucent pellets which were subsequently examined at wavenumbers of 4000–400  $\text{cm}^{-1}$ .

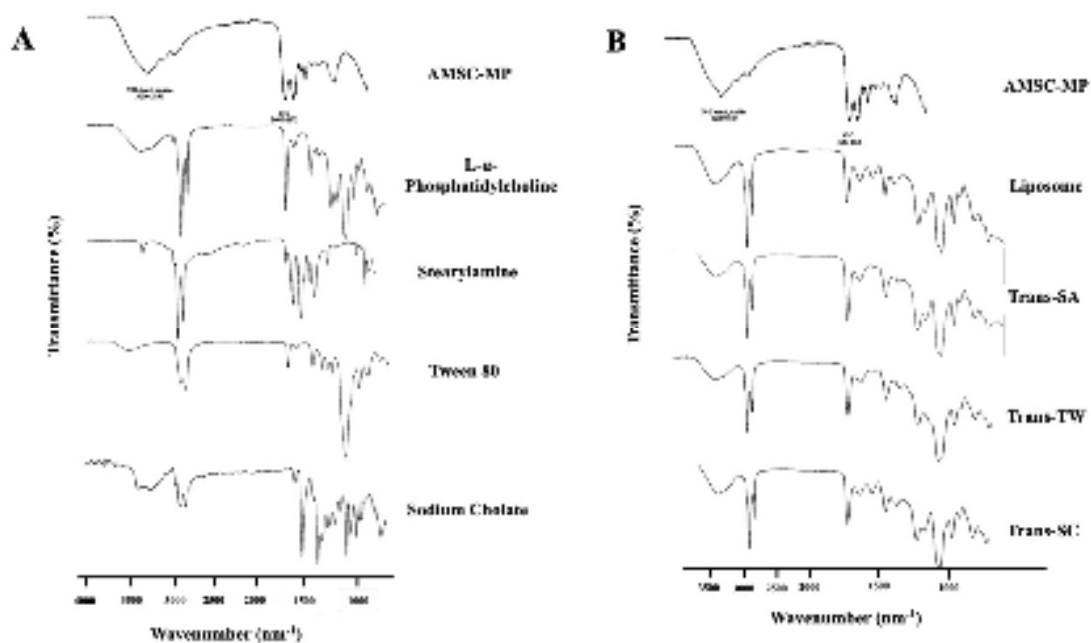
### 2.5 Differential thermal analysis (DTA) of Transfersomes

The freeze-dried transfersomes were placed in aluminium crucibles and heated from 30°C to 300°C at a rate of 10°C/min using a DTA instrument (Mettler Toledo FP 85, Switzerland).

#### Line 267-315:

### 3.2. FTIR Analysis of Transfersome-Loading AMSC-MP

The FTIR analysis results were further evaluated to establish the nature of the interaction between AMSC-MP and transfersome components. This involved determining changes to the absorption bands of particular functional groups within specific wavenumbers, as shown in Figure 3.

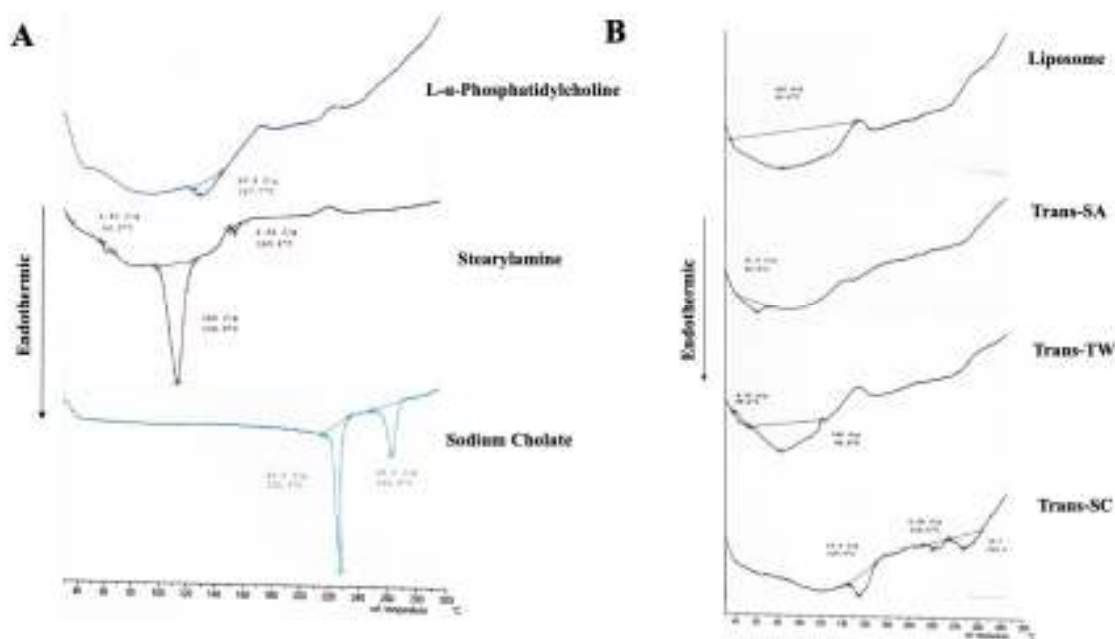


**Figure 3.** Fourier transform infrared spectra of A) components of transfersomes, i.e., AMSC-MP, L- $\alpha$  phosphatidylcholine, stearylamine, Tween 80, sodium cholate; and B) liposome and transfersome-loading AMSC-MP prepared with different surfactants as the edge activators, i.e., Trans-SA, Trans-TW, and Trans-SC.

The results show that formulating AMSC-MP into transfersomes changed the infrared spectra profile of AMSC-MP. In contrast, adding surfactants, i.e., SA, SC, TW, to the Trans-SA, Trans-TW, Trans-SC, and liposomes, resulted in identical IR spectra profiles, as shown in Figure 3A-B. The AMSC-MP transfersomes and liposomes had an absorption band of the N – H group at a wavenumber of 3200 – 3350  $\text{cm}^{-1}$  identical to the absorption band that appeared in AMSC-MP. Moreover, the presence of an absorption band for the C = O group at a wavenumber of 1082  $\text{cm}^{-1}$  indicated that the observed spectra are identical in terms of liposomes and the three transfersome

formulas. The N – H and C = O groups are characteristic of protein functional groups specific to AMSC-MP. In addition, specific absorption bands of the N – H group within the wavenumber range of 2854 – 3000  $\text{cm}^{-1}$  appeared in both the spectra of Trans-SA, Trans-SC, and Trans-TW, as well as the liposome representing the acyl chain of L- $\alpha$  phosphatidylcholine. No specific absorption bands were observed for surfactants, i.e., SA, SC, and TW of the transfersomes. In addition, no new peaks indicating that physical interaction had occurred were evident, and no chemical interaction was detected.

### 3.3. DTA Analysis of Transfersome-Loading AMSC-MP



**Figure 4.** Thermogram profiles A) L- $\alpha$ -phosphatidylcholine, stearylamine, and sodium cholate constituting the transfersome components, and; B) liposome and transfersome-loading AMSC-MP prepared with different types of surfactants i.e. stearylamine (Trans-SA), Tween 80 (Trans-TW), and sodium cholate (Trans-SC).

The effects of surfactant use in transfersome-loading AMSC-MP were further evaluated for changes in the physical characteristics of the transfersomes due to DTA. As shown in Figure 4A, the L- $\alpha$ -Phosphatidylcholine experienced an endothermic peak at 127.7°C, while the stearylamine thermogram reached three endothermic peaks at temperatures of 66.9°C, 108.9°C, and 150.8°C. The sodium cholate thermogram indicates two endothermic peaks at 221.4°C and 262.0°C.

For the DTA evaluation, the three transfersome formulae, namely: Trans-SA, Trans-SC, and Trans-TW, had different thermogram profiles. An endothermic peak occurred in the thermogram of AMSC-MP liposome at 82.5°C, indicating multiple peak shifts when compared to its component L- $\alpha$  phosphatidylcholine which was at 127.7°C. The Trans-SA thermogram experienced an endothermic peak at 68.8°C which was identical to that of stearylamine occurring at 66.9°C. On the other hand, Trans-SC experienced endothermic peaks at 148.6°C, 216.4°C, and

249.5°C with peak broadening appears compared with sodium cholate. [Meanwhile, Trans-TW experienced two endothermic peaks at 38.2°C and 82.9°C which were identical to those occurring in liposome and L- $\alpha$ -phosphatidylcholine.

**Line 452-462:**

The use of different surfactants in transfersome-loading AMSC-MP produces identical FTIR profiles with no new absorption band. However, the major bands observed differed from their constituents, an indication that the physical interaction occurred between the transfersome components and protein contained in the AMSC-MP. This line of argument is supported by the DTA thermograms that contain the endothermic peaks in Trans-TW that are identical to those evident in L- $\alpha$ -phosphatidylcholine and AMSC-MP liposomes. The absence of endothermic peaks and peak shifts in Trans-SA, Trans-TW, and Trans-SC, when compared to liposomes, confirms the weak energy present in the transition phase which is possibly due to the decrease in van der Waals interactions within the phospholipid bilayer membrane. These results indicate reduced regularity of the phospholipid structure in the vesicles due to surfactant insertion (Miatmoko et al., 2021).

**4. There is no release data performed.**

**Answer:**

Many thank for the suggestion. The use of different type of surfactant may also affect the release from the transfersome. We agree that this evaluation would provide additional discussion supporting the main idea of the use of transfersome prepared with different surfactant types for skin aging treatment. However, we have limited sources of the growth factors such as EGF as the markers of active substances released from the vesicles, and it should be taking time, more than 3-4 months for purchasing the growth factor in our area. In our manuscript, we have studied the penetration as well as the efficacy and safety of the formulation which mainly support this main idea, thus the important points of interests have been presented and explained in this submitted manuscript.

