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Nanoparticles Use for Delivering Ursolic Acid in Cancer Therapy: A Scoping Review

Andang Miatmoko* , Ester Adelia Mianing, Retno Sari and Esti Hendradi

Review, *Front. Pharmacol. - Pharmacology of Anti-Cancer Drugs*

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Keywords: Ursolic acid, Cancer, nanoparticle, Liposome, Nanosphere, Polymeric micelle, efficacy, Toxicity

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Date	Updates
29 Nov 2021	Article accepted for publication.
25 Nov 2021	Review of Review Editor 2 finalized.
21 Nov 2021	Review of Review Editor 1 finalized.
16 Nov 2021	Corresponding Author Andang Miatmoko submitted manuscript. Corresponding Author Andang Miatmoko re-submitted manuscript. You posted new comments. You posted new comments.
27 Oct 2021	Interactive review forum activated.
30 Sep 2021	Corresponding Author Andang Miatmoko submitted manuscript.

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I am pleased to inform you that your manuscript "Nanoparticles Use for Delivering Ursolic Acid in Cancer Therapy: A Scoping Review" has been approved for production and accepted for publication in Frontiers in Pharmacology, section Pharmacology of Anti-Cancer Drugs.

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Manuscript ID: 787226

Authors: Andang Miatmoko, Ester Adelia Mianing, Retno Sari and Esti Hendradi

Journal: Frontiers in Pharmacology, section Pharmacology of Anti-Cancer Drugs

Article type: Review

Submitted on: 30 Sep 2021

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Independent Review Report, Reviewer 1

EVALUATION

Please list your revision requests for the authors and provide your detailed comments, including highlighting limitations and strengths of the review. If you have additional comments based on Q2 and Q3 you can add them as well.

The manuscript submitted by Andang Miatmoko and colleagues is good work submitted for publication. However it can not be accepted in its present form, authors require extensive revisions for this manuscript.

The Manuscript content is not giving good idea about the actual work the authors did, at some instances it seems like research (what the need to discuss characterization of formulation, Histopathology discussed like the authors are not writing review instead they are discussing their own findings).

The authors included figure, do they have copyright?

The discussion is not drafted properly, flow of the content is not good too.

In its present form readers will not have an idea about the concept of the review, authors must have straight forward approach for the readers (In results and discussion authors described their methodology of literature survey)

Referemces shloud be revised to match with given text. For example, Author reported the Ursolic Acid belong to BCS-IV but reference number 10 which not match with these text.

The authors should also refer some review/research articles published recently on novel nanotechnology based drug delivery systems, which will be more beneficial for their work.

✓ Novel nanotechnology approaches for diagnosis and therapy of breast, ovarian and cervical cancer in female: A review

✓ Nanomedicine in treatment of breast cancer – A challenge to conventional therapy

✓ Bioactive Apigenin loaded oral nano bilosomes: Formulation optimization to preclinical assessment

✓ Implications of Solid Lipid Nanoparticles of Ganoderic Acid for the Treatment and Management of Hepatocellular Carcinoma

✓ Nanocrystals: Characterization Overview, Applications in Drug Delivery, and Their Toxicity Concerns

✓ Anticancer effect of ursolic acid stearyl glucoside in chemically induced hepatocellular carcinoma

Check List

a. Is the quality of the figures and tables satisfactory?

No

b. Does the reference list cover the relevant literature adequately and in an unbiased manner?

No

c. Does this manuscript refer only to published data? (unpublished or original data is not allowed for this article type)

Yes

d. Does the review include a balanced, comprehensive, and critical view of the research area?

No

QUALITY ASSESSMENT:

Rigor

2

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Authors: Andang Miatmoko, Ester Adelia Mianing, Retno Sari and Esti Hendradi

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Article type: Review

Submitted on: 30 Sep 2021

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Please list your revision requests for the authors and provide your detailed comments, including highlighting limitations and strengths of the review. If you have additional comments based on Q2 and Q3 you can add them as well.

The authors are compiling the literature on the various nanoparticles that were formulated to encapsulate a potent anti-cancer agent, ursolic acid. The review comprises detail mechanism of data collection and secondary data from in vivo to clinical trials.

Strengths of the study:

- Comprehensive review supported with the flow of study selection and data collection
- Authors extracted comprehensive data and discussed extensively from efficacy, pharmacokinetics and toxicity in animal to human studies

Limitations:

- Half of the references are not updated (in recent 5 years)
- Lack of significant outcome: for example: comparison between different cancer or types of nanoparticles, the dose of UA in different studies that might contribute to discrepancy in data analysis.

Suggestions:

- It is suggested to include the period/date of data collection
- Explain why the clinical trial data is limited to "liposome nanoparticles"?
- For table 2, it is suggested to include the dose/concentration of UA being formulated, with the type of cancer tumours
- It is unclear that the In vivo anti-cancer efficacy was presented in both tumour tissue (only in liver cancer?) and tumour growth inhibition (without mentioning the type of cancer?).
- The authors are comparing the efficacy of different type of nanoparticles without mentioning the dose comparison

- It would be great if authors could derive some outcomes/impact of the research such as “which nanoparticles could enhance the efficacy, pharmacokinetic or reduce toxicity of UA”??
- It is suggested that authors may include the limitations of this comprehensive review.

Check List

a. Is the quality of the figures and tables satisfactory?

Yes

b. Does the reference list cover the relevant literature adequately and in an unbiased manner?

Yes

c. Does this manuscript refer only to published data? (unpublished or original data is not allowed for this article type)

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Nanoparticles Use for Delivering Ursolic Acid in Cancer Therapy: A Scoping Review

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6 *** Correspondence:**

7 Andang Miatmoko

8 andang-m@ff.unair.ac.id

9 **Keywords:** ursolic acid, cancer, nanoparticle, liposome, nanosphere, polymeric micelle,
10 efficacy, toxicity.