**5. How the liquid formulation applied to the skin of rats.**

**Answer:**

Many thanks for the comments. We have added a sentence to clearly inform how the transfersomes applied onto the back skin of the mice as the following:

Line 196-198: A glass ring with a diffusion area of 2.54 cm<sup>2</sup> was adhered to the skin of the subjects' backs to which the samples were subsequently applied non-occlusively.

**6. The english language and grammer must be recheck**

**Answer:**

Many thank for the comment. We have proofread the manuscript by the native speaker, please see the attached certificate from Simon D'Colledge.

**7. cite the following**

**a. Materials science and engineering: C 75, 1198-1205**

**b. International Journal Of Pharmaceutics 505 (1-2), 147-158**

**Answer:**

Many thanks for the suggestions. We have cited these references as the following:

Line 77: Transfersomes represent an artificial vesicular system possessing ultra-deformable properties and an aqueous core surrounded by a double layer of phospholipids (Cevc 2004; Kamran et al. 2016).

Line 80: They penetrate the epidermis by modifying intercellular lamellar lipids present in the stratum corneum (Cevc and Blume 1992; Imam et al. 2017).

Imam, Syed Sarim, Abdul Ahad, Mohammed Aqil, Mohd Akhtar, Yasmin Sultana, and Asgar Ali. 2017. "Formulation by Design Based Risperidone Nano Soft Lipid Vesicle as a New Strategy for Enhanced Transdermal Drug Delivery: In-Vitro Characterization, and in-Vivo Appraisal." *Materials Science & Engineering. C, Materials for Biological Applications* 75:1198—1205.

Kamran, Mohd., Abdul Ahad, Mohd. Aqil, Syed Sarim Imam, Yasmin Sultana, and Asgar Ali. 2016. "Design, Formulation and Optimization of Novel Soft Nano-Carriers for Transdermal Olmesartan Medoxomil Delivery: In Vitro Characterization and in Vivo Pharmacokinetic Assessment." *International Journal of Pharmaceutics* 505(1):147–58.

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# The effect of surfactant type on characteristics, skin penetration and anti-aging effectiveness of transfersomes containing amniotic mesenchymal stem cells metabolite products in UV-aging induced mice

Left running head: A. MIATMOKO ET AL.

Short title :

AQ0



Andang Miatmoko<sup>a,b</sup>, Nurul Ailda Marufah<sup>a</sup>, Qothrin Nada<sup>a</sup>, Noorma Rosita<sup>a</sup>, Tristiana Erawati<sup>a</sup>, Joni Susanto<sup>c</sup>, Kusuma Eko Purwantari<sup>c</sup>, Arif Nurkanto<sup>d</sup>, Purwati<sup>b</sup> and Widji Soeratri<sup>a</sup>



<sup>a</sup>Department of Pharmaceutical Science [Correction: Pharmaceutical Sciences], Faculty of Pharmacy, Universitas Airlangga, Campus C UNAIR Mulyorejo, Surabaya, Indonesia;

<sup>b</sup>Stem Cell Research and Development Center, UNAIR Mulyorejo, Surabaya, Indonesia; [correction: Stem Cell Research and Development Center, Universitas Airlangga, Campus C UNAIR Mulyorejo, Surabaya, Indonesia]

<sup>c</sup>Department of Anatomy and Histology, Faculty of Medicine, Universitas Airlangga, Surabaya, Indonesia;

<sup>d</sup>Research Center for Biology, National Research and Innovation Agency, Kompleks CSC-BG LIPI, Bogor, Indonesia

## Corresponding Author

CONTACT Andang Miatmoko [andang.m@ff.unair.ac.id](mailto:andang.m@ff.unair.ac.id) [correction: andang-m@ff.unair.ac.id]

## Abstract

Transfersome has been developed to enhance dermal delivery of amniotic mesenchymal stem cell metabolite products (AMSC-MP). AMSC-MP contains many growth factors for managing skin aging, thus improving the quality of an adjusted life year. This study aims to determine the effect of surfactant types acting as the edge activator on transfersome-loading AMSC-MP. Transfersome was prepared by thin-layer hydration method and composed of l- $\alpha$ -phosphatidylcholine as a phospholipid and three types of surfactants, namely;

cationic (stearylamine), anionic (sodium cholate), and nonionic surfactant (Tween 80) at a weight ratio of 85:15, respectively. Transfersomes were evaluated for physical characteristics, penetration, effectiveness, and safety. The results showed that sodium cholate, an anionic surfactant, produced the smallest transfersome particle size, i.e.,  $144.2 \pm 3.2$  nm, among all formulas. Trans-SA containing stearylamine had a positive charge of  $41.53 \pm 6.03$  mV compared to Trans-SC and Trans-TW, whose respective charges were  $-56.9 \pm 0.55$  mV and  $-41.73 \pm 0.86$  mV. The small particle size and low negative value of zeta potential enabled high dermal penetration by transfersomes containing AMSC-MP, while the positive charge of stearylamine hindered its penetration of deeper skin layers. Trans-SC and Trans-TW produced higher collagen density values at  $77.11 \pm 4.15\%$  and  $70.05 \pm 6.95\%$ , than that of Trans-SA. All the AMSC-MP transfersomes were relatively safe with 0.5–1.0 macrophage cell numbers invaded the dermis per field of view. In conclusion, sodium cholate, an anionic surfactant, demonstrated considerable capacity as the edge activator of transfersome-loading AMSC-MP for skin anti-aging therapy.

## KEYWORDS

Quality adjusted life year; antiaging; AMSC-MP; transfersomes; edge activator; surfactants



## 1. Background

Skin aging, a complex progressive biological process caused by both intrinsic and extrinsic factors, negatively affects its appearance (Sjerobabski-Masneć & Šitum, 2010). The extrinsic impact of UV radiation is referred to as photoaging (Ahmad & Damayanti, 2018) which produces free radicals potentially detrimental to the structure and lining of the dermis by reducing both the number of fibroblast cells and collagen density. Reduced collagen synthesis results in skin aging, characterized by compromised skin elasticity, as well as the appearance of fine lines and dark blemishes. The use of anti-aging cosmetics seeks to prevent skin damage by increasing collagen density and the number of fibroblast cells (Ganceviciene et al., 2012).

Amniotic mesenchymal stem cell metabolite products (AMSC-MP) constitute a conditioned media of mesenchymal stem cell cultures of the amnion membrane (Han, Goleman, Daniel, Boyatzis, Richard, Mekeć 2019 [AQ1](#)). AMSC-MP contain significant amounts of growth hormone that possesses anti-aging properties (Islam et al., 2014) including transforming growth factor beta (TGF- $\beta$ ), epidermal growth factor (EGF), basic fibroblast growth factor (bFGF), and keratinocytes growth factor (KGF) (Sari et al., 2020). Growth hormones, especially TGF- $\beta$ , can increase extracellular matrix (ECM) production, including collagen and fibroblasts (Shin et al., 2019), and inhibit ECM degradation. TGF- $\beta$  controls collagen homeostasis by regulating collagen production and degradation through the Smad pathway. On the other hand, the growth hormone in AMSC-MP consists predominantly of hydrophilic macromolecules  $>25$  kDa in size, while hydrophilic molecules measuring  $>500$  Da experience difficulty in penetrating the skin (Pratiwi et al., 2018). Consequently, penetrating the dermis to produce effects requires delivery carriers such as transfersomes.

Transfersomes represent an artificial vesicular system possessing ultra-deformable properties and an aqueous core surrounded by a double layer of phospholipids (Cevc 2004; Kamran et al. 2016). Their ability to deform



enables them to pass through narrow skin pores and serve as carriers of drugs either high or low in molecular weight. They penetrate the epidermis by modifying intercellular lamellar lipids present in the stratum corneum (Cevc and Blume 1992; Imam et al. 2017). A study conducted by Surini et al. (2018) developed a transfersomal anti-aging product containing *Centella asiatica* extract, a transfersomal gel with twice the penetrative ability than that of the control gel, as the active cosmetic ingredient. In addition, transfersomes have also been employed as a delivery system for proteins and peptides that penetrate the skin with difficulty due to large biogenic molecules and degradation in the gastrointestinal tract when administered orally (Pawar et al., 2016)

Transfersomes consist of phospholipids and edge activators, whereas phospholipids are xerophobic and tend to avoid a dry environment. Transfersomes follow the osmotic gradient of the skin, penetrating its deeper layers where the water content is higher than that of the surface, through the intercellular gap in the stratum corneum (Cevc, 2003). The addition of edge activators may affect transfersome deformability (Yang et al., 2019). Surfactants have been known to act as edge activators that increase the deformability of the double layer of phospholipids by lowering interfacial tension and affecting membrane curvature (Surini et al., 2018).

The difference in charge between the functional groups of the surfactant will affect transfersome penetration (Reningtyas & Mahreni, 2015). Gupta & Rai (2017) reported that surfactants with nonionic charges penetrate more rapidly than those containing ionic functional groups. They also explained that cationic-charged surfactants will be adsorbed on the surfaces of cell membranes contained in the negatively charged, cutaneous surface whereas anionic-charged surfactants are only adsorbed in a neutral double layer. The difference in charge within the surfactant functional group represents the basis for selecting those surfactants to be studied, namely nonionic, cationic, and anionic.

Lee et al. (2005) reported that transfersomal cream formulations made for DNA delivery are distinguished by the type of surfactant, i.e., anionic (sodium cholate) or nonionic (Tween 80), they contain. The use of sodium cholate and Tween 80 as the edge activators in transfersomes has also been reported (Abdel-Hafez et al., 2018). Transfersomes prepared with Tween 80 have a larger particle size and a lower zeta potential value compared to sodium cholate. The higher the potential zeta value, the stronger the repulsive force between particles. Transfersomes prepared with sodium cholate in DNA delivery are more stable than those prepared with Tween 80 (Moghassemi & Hadjizadeh, 2014).