11 **Abstract**

12 Ursolic acid is a natural pentacyclic triterpenoid that exerts a potent anticancer effect. Furthermore, it
13 is classified as a BCS class IV compound possessing low permeability and water solubility,
14 consequently demonstrating limited bioavailability in addition to low therapeutic effectiveness.
15 Nanoparticles are developed to modify the physical characteristics of drug and can often be produced
16 in the range of 30-200 nm, providing highly effective cancer therapy due to the Enhanced Permeation
17 and Retention (EPR) Effect. This study aims to provide a review of the efficacy and safety of various
18 types of Ursolic Acid-loading nanoparticles within the setting of preclinical and clinical anticancer
19 studies. This literature study used scoping review method, where the extracted data must comply with
20 the journal inclusion criteria of within years of 2010-2020. The identification stage produced 237
21 suitable articles. Duplicate screening was then conducted followed by the initial selection of 18
22 articles that had been reviewed and extracted for data analysis. Based on this review, the use of
23 nanoparticles can be seen to increase the anticancer efficacy of Ursolic Acid in terms of several
24 parameters including pharmacokinetic data, survival rates and inhibition rates, as well as the absence
25 of serious toxicity in preclinical and clinical trials in terms of several parameters including body
26 weight, blood clinical chemistry, and organ histopathology. Based on this review, the use of
27 nanoparticles has been able to increase the anticancer efficacy of Ursolic Acid, as well as show the
28 absence of serious toxicity in preclinical and clinical trials. Evenmore, the liposome carrier provides
29 development data that has reached the clinical trial phase I. The use of nanoparticle provides high
30 potential for Ursolic Acid delivery in cancer therapy.

31 **1 Introduction**

32 Cancer is a disease that can occur in almost any organ or tissue of the body when abnormal cells
33 grow uncontrollably beyond their usual limits to invade adjacent parts of the body and/or spread to
34 other organs (1). Data from the Global Burden of Cancer released by the World Health Organization
35 (WHO) reported that the number of cases and deaths from cancer in 2018 totaled 18.1 million and 9.6
36 million respectively. Cancer-related deaths are predicted to increase to more than 13.1 million by

Nanoparticles Use for Delivering Ursolic Acid

37 2030 (2). The most common types affecting men include lung, prostate, colorectal, and liver cancer,
38 while in women, they comprise breast, colorectal, lung, cervical and thyroid cancers. In 2018, the
39 incidence rate of liver cancer in Indonesia ranked eighth highest in Southeast Asia, while in Asia as a
40 whole it occupied 23rd position with 348,809 cases (3).

41 The first-line options for cancer treatment include surgery, radiotherapy and the administering of
42 biological and chemical drugs (chemotherapy, hormones and biological therapy). However, such
43 forms of treatment fail to control metastatic tumors that have spread to other organs (4).
44 Chemotherapeutic agents are predominantly toxic compounds that primarily inhibit the rapid
45 proliferation of cancer cells, while also potentially restricting the growth of hair follicle, bone
46 marrow and gastrointestinal cells culminating in severe undesired side effects (5). Consequently, the
47 effect of certain natural compounds have been widely explored and it has been scientifically proven
48 that Ursolic Acid (UA), an active agent, inhibits the proliferation of cancer cells (6).

49 UA is a natural pentacyclic triterpene of the cyclosqualenoid family present in many plants which can
50 modulate cellular transcription factors, growth factor receptors, inflammatory cytokines, and
51 numerous other molecular targets that regulate cell proliferation, metastasis, apoptosis, angiogenesis,
52 and autophagy. The anticancer effects of UA have been reported for many types of cancer, one of
53 which is liver cancer (7). The mechanisms of UA which produce such effects include nuclear-kappa
54 B (NF-kB) factors and apoptosis signaling in cancer cells (8). The protease activity involving
55 urokinase and cathepsin B, both of which are known to be associated with tumor invasion and
56 metastasis, is also significantly inhibited by UA, interleukin-1 β (IL-1 β), Tumor necrotic factor- α
57 (TNF- α), and the expression of MMP-2 and MMP-9 (Mitochondria-Mediated Pathway) (6,9).
58 Prolonged administration of excessive doses of UA, with an LD50 value of 9.26 g/kg in acute
59 toxicity tests on mice (10), has the potential to cause liver cytotoxicity which is not classified as
60 genetic toxicity. Within the Biopharmaceutical Classification System (BCS), UA is categorized as a
61 BCS class IV compound demonstrating low permeability and solubility (10) which, consequently,
62 requires a nanotechnology-based drug delivery system to reach the desired target (11). In particular,
63 the development of drug delivery systems entails the use of nanoparticles targeted at cancer cells
64 which significantly improve therapeutic and diagnostic efficacy as well as reducing unwanted side
65 effects.

66 Nanotechnology represents a new therapeutic platform that employs nanoparticles (NPs) in the
67 diagnosis and treatment of cancer. NPs are used in cancer therapy due to their relatively small size, 1-
68 1000 nm, the fact that they frequently fall within the range of 10-200 nm, and the presence of the
69 EPR effect (12–14). Nano-drug delivery systems have been lauded for their excellent
70 biocompatibility properties, low toxicity, increased solubility in water, in addition to their ability to
71 deliver targeted drugs to tissues which limits their accumulation in the kidneys, liver, spleen, and
72 other non-targeted organs, while improving therapeutic efficacy (4,15). The delivery of nanoparticles
73 to tumor tissues through systemic circulation can be executed through two targeting strategies,
74 including passive targeting, when nanoparticles entering circulation will accumulate at the tumor site
75 due to enhanced permeation and retention (EPR). In contrast, active targeting, generally employs
76 ligand molecules such as antibodies and peptides to recognize specific antigens expressed in tumor
77 cells or the microenvironment (4).

78 Many types of nanoparticles exist, including polymeric therapy (polymer-protein and polymer-drug
79 conjugates) in which drugs are covalently bound or conjugated to polymer structures and
80 nanoparticulate drugs. The drugs are physically trapped in assembled particles composed of different
81 materials such as polymers (polymer micelles, dendrimers and polymer nanoparticles), lipids

82 (liposomes), or organometallic compounds (carbon nanotubes). The first generation of anticancer
83 nanoparticles approved by the FDA include liposomal drugs and polymer conjugates (16,17).
84 However, certain products can be subjected to in vivo and clinical trials, while others remain limited
85 to in vitro studies. Therefore, the effectiveness and safety of the nanoparticle drug constitute
86 important assessed parameters.