In this study, the use of surfactants with different charges was evaluated for its effect on the physical characteristics, *in vivo* skin penetration, and *in vivo* anti-aging effectiveness of transfersomes containing AMSC-MP. This was both in terms of collagen density and fibroblast count, as well as safety evaluations in UV aging-induced mice. The types of surfactants comprised Tween 80 as the nonionic surfactant, stearylamine as the cationic surfactant, and sodium cholate as the anionic surfactant.

## 2. Materials and method

## 2.1. Materials

AMSC-MP were obtained from the Stem Cell Research and Development Center, Universitas Airlangga, Indonesia. Approval for collecting human placenta tissues was granted by the Ethical Committee of Universitas Airlangga Hospital with certificate number 101/KEH/2019, dated January 10, 2019. 1- $\alpha$ -Phosphatidylcholine was a product of Sigma-Aldrich Ltd. (Buchs, Switzerland). Tween 80 stearylamine was acquired from Sigma-Aldrich Ltd (Switzerland), while sodium cholate was purchased from Sigma-Aldrich Ltd. (New Zealand). For the purposes of the penetration study, 1,2-dipalmitoyl-*sn*-glycero-3-phosphoethanolamine-*N*-(lissamine rhodamine B sulfonyl) (ammonium salt) (16: 0 Liss-Rhod PE) was obtained from Avanti Polar Lipids Inc. (USA). All other reagents used were of the non-technical grade available.

## 2.2. Preparation of transfersomes

Transfersomes were prepared by dissolving 1- $\alpha$ -phosphatidylcholine and the surfactants i.e., Tween 80, sodium cholate, and stearylamine in chloroform before mixing them homogeneously in a 50-mL round-base flask at the appropriate amounts shown in Table 1. The chloroform was subsequently evaporated using a rotary vacuum evaporator at a temperature of 55 °C and a velocity of 150 rpm. After the solvent had been completely evaporated, a thin lipid film that formed at the bottom of the flask was hydrated using AMSC-MP. The mixtures were vortexed and sonicated at room temperature for 30 min until a suspension was formed which was then extruded through 400 nm and 200 nm polycarbonate membranes (Avanti Mini Extruder®, Avanti Lipids Inc., USA), and AMSC-MP. The loaded transfersomes obtained were characterized by the formation of a transparent emulsion-like liquid. For the *in vivo* skin penetration study, transfersomes were added to Liss Rhod PE at a concentration of 0.1% of the total lipid moles and prepared using the same method.

**Note:** The table layout displayed in ‘Edit’ view is not how it will appear in the printed/pdf version. This html display is to enable content corrections to the table. To preview the printed/pdf presentation of the table, please view the ‘PDF’ tab.

**Table 1. Formulation of transfersome-loading amniotic mesenchymal stem cell metabolite products prepared with different types of surfactant. +**

Component	Function	Formulation ( $w/w$ )		
		Trans-TW	Trans-SA	Trans-SC
AMSC-MP	Active ingredients	95%	95%	95%
1- $\alpha$ -Phosphatidylcholine	Phospholipid	4.25%	4.25%	4.25%

Tween 80	Surfactant	7.50.75% [apologize for mistaken the amount of surfactants in the formula. It should be 0.75% so the total amount is 100%]	–	–
Stearylamine	Surfactant	–	7.5 0.75 %	–
Sodium cholate	Surfactant	–	–	7.5 0.75 %

No table footnotes are available

### 2.3. Physical characteristics and morphology of transfersome

Approximately 100  $\mu\text{L}$  of the transfersome was diluted with 2 mL of demineralized water. Tests relating to particle size, polydispersity index, and zeta potential were conducted through dynamic light scattering and electrophoresis light scattering methods involving the use of Malvern Zetasizer Instruments (Malvern Panalytical Ltd., UK) at a temperature of 25 °C. Evaluation of transfersome morphology was undertaken using a scanning electron microscope (SEM) at the Division of Materials Characterization, Faculty of Industrial Engineering, Tenth of November Institute of Technology, Surabaya. The samples were air-dried onto SEM stubs using carbon tape before being sputter-coated with iridium to a thickness of 20 nm.

### 2.4. Fourier-transform infrared spectroscopy analysis

The Fourier-transform infrared (FTIR) profiles of transfersome-loaded AMSC-MP were analyzed using an FTIR spectrophotometer (Shimadzu, Kyoto, Japan). The freeze-dried transfersomes were prepared with potassium bromide at a weight ratio of 1:100, before being pressed to form thin, translucent pellets that were subsequently examined at wavenumbers of 4000–400  $\text{cm}^{-1}$ .

### 2.5. Differential thermal analysis of transfersomes

The freeze-dried transfersomes were placed in aluminum crucibles and heated from 30 °C to 300 °C at a rate of 10 °C/min using a differential thermal analysis (DTA) instrument (Mettler Toledo FP 85, Switzerland).

### 2.6. SDS-PAGE analysis

Qualitative analysis of the AMSC-MP-loaded transfersomes was conducted by means of sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) that is used to detect and separate proteins according

to their molecular weight. The preparation was analyzed using Mini-Protean Tetra Cell® (Bio-Rad Laboratories Ltd.) at the Institute of Tropical Disease, Campus C, Universitas Airlangga. The samples were diluted with phosphate buffered saline pH 7.4 (1:1 vol/vol) and inserted into 30 µL of gel which was then incubated in a Fixer solution containing 40% ethanol, 10% acetic acid, and 50% demineralized water for 1 h, before being washed with demineralized water for 30 min. The gel was incubated in 0.02% sodium thiosulfate solution for 1 min, washed with demineralized water for  $3 \times 20$  s, incubated in a 0.1% silver nitrate solution for 200 min at a temperature of 4 °C and, finally, washed again with demineralized water for  $3 \times 20$  s. The gel was then placed on a coloring tray, washed with demineralized water for 1 min, developed with 3% sodium carbonate solution and re-washed with demineralized water for 20 s. At this point, the staining process was stopped by adding 5% acetic acid solution prior to incubation of 5 min duration. Finally, the gel was deposited in a 1% acetic acid solution at a temperature of 4 °C.

## 2.7. *In vivo* skin penetration study

For the *in vivo* studies, 6- to 8-week-old mice (*Mus musculus*) weighing 20–25 g which had been acquired from the Faculty of Veterinary, Universitas Airlangga served as the experimental subjects with a study protocol approved by its Ethics Commission (Certificate number 2.KE.057.05.2021, dated May 25, 2021).

The *in vivo* skin penetration study focused on four treatment groups, each containing four subjects, i.e., control (liposome), AMSC-MP-loaded transfersome prepared with stearylamine (Trans-SA), Tween 80 (Trans-TW), and sodium cholate (Trans-SC). In this study, Lis RHOD PE was added to the liposomes or transfersomes. First, the hair on the subjects' backs was shaved before skin aging was induced through daily exposure to UV rays at a dose of 80 mJ/cm<sup>2</sup> for a period of 1 week. The subjects were anesthetized with ketamine through an intraperitoneal dose of 20 mg/kg body weight. A glass ring with a diffusion area of 2.54 cm<sup>2</sup> was adhered to the skin of the subjects' backs to which the samples were subsequently applied non-occlusively. The subjects were sacrificed by means of cervical dislocation either 1 or 2 h after administration of the samples. An area of skin was gently cleaned with saline applied by means of cotton swabs before being excised for further analysis. The skin tissue was wrapped in aluminum foil and placed in an ultradeep freezer (–80 °C) in preparation for cryosection to be performed with a 1959 UV Cryostat Leica CM to a thickness of 16 µm. The tissue slides were later observed using a fluorescence microscope.

## 2.8. *In vivo* skin anti-aging efficacy study: evaluation of collagen density and number of fibroblasts

Skin aging in the subjects whose back fur had been removed was induced by UV-B light exposure at an intensity of 80 mJ/cm<sup>2</sup>. Daily irradiation lasting 34 min was carried out for seven days. The sample was subsequently applied to a 2.54 cm<sup>2</sup> area of skin on each subject's back at two-day intervals for a period of 2 weeks. On day 15, the subjects were sacrificed with their skin being excised and soaked in Neutral Buffered Formalin (NBF) solution in order to make histopathological tissue preparations. The skin tissue was then cut using a microtome and stained with Mallory acid for collagen fibril evaluation and hematoxyline–eosine staining

to enable calculation of the number of fibroblasts. The tissue slides prepared were observed under a light microscope. Analysis of collagen fibrils was carried out using J-Images Software, while the fibroblasts were counted manually.

## 2.9. Skin irritation evaluation: number of macrophages

For the purposes of this study, the subjects' shaved back skin was applied to the samples within an area measuring 2.54 cm<sup>2</sup>. Twenty-four hours after application, the subjects were sacrificed and a skin sample excised, prepared for tissue slides by paraffin block method, and stained with hematoxyline-eosine. The skin tissue was then subjected to quantitative analysis for evidence of irritation by calculating the number of macrophages under a light microscope.