87 As for the development of drugs with nanoparticle carriers, one example is Doxil®, the first nano-
88 drug using liposomes approved by the FDA, which demonstrates the clinical performance advantages
89 of doxorubicin in a variety of neoplastic conditions due to pharmacokinetics and the unique EPR-
90 related biodistribution of liposomal doxorubicin (17,18). Doxil® can reduce side effects, especially
91 that of cardiac toxicity, and improve patients' adherence and quality of life (19). Cisplatin is an
92 anticancer drug prepared with a polymeric micelle through the formation of a metal-polymer
93 complex between cisplatin and poly-(ethylene glycol)-poly(glutamic acid) block copolymers (20,21).
94 These micelles are 28 nm in size with a very narrow distribution, demonstrate extremely extended
95 blood circulation, and accumulate effectively in solid tumor models of Lewis lung carcinoma cells.
96 However, because they undergo chemical synthesis, toxicity and scale-up production become major
97 issues (22–24). In addition, the development of Abraxane®, a paclitaxel-albumin-bound nanoparticle
98 ~130 nm in size, was approved by the FDA in 2005 for the treatment of metastatic breast cancer
99 (25,26). This formulation has been shown to have several advantages in terms of toxicity reduction
100 compared to Taxol®. Moreover, the total dose can be given within 30 minutes without pre-treatment.
101 However, the manner in which Abraxane® can improve survival rates and overcome P-GP-mediated
102 drug resistance remains unclear (25).

103 Certain nanoparticles have been used in the delivery of UA as a cancer therapy including liposomes,
104 polymeric nanoparticles, and polymeric micelles (27). However, at the time of writing, in contrast to
105 other chemotherapy drugs such as Doxorubicin (Doxil®), Cisplatin, Paclitaxel (Taxol®), or
106 Amphotericin B (Ambisome®), no review of the effectiveness and safety of several types of
107 nanoparticles for the delivery of UA for cancer therapy has been conducted (27). This study aims to
108 provide a literature review related to the anticancer effectiveness and safety of UA delivered with
109 various types of nanoparticles based on pre-clinical and clinical trial results from existing research
110 published between 2011 and 2021.

111

112 2 Methods

113 Article selection criteria

114 This study uses the scoping review method involving literature accessible through the PubMed,
115 Sciondirect, Scopus, and Google Scholar databases consisting of online research publications
116 dating from 2011 to 2021. Clinical trial articles were sourced using the keywords "Clinical trial",
117 "Ursolic Acid", "Cancer", and "OR Nanoparticle Liposome". As for the search for articles relating to
118 in vivo studies, this employed the keywords "Pre-Clinical OR In Vivo OR Animal", Ursolic Acid",
119 "Cancer", "Nanoparticle". Within this study, several inclusion and exclusion criteria were applied to
120 select and screen articles for review as shown in Table 1.

121

122

123 **Table 1.** The inclusion and exclusion criteria for article screening and selection

Test Parameters	Inclusion Criteria	Exclusion Criteria
Type of research	<ul style="list-style-type: none"> a) Randomized or non-randomized phases 1, 2, or 3 clinical trials b) <i>In vivo</i> studies in animals 	<ul style="list-style-type: none"> a) <i>In vitro</i> study b) <i>Ex vivo</i> study c) Review article
Intervention	<ul style="list-style-type: none"> a) Native UA as an active ingredient b) Nanoparticles (lipids, polymers, hybrid nanoparticles as carriers) c) Administration routes comprise oral route in addition to intravenous, intraperitoneal, and intratumoral injection d) Healthy patients and those suffering from all types of cancer (both individuals who have undergone surgery and those who have not) 	<ul style="list-style-type: none"> a) Extracts containing UA and UA derivatives b) Microparticles or other carrier systems more than 1000 nm in size c) Administration routes other than those meeting the inclusion criteria (topical, transdermal)
Comparison	<ul style="list-style-type: none"> a) No comparison with other drugs, only negative controls b) Comparison with other drugs 	-
Outcome	<ul style="list-style-type: none"> a) Primary efficacy outcomes (improved lifespan, enhanced survival rate, tumor growth inhibition) b) Secondary efficacy outcomes (e.g., blood parameters, no complaints); improvement in physical condition (body weight, tissue histopathology); clinical and non-clinical improvements. c) Toxicity (body weight, blood parameters, clinical parameters, non-clinical parameters, adverse events, organ histopathology) 	-
Types of Publications	<ul style="list-style-type: none"> a) Articles are written in English b) Not included as predatory journals. 	The article is not written in English

124

125

126 Evaluation of physical characteristics of UA nanoparticles

127 Data analysis involved comparing the physical characteristics of different types of nanoparticles
128 identified in the selected articles.

129 Analysis of Particle size

130 Particle size and particle size distribution produce significant impacts on drug loading variation, drug
131 release, bioavailability, and efficacy (28). In addition, particle sizes of 150 nm to 4.5 μm will be
132 easily recognized by macrophages and dendritic cells during phagocytosis (29). Instruments used in
133 nanoparticle size and morphology determination include Dynamic Light Scattering (DLS), Scanning
134 Electron Microscopy (SEM), Transmission Electron Microscopy (TEM), and Atomic Force
135 Microscopy (AFM).

136 Analysis of ζ -Potential

137 Zeta potential is used to predict the stability of colloidal dispersion systems during storage.
138 Generally, ζ - potential values above ± 30 mV resulted in more stable particles because the repulsing
139 force between particles can prevent aggregation. The ζ -potential is affected by surfactants, polymers,
140 the surface active agent component of nanoparticles, the presence of absorbing compounds, dispersed
141 phase media, ionic strength, and pH (28). The ζ -potential can be analyzed using the Electrophoretic
142 Light Scattering (ELS) method (30,31).

143 Analysis of Encapsulation Efficiency

144 Entrapment Efficiency (EE%), or encapsulation efficiency, is defined as the portion of drugs
145 encapsulated in nanoparticles. Free drugs that are not encapsulated in the drug delivery carriers or
146 nanoparticles can be separated by means of centrifugation, dialysis, or gel chromatography. The
147 concentration of entrapped and un-entrapped drugs can be determined through the use of instruments
148 such as spectrophotometers or high-performance liquid chromatography (HPLC). The encapsulation
149 efficiency percentage is calculated using the following equation:

$$150 \quad EE(\%) = \frac{W_T - W_U}{W_T} \times 100\%$$

151 where, W_T is the total weight of AU and W_U is an un-entrapped UA weight (32).