## 2.10. Statistical analysis

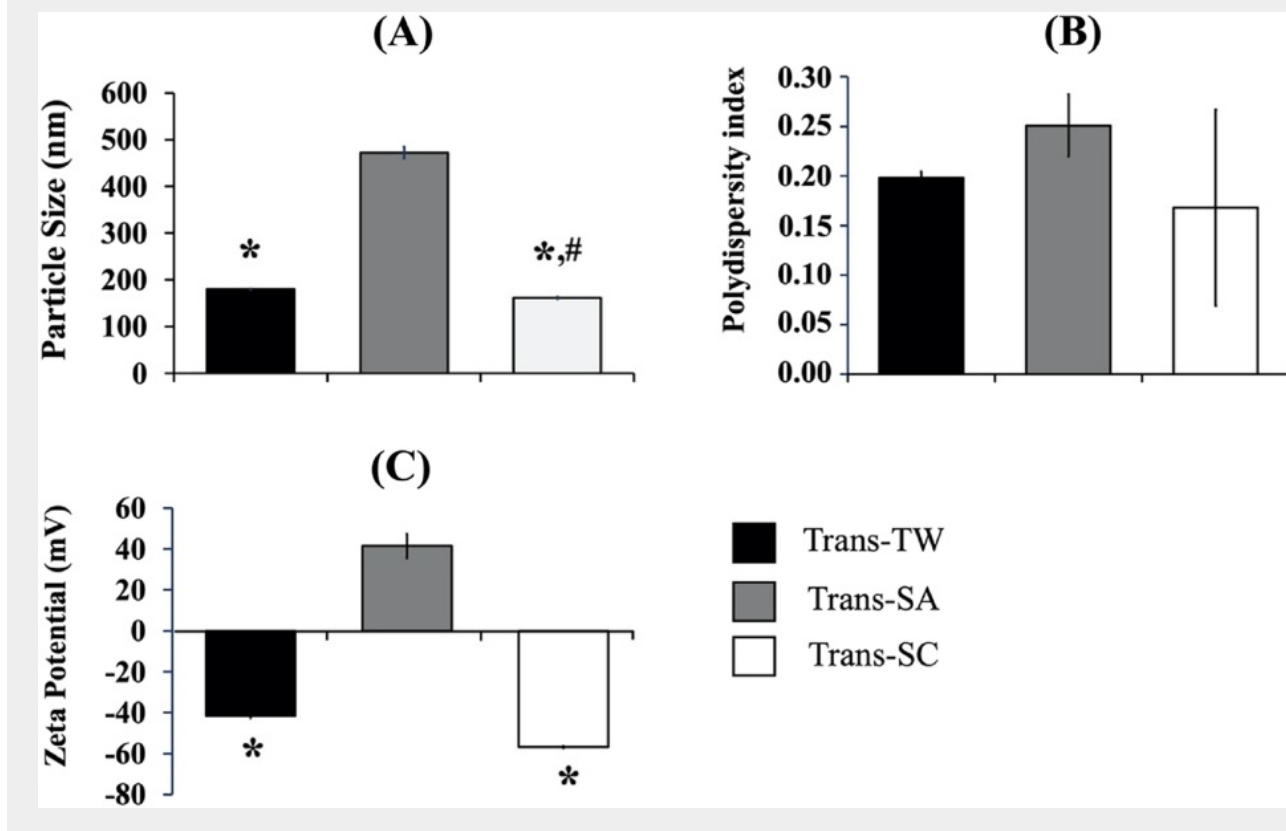
The numerical data that was analyzed for normal distribution by means of a Kolmogorov–Smirnov test indicated the average  $\pm$  standard deviation. If the data was normal ( $p$  value  $\geq .05$ ), it was subjected to a quantitative one-way analysis of variance. If the  $p$  value  $< .05$ , the data analysis was followed by a post hoc Tukey HSD test to evaluate the significant differences between the groups. In cases of data that was not distributed normally, a non-parametric analysis was performed using Kruskal–Wallis and pairwise comparison tests.

## 3. Results

### 3.1. Physical characteristics of transfersome-loading AMSC-MP


The results in [Figure 1](#) indicate that the particle sizes of all transfersomes were below 500 nm. The highest particle size of  $472.2 \pm 11.7$  nm was observed in the case of Trans-SA formula, followed by  $179.6 \pm 1.1$  nm for Trans-TW, and  $144.2 \pm 3.2$  nm for Trans-SC. A polydispersity index (PDI) analysis showed that Trans-SA, Trans-TW, and Trans-SC had PDI values of  $0.251 \pm 0.031$ ,  $0.198 \pm 0.006$ , and  $0.168 \pm 0.099$ , respectively, indicating homogeneous particle size distribution (Wei et al., 2014). Measurement of the zeta potential was undertaken to quantify the stability of the nanoparticles during storage (Sadeghi et al., 2015). The stability of the system increased if the value of the potential zeta  $\geq |\pm 30|$  mV. From the data aforementioned, the highest successive zeta potential values were confirmed as follows: Trans-SC  $-56.9 \pm 0.55$  mV, Trans-TW  $-41.73 \pm 0.86$  mV, and Trans-SA  $41.53 \pm 6.03$  mV. All formulas had a potential zeta value of  $\geq |\pm 30|$  mV meaning that the entire formula demonstrated high levels of system stability due to the repulsive force between particles that prevented aggregation (Pertiwi et al., 2018).

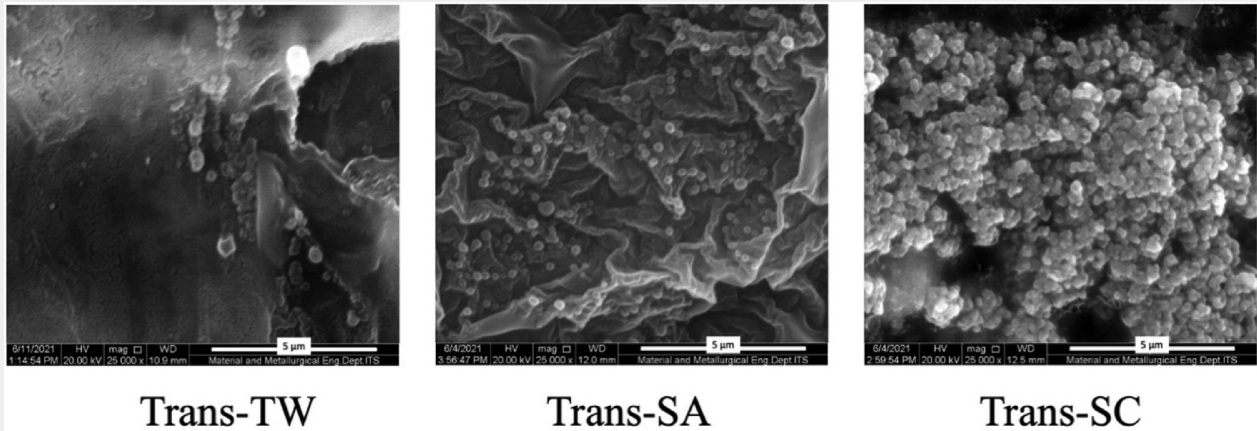
Figure 1. Physical characteristics of transfersome-loading AMSC-MP prepared with different types of surfactants, i.e., Tween 80 (Trans-TW), stearylamine (Trans-SA), and sodium cholate (Trans-SC) measured for (A) particle size, (B) polydispersity index, and (C) zeta potential. The results were measured in three replications. \* $p < .05$  compared to Trans-SA. # $p < .05$  compared to Trans-TW. +



### 3.2. Morphology of transfersome-loading AMSC-MP by scanning electron microscopy


On morphological evaluation, the results showed that Trans-SA and Trans-TW had a single, spherical vesicular form, whereas, as shown in [Figure 2](#), the Trans-SC contained clustered spherical vesicles.

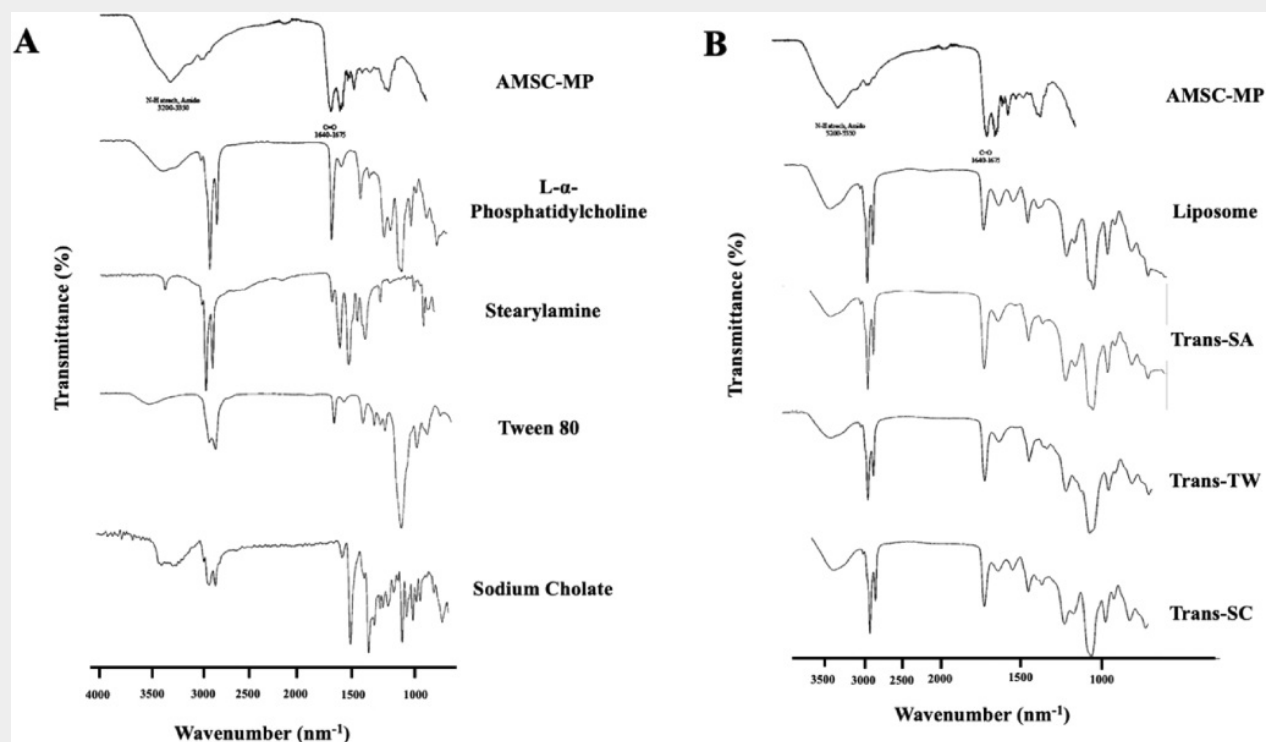
Figure 2. Scanning electron microscopy (SEM) pictures of (A) transfersome containing Tween 80 (Trans-TW), (B) transfersome containing stearylamine (Trans-SA), and (C) transfersome containing sodium cholate (Trans-SC)-loading AMSC-MP. Scale bar: 5  $\mu\text{m}$ . 