152

153 Pharmacokinetic evaluation of UA nanoparticles

154 Plasma concentration versus time data was analyzed using non-compartmental methods. Peak plasma
155 concentration (C_{max}) and time-to-peak plasma concentrations (T_{max}) were obtained through
156 experimental observation. In elimination half-life ($t_{1/2}$) calculated as $0.693/\lambda_z$, λ_z is the slope of the
157 terminal phase. In areas under the curve (AUC_{0-t}) of plasma concentration versus time from zero to
158 infinity, $AUC_{0-\infty}$ is equivalent to the total area from time = 0 to the last measurable concentration
159 time. The value is calculated using the linear trapezoidal method up to C_{max} , log-trapezoidal method
160 (until the last measured concentration), and extrapolated areas (33). In this study, the analysis was
161 conducted by comparing pharmacokinetic profiles from various studies contained in the articles
162 reviewed.

163 Evaluation of The Effectiveness Of Ursolic Acid Nanoparticles

164 The analysis was conducted by comparing the results of efficacy studies including survival rate,
165 tumor growth inhibition, tumor weight, and tumor volume, as well as tumor tissue histopathology
166 extracted from reviewed articles.

167 Cancerous Tissue Histopathology

168 The histological section of the liver was stained with haematoxylin and eosin (H&E) staining and
169 subsequently compared to the histopathological appearance of each organ in the control and
170 treatment groups (34). The microstructure and morphology of tissues were observed using a light
171 microscope (31). Hematoxylin is a base dye that has an affinity for the acidic components of cells,
172 primarily the nucleic acids contained in the nucleus, while eosin is an acidic dye that binds to the cell
173 cytoplasm. As a result, H&E stained the core blue and cytoplasm orange-red (35).

174 Analysis of relative tumor volume

175 In the articles, the size of the tumor is determined by means of a calliper, while its volume is
176 calculated using the following equation:

$$177 \quad V = 0.5xy^2$$

178 where x is the longest and y the shortest diameter (30,36–39). In this study, the relative tumor volume
179 is calculated using the following formulas:

$$180 \quad \text{relative tumor volume} = \frac{V_T \text{ NC}}{V_T \text{ AUNP}} \quad \text{or} \quad \text{relative tumor volume} = \frac{V_T \text{ AU}}{V_T \text{ AUNP}}$$

181 where $V_T \text{ NC}$ is the volume of the negative control group tumor, $V_T \text{ AU}$ is the volume of the native
182 UA treatment group tumor, and $V_T \text{ AUNP}$ is the volume of the UA nanoparticle treatment group
183 tumor.

184 Analysis of relative tumor weight and growth inhibition rate

185 The antitumor activity of nanoparticles is assessed through the tumor growth inhibition rate (IR) or
186 tumor growth inhibition (TGI) at the experimental endpoint, calculated using the following
187 equations:

$$188 \quad \text{IR (\%)} \text{ or } \text{TGI (\%)} = \frac{W_T \text{ of negative control group} - W_T \text{ of treatment group}}{W_T \text{ of negative control group}}$$

189 where W_T is the weight of the tumor (31,40,41). In this study, the relative tumor weight and relative
190 inhibition rate were each calculated using the following formulas:

$$191 \quad \text{Relative tumor weight} = \frac{W_T \text{ NC}}{W_T \text{ AUNP}} \quad \text{or} \quad \text{relative tumor weight} = \frac{W_T \text{ AU}}{W_T \text{ AUNP}}$$

192 where $W_T \text{ NC}$ is the weight of the negative control group tumor, $W_T \text{ AU}$ is the tumor weight of the
193 native UA treatment group, and $W_T \text{ AUNP}$ is the volume of the UA nanoparticle treatment group
194 tumor.

195 *Relative inhibition rate* = $\frac{I_R \text{ AUNP}}{I_R \text{ AU}}$

196 where $I_R \text{ AUNP}$ is the tumor inhibition rate of UA nanoparticle treatment group, and $I_R \text{ AU}$ represents
197 the tumor inhibition rate of the native UA treatment group.

198 **Analysis of relative survival rate**

199 Survival rates can be used as a standard assessment of effective therapy. The survival period is
200 usually calculated from the date of diagnosis or commencement of the treatment period. The survival
201 curve of each group was estimated using the Kaplan-Meier method with the average survival time
202 difference being assessed by means of a log-rank test (38). This method involves non-parametric
203 estimation of the survival function commonly used to describe the survival of a single population or
204 compare the survival of two populations. The Kaplan-Meier estimate is one of the most effective
205 statistical methods of measuring the probability of a patient's survival observed during a post-
206 treatment period (42). In this study, the relative survival rate was calculated using the following
207 formula:

208 *Relative survival rate* = $\frac{S_R \text{ AUNP}}{S_R \text{ NC}}$

209 where $S_R \text{ AUNP}$ is the survival rate following the administration of UA nanoparticles and $S_R \text{ NC}$ is
210 the survival rate of the negative controls.

211

212

213 **Evaluation of toxicity of UA nanoparticles in pre-clinical trials**

214 **Weight analysis**

215 Weight loss represents a significant parameter of biological safety analysis or drug safety. The weight
216 of the mice subjects is measured on the day of tumor inoculation and continues daily, or at least
217 several times per week, during treatment. Each treatment group mouse is quantitatively weighed with
218 the result being compared to that of a normal mouse in order to identify any significant difference
219 between the two groups (43). In this study, the weight of the mice was calculated using the following
220 formulas:

221 *Relative body weights* = $\frac{W_B \text{ NC}}{W_B \text{ AUNP}}$ or *Relative body weights* = $\frac{W_B \text{ AU}}{W_B \text{ AUNP}}$

222 where $W_B \text{ NC}$ is the mice body weight in the administration of negative control, $W_B \text{ AU}$ is the mice
223 body weight in the administration of native UA, and $W_B \text{ AUNP}$ represents the mice body weight in
224 the administration of UA nanoparticles.

225 **Other toxicity analysis**

226 Other toxicity data on the in vivo studies was derived by data recapitulation that included: tissue
227 histopathology, increased levels of ALT and AST, and the amount of WBC as an indicator of
228 hematological toxicity (36,44,45).

229

230 **Evaluation of AU-NP Toxicity in clinical trials**

231 **Analysis of clinical chemistry data**

232 Toxicity was evaluated in all subjects treated with at least one cycle of UA Liposome therapy.
233 Hematological parameters (red blood cells, WBC, hemoglobin, ANC, and platelets), urinary routines
234 (urine protein, glucose, erythrocytes, leukocytes, and urine bilirubin), and stool routines (stool
235 erythrocytes and stool leukocytes) were evaluated. Blood biochemistry including ALT, AST, ALP,
236 gamma-glutamyl transpeptidase (GGT) were further analyzed (46). In this study, the analysis was
237 conducted by comparing clinical laboratory data (ALT, AST, GGT, DBIL, and TBIL) extracted from
238 reviewed articles.

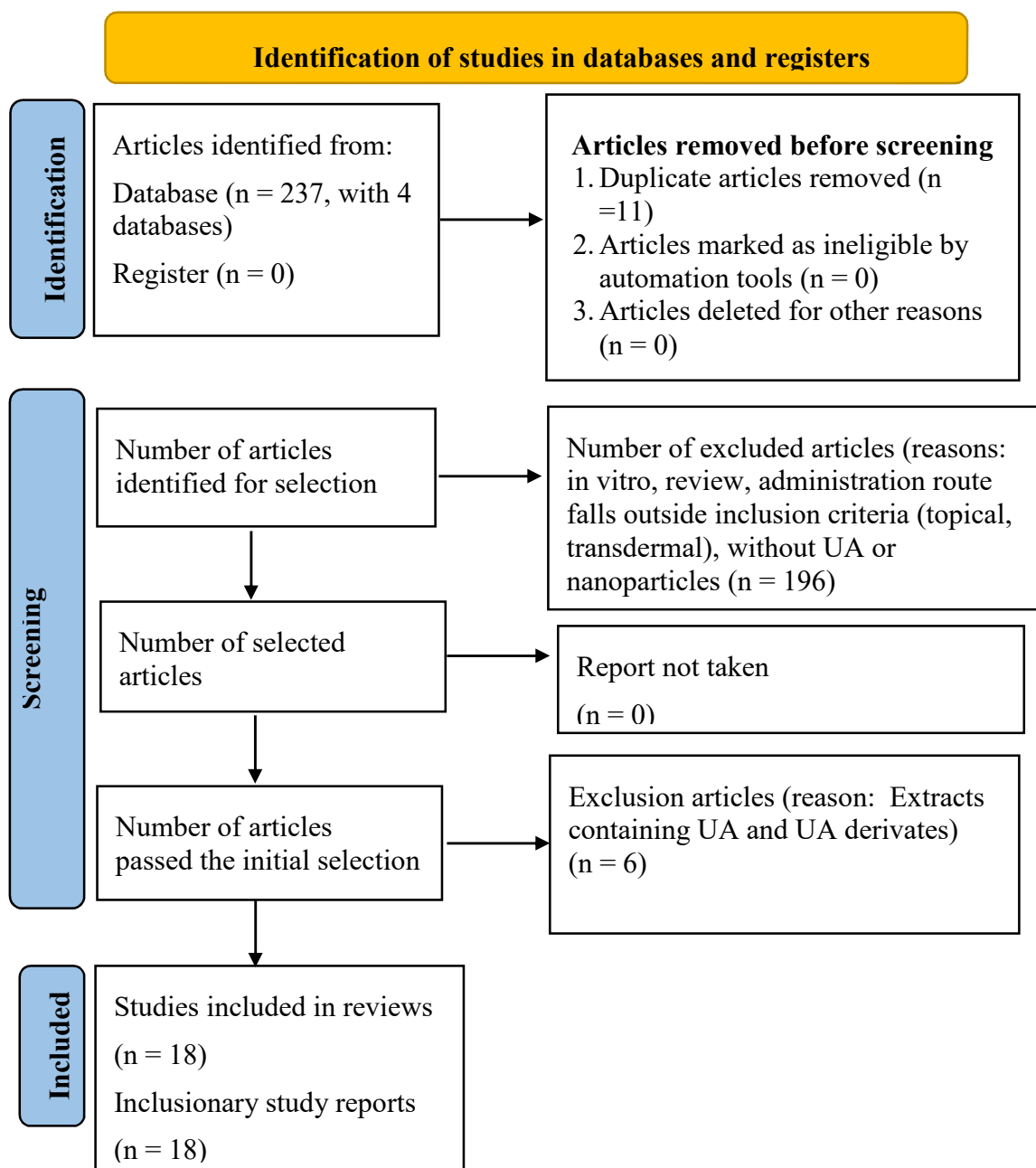
239 **Analysis of Adverse Events**

240 Adverse events are used to assess unintended events (AE) in healthy adult volunteers and patients
241 with advanced solid tumor disease. In addition, the toxicity can be seen from the value of the
242 maximum tolerated dose (MTD) used to determine the highest dose of the drug that can be
243 administered without adverse events. The adverse events documented during the study were
244 classified as mild, moderate, or severe based on the dosage (43). In this study, the analysis was
245 conducted by comparing adverse events or side effects occurring in subjects who had received the
246 treatment mentioned in reviewed articles.

247

248 **3 Results and Discussion**

249 This study provides a literature review focusing on the anticancer effectiveness and safety of UA
250 delivered with various types of nanoparticles to increase its anticancer effects as confirmed by both
251 pre-clinical and clinical trials. Literature searches of all four databases using pre-determined
252 keywords identified 237 articles in the prescreening stage as can be seen in Figure 1. Of the total
253 literature reviewed, duplication screening was conducted using the Mendeley application to produce
254 a final body of 226 articles. Application of exclusion criteria resulting in a body of 196 selected
255 articles which were subsequently subjected to inclusion criteria to produce a final total of 30. The
256 initial selection process identified 24 articles which were subsequently reviewed, culminating in 18
257 which satisfied the inclusion criteria. The summary of reviewed articles is presented in Table 2.



258

259 **Figure 1.** Flow chart of PRISMA method for article identification, screening, and selection

260

261 The data extraction of the literature used can be seen in Table 2.

262 **Table 2.** The summary of literature reviews for UA-loaded nanoparticles

No.	Code	Carrier Type	Formula-tion	Type of Research	Information Research	Administra-tion Route	Referen-ce
1	Lipo A	Liposomes	Not Available	Phase I Clinical	Safety Evaluation of Double Dose and	Intravenous 4 hours	(46)