### 3.3. FTIR analysis of transfersome-loading AMSC-MP

The FTIR analysis results were further evaluated to establish the nature of the interaction between AMSC-MP and transfersome components. This involved determining changes to the absorption bands of particular functional groups within specific wavenumbers, as shown in [Figure 3](#).

Figure 3. Fourier transform infrared spectra of (A) components of transfersomes, i.e., AMSC-MP, l- $\alpha$  phosphatidylcholine, stearylamine, Tween 80, sodium cholate; and (B) liposome and transfersome-loading AMSC-MP prepared with different surfactants as the edge activators, i.e., Trans-SA, Trans-TW, and Trans-SC. 




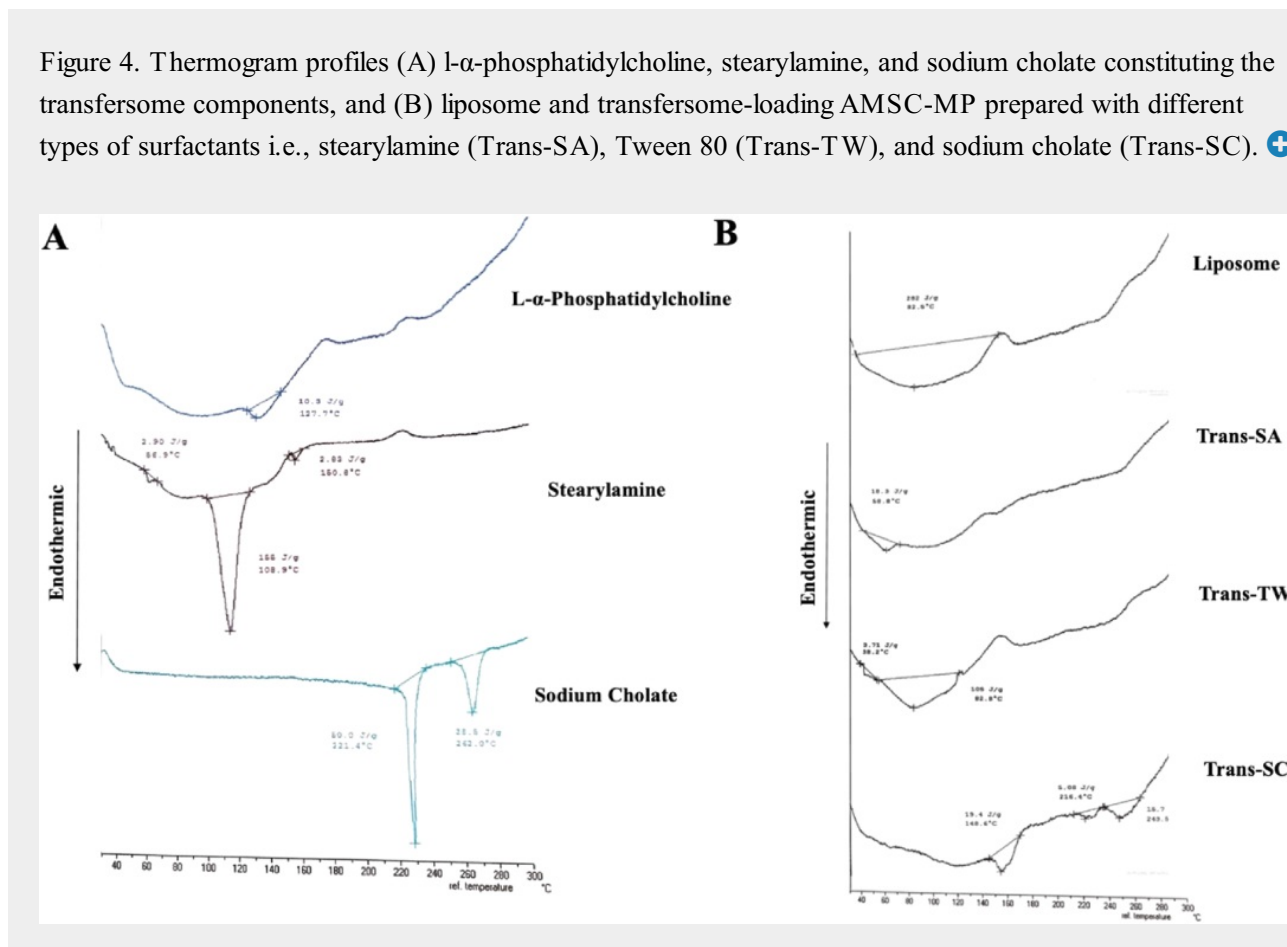
The results show that formulating AMSC-MP into transfersomes changed the infrared spectra profile of AMSC-MP. In contrast, adding surfactants, i.e., SA, SC, TW, to the Trans-SA, Trans-TW, Trans-SC, and liposomes, resulted in identical IR spectra profiles, as shown in [Figure 3\(A,B\)](#). The AMSC-MP transfersomes and liposomes had an absorption band of the N–H group at a wavenumber of 3200–3350  $\text{cm}^{-1}$  identical to the absorption band that appeared in AMSC-MP. Moreover, the presence of an absorption band for the C = O group at a wavenumber of 1082  $\text{cm}^{-1}$  indicated that the observed spectra are identical in terms of liposomes and the three transfersome formulas. The N–H and C = O groups are characteristic of protein functional groups specific to AMSC-MP. In addition, specific absorption bands of the N–H group within the wavenumber range of 2854–3000  $\text{cm}^{-1}$  appeared in both the spectra of Trans-SA, Trans-SC, and Trans-TW, as well as the liposome representing the acyl chain of l- $\alpha$  phosphatidylcholine. No specific absorption bands were observed for surfactants, i.e., SA, SC, and TW of the transfersomes. In addition, no new peaks indicating that physical interaction had occurred were evident, and no chemical interaction was detected.



### 3.4. DTA analysis of transfersome-loading AMSC-MP

The effects of surfactant use in transfersome-loading AMSC-MP were further evaluated for changes in the physical characteristics of the transfersomes due to DTA. As shown in Figure 4(A), the L- $\alpha$ -phosphatidylcholine experienced an endothermic peak at 127.7 °C, whereas the stearylamine thermogram reached three endothermic peaks at temperatures of 66.9 °C, 108.9 °C, and 150.8 °C. The sodium cholate thermogram indicates two endothermic peaks at 221.4 °C and 262.0 °C.

Figure 4. Thermogram profiles (A) L- $\alpha$ -phosphatidylcholine, stearylamine, and sodium cholate constituting the transfersome components, and (B) liposome and transfersome-loading AMSC-MP prepared with different types of surfactants i.e., stearylamine (Trans-SA), Tween 80 (Trans-TW), and sodium cholate (Trans-SC). 




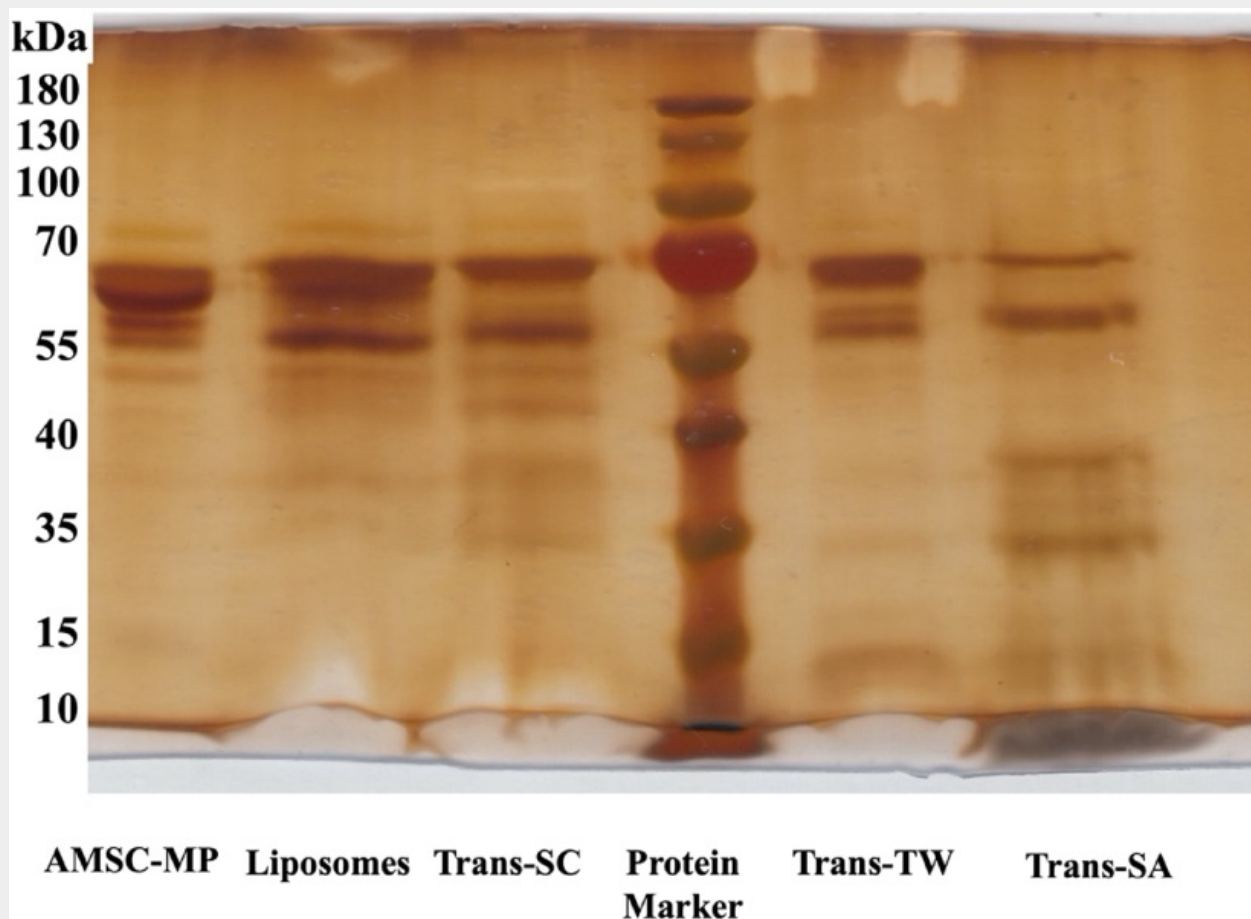
For the DTA evaluation, the three transfersome formulae, namely: Trans-SA, Trans-SC, and Trans-TW, had different thermogram profiles. An endothermic peak occurred in the thermogram of AMSC-MP liposome at 82.5 °C, indicating multiple peak shifts when compared to its component L- $\alpha$  phosphatidylcholine which was at 127.7 °C. The Trans-SA thermogram experienced an endothermic peak at 68.8 °C which was identical to that of stearylamine occurring at 66.9 °C. On the other hand, Trans-SC experienced endothermic peaks at 148.6 °C, 216.4 °C, and 249.5 °C with peak broadening appearing compared with sodium cholate. Meanwhile, Trans-TW experienced two endothermic peaks at 38.2 °C and 82.9 °C which were identical to those occurring