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				Trials	Antitumor Activity of Ursolic Acid (UAL) Liposomes in Subjects with Advanced Solid Tumors including: Non-Hodgkin Lymphoma (24%), Hodgkin Lymphoma (24%), Renal Carcinoma (5%), Hepatocellular Carcinoma (5%), Breast Cancer (9%), Lung Cancer (9%), Other Cancers (19%)	infusion at doses equivalent to 54, 74, and 96 mg UA/m ² for 14 consecutive days	
2	Lipo B	Liposomes	Not Available	Phase I Clinical Trials	Toxicity evaluation of a single dose of intravenous ursolic acid liposomes (UAL) in healthy adult volunteers and patients with advanced solid tumors including Non-Hodgkin Lymphoma, Hodgkin Lymphoma, Renal Carcinoma, and Hepatocellular Carcinoma	Intravenous (IV) route at doses equivalent to 11, 22, 37, 56, 74, and 96, and 130 mg UA/m ² administered as a 4 hours infusion	(47)
3	Lipo C	Liposomes	Not Available	Phase I Clinical Trials	Toxicity evaluation of ursolic acid nanoliposomes (UANL) in healthy volunteers and patients with advanced solid tumors including: Non-Hodgkin Lymphoma (50%), Hodgkin Lymphoma (12.5%), Gut Cancer (12.5%), Hepatocellular Carcinoma (25%)	Intravenous (IV) route at doses equivalent to 74 mg/m ² as a single dose, 98 mg/m ² , and 74 mg/m ² as double doses daily for 14 days via a 4 hours infusion	(33)
4	Lipo D	Liposomes	Hydrophobic component	Preclinical or in vivo	Tumor inhibition activity and toxicity studies of UA-PLL-	Intravenous (IV) at a dose of	(32)

			nts (PC, Chl, and UA) at a weight ratio of 2:1:0.5; ethanol injection method.	study	HA in SCC-7 tumor-induced mice	equivalent to 20 mg UA/Kg mouse for 5 times every 4 days	
5	Lipo E	Liposomes	PEGylated UA Liposomes composed of SPC, CHOL, and UA at a weight ratio of 50:8:5, respectively; Ethanol injection method.	Preclinical or in vivo study	Tumor growth inhibition study and cytotoxicity of UA PEGylated liposomes in mice with U14 cervical carcinoma cells	Intragastric route at a dose of equivalent to 80 mg UA/kg mouse twice a day for a total of 14 days	(37)
6	Lipo F	Liposomes	Liposomes composed of hydrophobic components (SPC, CHOL and UA) at a weight ratio of 0:6:5; ethanol injection method.	Preclinical or in vivo study	Tumor growth inhibition and toxicity studies of CS-UA-L in mice with U14 cervical carcinoma cells	Intragastric route at a dose of equivalent to 80 mg UA/Kg mouse once a day for 14 days	(31)
7	Lipo G	Liposomes	Lipids-UA (HSPC/Kolesterol/DSPE-	Preclinical or in vivo study	Tumor and growth inhibition study of UA-L in mice with 4T1 tumors (breast cancer)	Intravenous (IV) route at a dose of equivalent to 10 mg	(48)

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			PEG2000 /UA = 90/0/5/5 and 90/0/5/10 , (molar ratio); thin film hydration method			UA/kg mouse for 5 times every other day	
8	Lipo H	Liposomes	Lipid components of FA-UA-L: DOTAP/CHOL/MPEG-DSPE2000/FA-PEG-CHEMS at a molar ratio of 40:55:4,5:0,5 (equal to weight ratio of 28; 21,3; 12,6, dan 2,1 mg). The ratio of UA to lipid is 1:20 (w/w); thin film hydration method	Preclinical or in vivo study	Tumor growth inhibition and toxicity studies of FA-UA/siRNA-L in mice with human KB cells tumor	Intravenous (IV) injection with the dose of 4.5 mg/kg for UA and 170 µg/kg for siRNA for 5 times every other day	(44)
9	Lipo I	Liposomes	Lipid composition: HSPC/COL/mPEG-DSPE2000/FA-	Preclinical or in vivo study	Efficacy study of FTL-UA for tumor inhibition in mice with human KB tumor cells	Intravenous (IV) at a dose of equivalent to 4.5 mg UA/kg mouse for 5 times	(38)

			PEG- CHEMS at molar ratio 63:32:4.5 :0.5 (equal to weights amount of 48, 12, 13.4, and 5 mg), respectiv ely. The ratio of UA to lipids is 1:20 (w/w); thin film hydration method			every other day, which is similar to 23 mg/kg or 98 mg/m ² drug administrat ion	
10	Nano A	Nanosph eres	Not available	Preclinic al or in vivo study	Tumor growth inhibition and toxicity studies of HCPT @F- Pt-PU NPs in mice with H22 subcutaneous tumors (liver cancer)	Intravenous (IV) injection at a dose of equivalent to 10 mg UA/kg mouse for 5 times every 2 days	(36)
11	Nano B	Nanosph eres	NP compose d of 32 mg chitosan, 10 mg UA, 30 mg EDC, and 8 mg NHS. The ratio of UA to lipids is 1:10 (w/w); overnight	Preclinic al or in vivo study	Tumor inhibition study of CH-UA-NPs in mice with H22 subcutaneous tumors (liver cancer)	Oral administrat ion at a dose of equivalent to 11 mg UA/Kg mouse once every 2 days for a total of 8 times	(49)

Nanoparticles Use for Delivering Ursolic Acid

			magnetic stirring method				
12	Nano C	Nanospheres	NP composed of 32 mg chitosan, 10 mg UA, 30 mg EDC, and 8 mg NHS. The ratio of UA to lipids is 1:10 (w/w); overnight magnetic stirring method	Preclinical or in vivo study	FA-CS-UA-NPs tumor inhibiting activity study in MCF-7 xenograft bearing models (breast cancer)	Intraperitoneal (IP) injection at a dose of equivalent to 12.5 mg UA/kg mouse once a day for 9 times	(50)
13	Nano D	Nanospheres	Not available	Preclinical or in vivo study	Tumor growth inhibition efficacy and toxicity studies of UA-LA-ICG NPs in tumor bearing mice by murine H22-hepatocarcinoma cells induced tumor xenograft models.	Intravenous (IV) injection at a dose of 10 mg/kg of UA and 2.5 mg/kg of ICG with 5 minutes irradiation at 24 h post injection	(45)
14	Nano E	Nanospheres	Prepared by making 3 mg UA solution in ethanol (1 mL, 6,569 mM) in 10 mL of water. The ratio of UA	Preclinical or in vivo study	Tumor inhibition efficacy and toxicity studies of UA NPs in mice bearing A549 xenograft models (lung cancer)	Intravenous (IV) injection at a dose of 8 mg/kg of UA for 21 days	(51)

			and NPs was 1:10, respectively; solvent exchange preparation method.				
15	Nano F	Nanospheres	Not available	Preclinical or in vivo study	Tumor inhibiting activity and toxicity studies of UA NPs in H22-induced mice (Hepatocellular carcinoma)	Intraperitoneal (IP) injection at a daily dose of 50 mg/kg of UA for ten days	(52)
16	Nano G	Nanospheres	Self-assembly method of polymer deposition	Preclinical or in vivo study	Antitumor activity and toxicity studies of Pec-8PUH NPs in mice with 4T1 tumors (breast cancer)	Intravenous (IV) injection at a dose of 10 mg/kg of UA once every 2 days for 5 times	(53)
17	Poly A	Polymeric Micelles	PM composed of UA (4 mg) and mPEG2000-PLA2000 (40 mg) at a weight ratio of 1:10; thin film dispersion method	Preclinical or in vivo study	Antitumor activity and toxicity studies of UA-PMs in H22-induced mice (Hepatocellular carcinoma)	Intraperitoneal (IP) injection at a dose of 50 mg/kg of UA every 2 days for 6 times	(54)
18	Poly B	Polymeric Micelles	Solvent evaporation method	Preclinical or in vivo study	Antitumor activity and toxicity studies of U-SS-M in tumor bearing MG-63/Osteosarcoma (OS)	Intravenous (IV) injection at a dose of 11 mg/kg of UA	(41)

Nanoparticles Use for Delivering Ursolic Acid

						every 3 days for 5 times	
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263

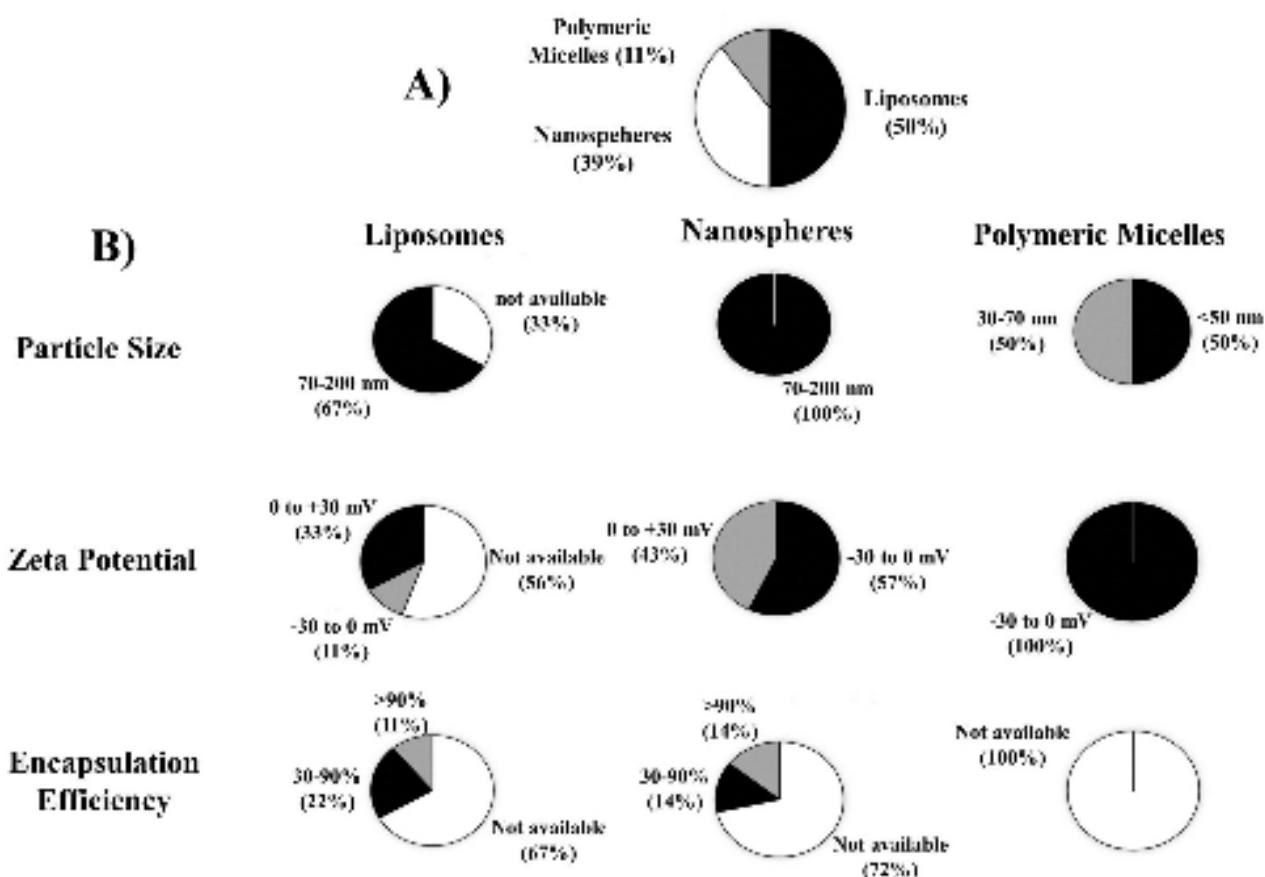
264

Notes:

- UAL : *Ursolic Acid Liposome*
- UANL : *Ursolic Acid Nanoliposome*
- UA-PLL-HA : *Ursolic Acid-Poly-L-Lysine-Hyaluronic Acid*
- UA-PEGylated : *Ursolic Acid-Polietilenglikolisasi*
- CH-UA-NPs : *Chitosan-Ursolic Acid-Nanoparticles*
- CS-UA-L : *Chitosan- Ursolic Acid-Liposome*
- CHOL/Chl : *Cholesterol*
- DSPE-PEG2000 : *1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N [methoxy (poly- ethylene glycol) -2000]*
- DOTAP : *1, 2-dioleoyl-3-trimethylammonium-propane;*
- EDC : *Ethyl-(3-3-dimethylaminopropyl) carbondiimide hydrochloride*
- FA-CS-UA-NPs : *Folate- Chitosan-Ursolic Acid-Nanoparticles*
- FA-PEG-CHEMS : *Folate Polyethylene Glycol Cholesteryl hemisuccinate*
- FA-UA/siRNA-L : *Folate- Ursolic Acid/Small Interfering RNA-Liposome*
- F-Pt-PU : *Folic Acid-Pectin-Eight-Arm PEG-UA conjugate*
- FTL-UA : *Folate Receptor Targeted Liposome-Ursolic Acid*
- HCPT @F-Pt-PU NPs : *Hydroxycamptothecin @folic acid-pectin-eight-arm PEG-UA nanoparticle*
- HSPC : *Hydrogenated Soybean Phosphatidyl Choline*
- mPEG2000-PLA2000 : *Monomethoxy Polyethylene Glycol 2000 Poly Lactic Acid 2000*
- MPEG-DSPE2000 : *Monomethoxy Polyethylene Glycol 2000-Distearoyl Phosphatidylethanolamine*
- NHS : *N-Hydroxy-Succinimide*
- PC : *Phosphatidylcholine*
- Pec-8PUH NPs : *pectin-eight-arm polyethylene glycol-ursolic acid/hydrooxycamptothecin nanoparticle*
- SPC : *Soybean Phosphatidyl Choline*
- UA-NPs : *Ursolic Acid- Nanoparticles*
- UA-LA-ICG NPs : *Ursolic Acid- Lactobionic Acid -Indocyanine Green*
- UA-PMs : *Ursolic Acid-Polymer Micelles*
- U-SS-M : *Micelles assembled by PEG-SS-UA (polyethylene glycol using a disulfide bond)*