in liposome and 1- $\alpha$ -phosphatidylcholine.

### **3.5. SDS-PAGE analysis of transfersome-loading AMSC-MP**

To measure the ability of transfersomes to load active substances of AMSC-MP, an SDS PAGE analysis was performed. The results confirmed the presence of an AMSC-MP-free major band in the same elution area as the protein ladder band with a molecular weight of 55–70 kDa. The use of Trans-TW confirmed the presence of marker proteins of major AMSC-MP components at molecular weights similar to 55–70 kDa, but fewer free proteins characterized by thin bands than liposomes (the control group) as presented in [Figure 5](#). Trans-SC was shown to contain fewer free proteins compared to Trans-TW, while Trans-SA contained the lowest level of such proteins compared to the others as confirmed by observation of the thinnest band. This indicated the highest capacity to entrap the active substances of AMSC-MP as shown by the contents of [Figure 5](#).

Figure 5. Results of SDS-PAGE analysis of transfersome containing Tween 80 (Trans-TW), (B) transfersome containing stearylamine (Trans-SA), and (C) transfersome containing sodium cholate (Trans-SC)-loading AMSC-MP. 

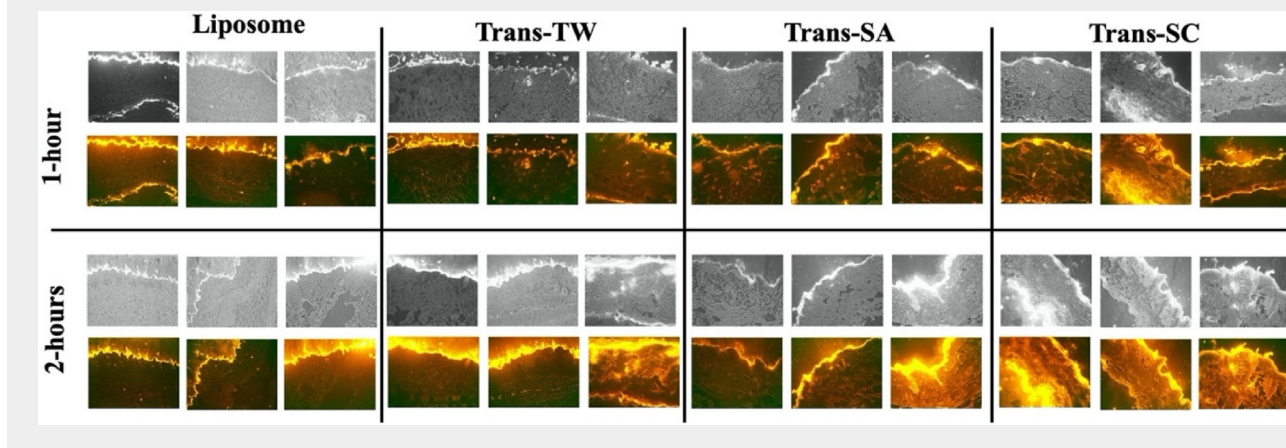


### 3.6. *In vivo* skin penetration study results

From the skin tissue preparations, the depth to which the vesicles of liposomes, Trans-SA, Trans-TW, and Trans-SC penetrate the skin layer is evident from the red-fluorescence intensity of Liss Rhod PE contained in the vesicles. The results shown in [Figure 6](#) indicate that liposomes caused minimal dermis penetration, while fluorescence intensity was concentrated on the skin's surface (stratum corneum). Trans-SA demonstrated the highest red fluorescence intensity in the area of the stratum corneum indicating that the vesicles had been retained in the upper skin layer. On the other hand, Trans-TW was observed to penetrate as far as the deeper skin layer which was not the case with Trans-SA. However, its intensity remained lower than that of Trans-SC which produced the highest vesicle penetration of the dermis. The surfactant charge significantly affected

the skin penetration of transfersome-loading AMSC-MP.

Figure 6. *In vivo* skin penetration of liposome, transfersome containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP with the addition of Lis Rhod PE at a concentration of 0.1% mole of total lipid at 1 and 2 hours after topical application to a 2.54 cm<sup>2</sup> area of skin on the subjects' backs. +



### 3.7. *In vivo* antiaging effectivity study: collagen density

The data shows that skin aging due to UV exposure that can reduce and, in more extreme cases, damage skin collagen through the production of reactive oxygen species (ROS) in the dermis resulted in decreased collagen density. The negative control group experienced the lowest level of collagen, as shown in Figure 7. The collagen density values ranging from highest to lowest were as follows: Trans-SC of  $77.11 \pm 4.15\%$ , Trans-SA of  $71.81 \pm 5.93\%$ , and Trans-TW of  $70.05 \pm 6.95\%$ . Trans-SC and Trans-TW had higher collagen density values than that of the normal skin group of  $67.69 \pm 2.87\%$ , as presented in Figure 8.

Figure 7. Photomicroscopy of collagen density in the dermis layer of the dorsal skin of subjects without UV light induction (normal skin group) and those in the UV light induction group (UV-aging skin negative control) with administration of free AMSC-MP, transfersome containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP. The samples were subsequently applied to a 2.54 cm<sup>2</sup> area of skin on the back of each subject once every two days for a period of two weeks. +

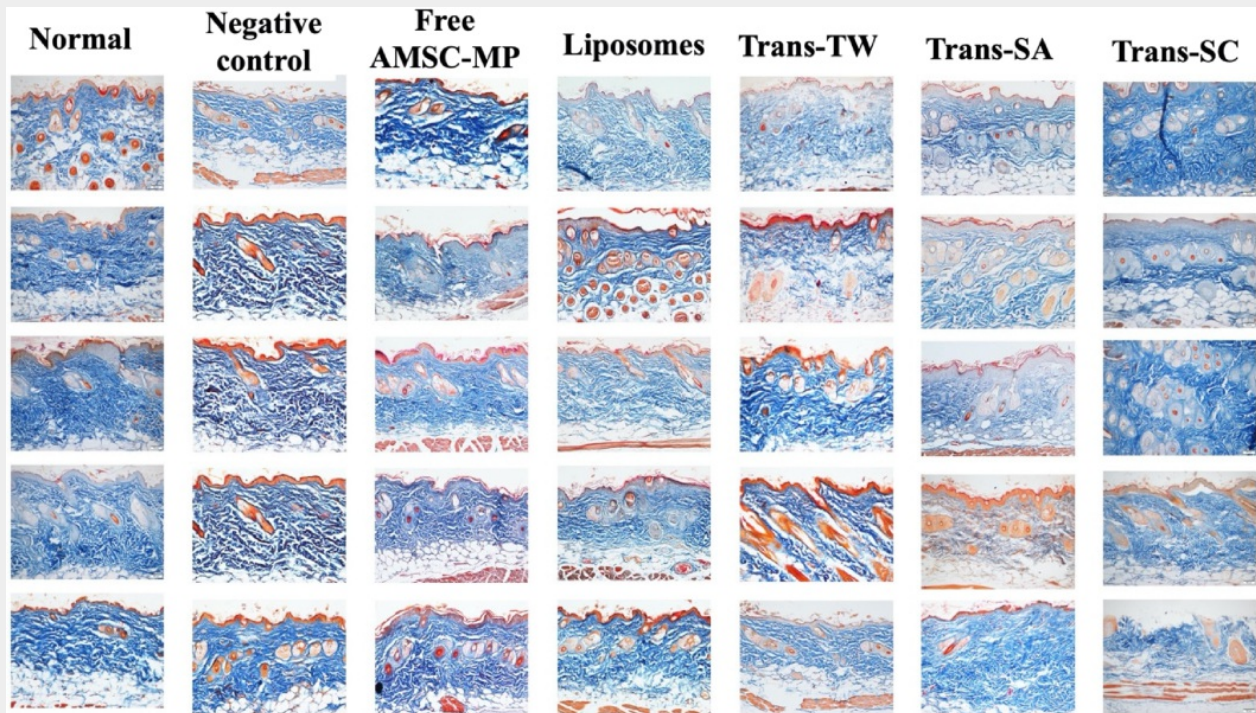

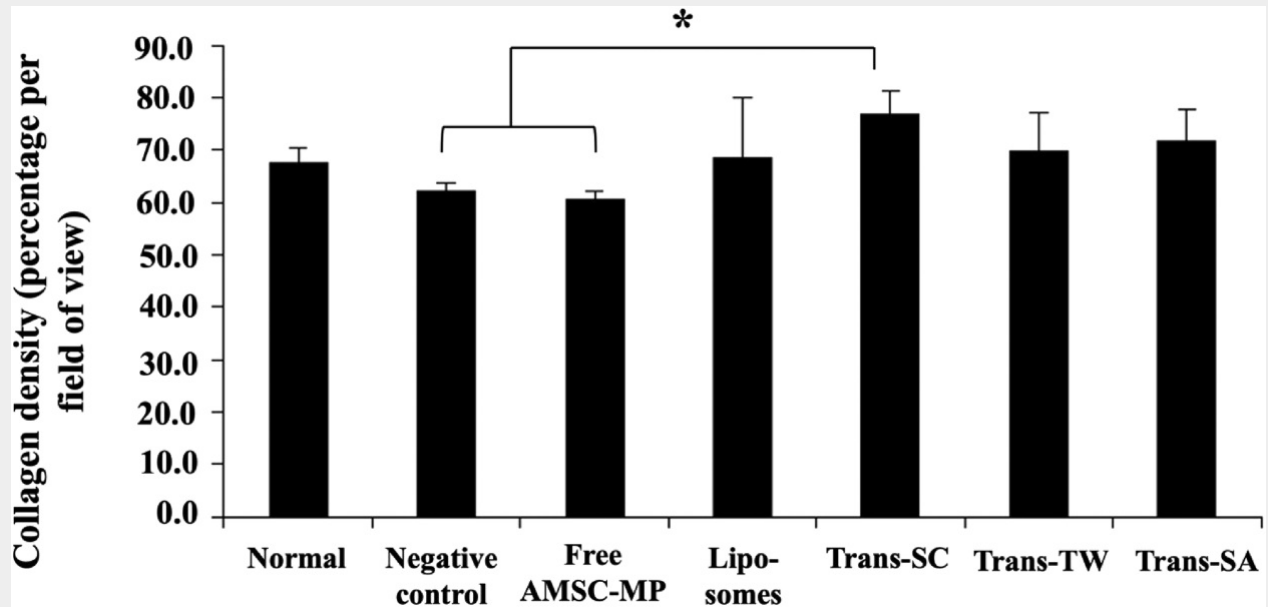


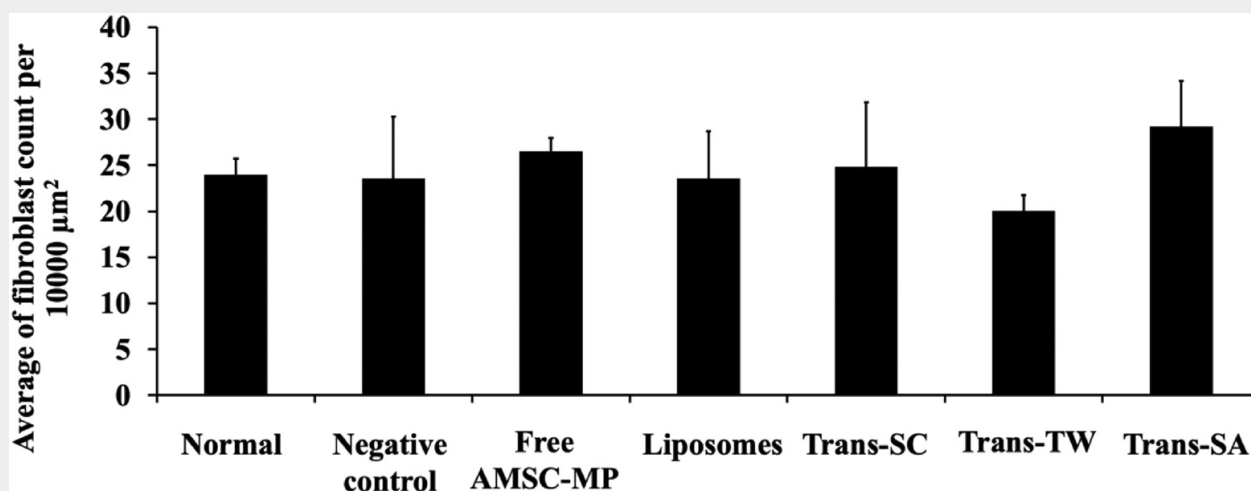
Figure 8. Quantitative histogram analysis of collagen density of the dermis layer of the dorsal skin of subjects without UV light induction (normal skin group) and the UV light induction group (UV-aging skin negative control) with administration of free AMSC-MP, transfersome containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP. The samples were subsequently applied to a 2.54 cm<sup>2</sup> area of skin on the back of each subject once every two days for a period of two weeks. \**p* < .05. 



### 3.8. *In vivo* antiaging effectivity study: fibroblast number


The evaluation results relating to anti-aging activity were analyzed by evaluating the number of fibroblast cells capable of producing collagen. The more numerous the fibroblasts, the greater the quantity of collagen formed. Compared to the normal skin group, AMSC-MP administration increased the number of fibroblast cells to  $30 \pm 6.79$  cells per field of view, a level relatively similar to Trans-SA or Trans-SC, as shown in [Figure 9](#).

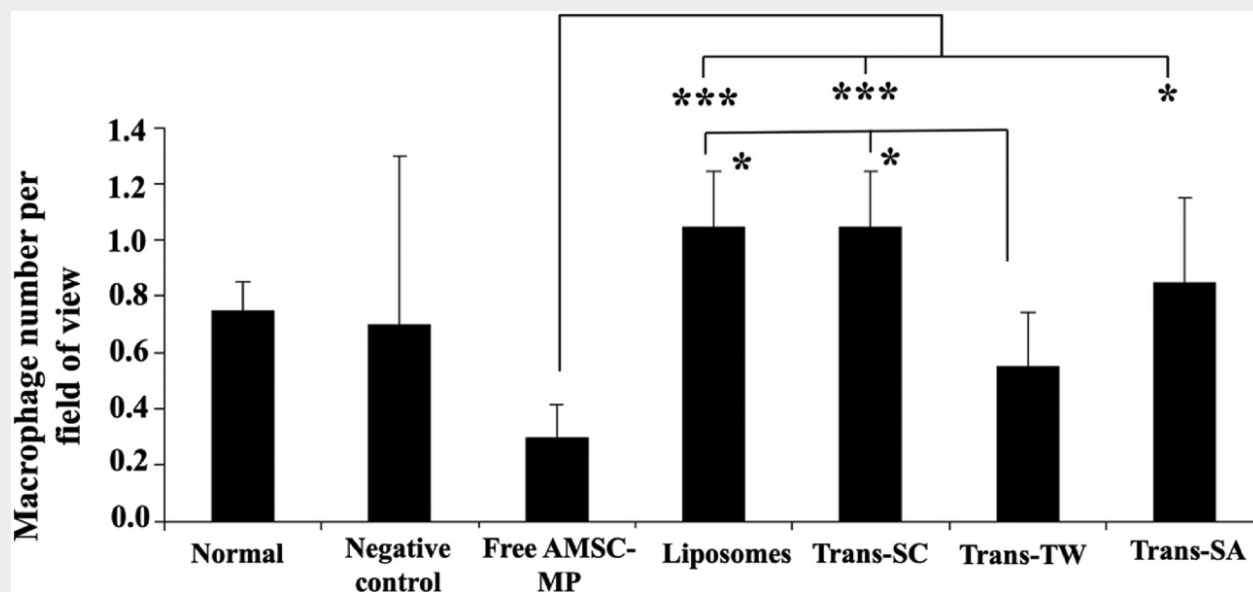
Figure 9. The number of fibroblasts contained in the dorsal skin tissue slides of subjects not subjected to UV light induction (normal skin group) and the UV light induction group (UV-aging skin negative control) following administration of free AMSC-MP, transfersome containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP. The samples were subsequently applied to a 2.54 cm<sup>2</sup> area of the skin on the back of each subject once every two days for a period of two weeks. [+](#)



### 3.9. *In vivo* skin irritation study: macrophage cell number

As presented in [Figure 10](#), the minimum number of macrophage cells, 0.3 cells per field of view, was recorded in the AMSC-MP-treated group, while the use of liposomes and transfersomes relatively increased the number of macrophages to as many as 0.8–1 cell per field of view. In contrast, Trans-TW registered the lowest number of cells at 0.5 per field of view. Although formulating AMSC-MP into transfersomes resulted in more numerous macrophage cells, its effect remained minimal. Nevertheless, the results seem to be considerable taking into account the deviation of macrophage numbers observed in negative control groups which could be due to the natural variation of the immune response to foreign matter.

Figure 10. The histopathological evaluation of macrophage cell numbers on the dorsal skin of subjects' tissue slides without UV light induction (normal skin group) and the UV light induction group (UV-aging skin negative control) following administration of free AMSC-MP, transfersome containing stearylamine (Trans-SA), transfersome containing sodium cholate (Trans-SC), and transfersome containing Tween 80 (Trans-TW)-loading AMSC-MP to a 2.54 cm<sup>2</sup> area of skin on the back of each subject for twenty-four hours. \* $p < .05$ ; \*\*\* $p < .005$ . 



#### 4. Discussion

This study evaluates the effect of surfactants with various charges on the physical characteristics of transfersomes. This significantly influences the *in vivo* skin penetration and anti-aging effectiveness of AMSC-MP in terms of collagen density and the number of fibroblasts. It also affects the safety evaluations reviewed according to the number of macrophages present in dermal tissue. The types of surfactants used included Tween 80 as a nonionic surfactant, stearylamine as a cationic surfactant, and sodium cholate as an anionic surfactant.

The particle size data provides an overview of the penetration of the skin by active cosmetic ingredients. The smaller the particle size, the greater the contact between the active ingredient and the stratum corneum and the larger the skin pores, both factors that facilitate penetration of the dermis by active substances (Pardeike et al., 2010). AMSC-MP transfersomes prepared with the anionic surfactant (sodium cholate) tend to have a smaller particle size than the uncharged surfactant (Tween 80). This finding is in accordance with that of the research



conducted by Namdeo & Jain (1999). The use of a negatively charged surfactant has been reported to reduce the average size of the particles because the negative charge renders the complex lipid bilayer of the transfersome liable to curvature due to the attractive force between the positively charged choline group on phospholipids and the negative charge on the surfactant sodium cholate (Gillet et al., 2011). The cationic surfactant stearylamine has a larger particle size than the nonionic surfactant, Tween 80, probably because the positively charged amine group in the stearylamine will be repulsed by the positively charged choline group of l- $\alpha$ -phosphatidylcholine.

The zeta potential value is highly influenced by the ion charge of the surfactant which will also cause the transfersome to become charged. This, in turn, will affect the penetration and, consequently, effectiveness of the preparation in bringing the active ingredient into the therapeutic target in relation to the negative charge of the dermal cells. Transfersomes with nonionic surfactant, Tween 80, have a slight negative charge and are, therefore, considered neutral (Dragicevic-Curic et al., 2010) due to the adsorption of hydroxyl ions ( $\text{OH}^-$ ) from water to the particle surface (Tian et al., 2016). Transfersomes with cationic surfactant, i.e., stearylamine, have a positive zeta potential value due to the positively charged amine groups making up the zeta potential of the bilayer membrane on liposomes (Tian et al., 2016). Transfersomes with the anionic surfactant, sodium cholate, are negatively charged because this surfactant adsorbs hydroxyl ions ( $\text{OH}^-$ ) from water to the particle surface (Tian et al., 2016).

A morphological evaluation using SEM obtained from Trans-SC indicates an apparent clustering of particles, probably due to the presence of attractive forces between the protein molecules in AMSC-MP. Positively charged growth hormones and negatively charged sodium cholate cause these clustered vesicles that may indicate unstable particle dispersion. In response, charge stabilizing agents can be added to stabilize these vesicles in order to prevent their aggregation.

The use of different surfactants in transfersome-loading AMSC-MP produces identical FTIR profiles with no new absorption band. However, the major bands observed differed from their constituents, an indication that the physical interaction occurred between the transfersome components and protein contained in the AMSC-MP. This line of argument is supported by the DTA thermograms that contain the endothermic peaks in Trans-TW that are identical to those evident in l- $\alpha$ -phosphatidylcholine and AMSC-MP liposomes. The absence of endothermic peaks and peak shifts in Trans-SA, Trans-TW, and Trans-SC, when compared to liposomes, confirms the weak energy present in the transition phase which is possibly due to the decrease in van der Waals interactions within the phospholipid bilayer membrane. These results indicate reduced regularity of the phospholipid structure in the vesicles due to surfactant insertion (Miatmoko et al., 2021).

Based on the evaluation of SDS-PAGE, transfersomes prepared with stearylamine demonstrated the optimum trapping efficiency of the thinnest free protein band present in the gel compared to other groups. The trapping efficiency of cationic surfactants is superior to that of anionic surfactants as demonstrated by the research conducted by Chang & Flanagan (1994). Cationic surfactants in transfersomes will experience spontaneous electrostatic forces of AMSC-MP proteins. From the results, it appears that the transfersomes had a

qualitatively reduced amount of free protein in comparison to the liposome which indicates that transfersomes can trap more protein as the active ingredients in vesicles than can conventional liposomes. The authors strongly recommend that future studies should evaluate whether the interaction involves membrane–protein interplay inside the aqueous core of transfersomes or the outer surface of the vesicle, or whether it also affects the lipid bilayer membrane, thereby promoting potential formulations for protein-related substance delivery.

In the case of transfersomes, potentially high levels of skin penetration are mainly influenced by the transcellular penetration mechanism. The evaluation of Trans-SA showed that particles can penetrate the dermis and that they tend to interact with and, therefore, penetrate the uppermost layer of skin. This could be due to the positive charge of the cationic stearylamine molecules reacting to the negatively charged skin layer (Gillet et al., 2011) resulting in a preferential accumulation in the stratum corneum. In contrast, the Trans-TW results showed that transfersome vesicles can penetrate the skin more effectively than those of Trans-SA. Transfersomes have been reported as improving the skin permeability of drugs by carrying intact the encapsulated drug penetrating the stratum corneum across a transepidermal osmotic gradient (El Zaafarany et al., 2010). On the other hand, transfersomes modify the intercellular lipids of the stratum corneum, thus increasing its fluidity, before the drug can penetrate (El Maghraby et al., 2001). Tween itself has the ability to compromise the stratum corneum structure by extracting some of the intercellular lipids present (Hathout et al., 2010). In addition, as previously reported (El Zaafarany et al., 2010), the presence of Tween 80 results in the high deformability of transfersome vesicles due to its non-bulky structure and flexible hydrocarbon chain. The hydrophilic properties of Tween 80 results in an extensive covered area on the surface moiety of the vesicles, thus reducing interfacial tension (Khan et al., 2021).

The results for Trans-SC indicated that transfersome vesicles can penetrate more effectively than any other type of surfactant. Uncharged or nonionic group molecules tend not to be retained in the upper skin layer and can, therefore, penetrate the deeper ones. Sodium cholate, an anionic surfactant, will cause transfersome vesicles to become negatively charged, thereby increasing transfersome penetration of the skin because the stratum corneum layer is negatively charged (Sinico et al., 2005; Yoo et al., 2008). The transfersome vesicles do not interact markedly with the skin layer with the result that diffusion into the deeper layers by carrying the AMSC-MP active ingredient encapsulated in the vesicle becomes easier. This finding matches that relating to the use of anionic surfactant (sodium cholate) which indicates that a more negative zeta potential value renders the resulting formula more stable and with an enhanced penetrative ability (Al Shuwaili et al., 2016). In a study conducted by Shaji & Lal, (2014), the presence of an anionic surfactant, sodium deoxycholate, in the transfersomal system of transdermal delivery of COX-2 inhibitors was shown to achieve highly effective penetration (Shaji & Lal, 2014). Moreover, nonionic surfactants contain highly flexible hydrocarbon chains that facilitate their penetration of the skin (Gupta & Rai, 2017).

It was also reported that the presence of charge on the surface of the vesicles will affect drug diffusion. The negative charge on the vesicles has a greater flux than the positive one which will increase accumulation in superficial skin (Gillet et al., 2011). Therefore, transfersome containing anionic surfactant of sodium cholate has been shown to increase the collagen density of the skin. AMSC-MP that contain growth hormone and

cytokines when they reach the therapeutic target, the viable dermis layer, will then increase collagen formation (Lee et al., 2014). The free AMSC-MP treatment produced a collagen density value of  $60.53 \pm 1.47\%$  which was similar to that of the UV negative control of  $62.16 \pm 1.47\%$ . This is because the growth hormone present in AMSC-MP consists largely of hydrophilic macromolecules  $>25$  kDa in size, while hydrophilic molecules measuring  $>500$  Da have difficulty penetrating the skin (Pratiwi et al., 2018). This renders it more challenging for AMSC-MP to reach the target of anti-aging therapy which is located in the viable dermis layer of the skin with the result that it cannot repair UV exposure-induced collagen damage.

The application of anti-aging AMSC-MP is highly effective in preventing cell damage and is regarded as capable of inhibiting the aging process. AMSC-MP contain many growth hormones that function as anti-aging agents in the same way as TGF- $\beta$ , EGF, bFGF, and KGF) (Islam et al., 2014). This study highlighted an increase in the number of fibroblast cells in the AMSC-MP treatment group which was in line with the anti-aging effects of AMSC-MP whose use promotes the proliferation and migration of dermal fibroblasts and increases collagen synthesis of fibroblasts (Ardhaninggar et al., 2020).

These results indicate that AMSC-MP did not cause skin irritation, although the AMSC-MP formulation in nanocarriers produced an increase, albeit relatively limited, in the number of inflammatory cells per field of view. This is possibly due to the nature of the constituent materials and the use of surfactants, such as sodium cholate. However, it is tolerable.

## 5. Conclusions

The anionic surfactant, sodium cholate, induced changes in physical characteristics such as small particle size, more uniform polydispersity index, and negative zeta potential compared to transfersomes using cationic (stearylamine) and nonionic surfactants (Tween 80). The application of sodium cholate successfully improved skin penetration by transfersome-loading AMSC-MP, thereby enhancing their anti-aging effectiveness, in terms of collagen density and the number of fibroblasts, in UV aging-induced mice models. Although the nature of transfersome constituents may cause skin irritation, as evidenced by the increased number of macrophages, the AMSC-MP loaded-transfersomes formulation was relatively safe and the effect remained tolerable. The preferential use of surfactant as the edge activator of transfersome determines, to a significant degree, the characteristics as well as the efficacy of AMSC-MP as a form of anti-aging skin therapy.

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## Data availability statement

The data that support the findings of this study are available from the corresponding author [AM] upon

reasonable request.

## Disclosure statement

No potential conflict of interest was reported by the authors. [AQ3](#)

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1. **Comment by Author:** "correction: andang-m@ff.unair.ac.id" 

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2. **Comment by Author:** "correction: Stem Cell Research and Development Center, Universitas Airlangga, Campus C UNAIR Mulyorejo, Surabaya, Indonesia" 

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