265 Nanoparticle characterization results

266 From the review of the 18 articles, it was clear that three types of drug represent the most frequent
 267 delivery carriers of UA as an anticancer agent, namely; Liposome (50%), Nanosphere (39%) and
 268 Polymeric Misel (11%), (see Figure 2A).



269

270 **Figure 2.** (A) Types of drug carrier extracted from the article review regarding the preclinical and
 271 clinical studies of nanoparticle use for UA delivery within cancer therapy, (B) the physical
 272 characteristics of UA-loaded nanoparticles including particle size, zeta potential, and efficiency of
 273 encapsulation

274 According to the review results, several characterization parameters of liposomes, nanospheres, and
 275 polymeric micelles exist, including particle size, ζ - potential, and encapsulation efficiency (EE).
 276 From the data analysis of the 18 articles, the size of liposome particles was found to range from 70
 277 nm to 200 nm (67%); nanosphere particle size to be between 70nm and 200 nm (100%); and micelle
 278 polymeric particle size to be between 30 nm and 70 nm (50%). The ζ -potential of liposomes ranged
 279 from (-)30 to 0 mV (11%) and 0 to (+)30 mV (33%), while the nanosphere ζ -potentials were between
 280 (-)30 to 0 mV (57%), 0 to (+)30 mV (43%); and ζ liposomes of (-)30 to 0 mV (100%). For the EE of
 281 liposomes $\geq 90\%$ (11%) and 30-90% (22%); EE nanospheres $\geq 90\%$ (14%) and 30-90% (14%); as for
 282 EE polymeric micelles, these are not mentioned in the article, as presented in Figure 2B.

283 Characterization of liposome particle size is important because it affects the interaction of liposomes
 284 with target cells as well as the elimination, penetration and retention of drugs in the target sites (55).
 285 Phospholipids represent the main constituents of liposomal membranes and the use of lipid types and
 286 ratios within different preparation methods can affect the size of liposomes (55,56). From Figure 3A
 287 it can be seen that liposomes prepared with ethanol injection and thin-film hydration methods have
 288 particle sizes ranging from 70 nm to 200 nm. This finding is in accordance with that of previous
 289 research arguing that, with the ethanol injection method, liposome could be generated as SUVs with
 290 diameters of 30-110 nm (55,57), while with the adoption of thin film hydration methods, continued
 291 use of sonication or extrusion processes can produce liposomes as 25 nm to 1 μ m-sized ULVs (55).

Nanoparticles Use for Delivering Ursolic Acid

292 Liposome size depends on that of the phospholipid molecule assembly whose average dimensions
293 depend on their lipid composition, while it is supposed that the size of liposome particles increases
294 slightly with a reduction in the molar ratio of HSPC/SPC in the range of 119-143 nm (58). On the
295 other hand, liposomes made from DMPC, DSPC and HSPC (at a weight ratio of 2:1 to cholesterol)
296 experienced different increases in particle size, e.g., DMPC:Chol liposomes increased in size from
297 149 to 190 nm, DSPC:Chol expanded from 83 to 104 nm, and HSPC:Chol liposomes from 88 to 122
298 nm (59).

299 For nanospheres, particle size, which is greatly affected by lipid type, ranges from 70nm to 200 nm.
300 This is in accordance with a previous report stating that nanospheres have a diameter of 10-200 nm
301 (60). With regard to polymeric micelles, studies show that particle sizes ranging from 30-70 nm are
302 affected by polymer types based on the characteristics of hydrophilic and hydrophobic block
303 copolymers. This finding is in keeping with that of earlier research which reported that the size of
304 polymeric micelle particles is determined by the ratio of hydrophobic and hydrophilic block chains
305 and can produce particle sizes of ≤ 50 nm (61). Increased targeting of drugs to cancer cells within the
306 tumor tissues with the use of long-circulating polymeric micelles depends on the size of the micelle
307 and the vascular permeability of the tumor tissues. In hypervascular tumors with highly permeable
308 vascular structures, sub-100 nm polymeric micelles show no limits for drug extravasation and tumor
309 penetration. In contrast, only micelles smaller than 50 nm can penetrate hypovascular tumors whose
310 vascular permeability is poor (62).

311 The zeta potentials which reflect the liposome surface charges were evaluated (63). Figure 2B shows
312 that liposomes and nanospheres had zeta potentials ranging from (-30) to 0 mV and 0 to (+)30 mV,
313 while those of polymeric micelles varied from (-30) to 0 mV. If the system has a strong negative or
314 positive zeta potential the particles will tend to repulse each other and no aggregation occurs.
315 Therefore, if the system has zeta potential $>+30$ mV or <-30 mV, then it can be considered stable
316 (64,65). The positive or negative charges measured in nanoparticles are highly dependent on lipid
317 components. Analysis of the composition and intracellular delivery mechanisms confirmed that
318 conventional liposomes had a relative neutral charge due to their neutral phospholipid composition
319 such as HSPC and became negatively charged when added to cholesterol. pH sensitive liposomes
320 contained a DOPE-like phospholipid component with CHEMS causing their negative charges;
321 cationic liposomes had a cationic lipid composition such as DDAB, DOGS, DOTAP, DOTMA,
322 DMRIE, DORIE with DOPE; Long-circulating liposomes (LCL) had a high T_C neutral lipid
323 composition, cholesterol, added to approximately 5-10 mole % of PEG-DSPE rendering these
324 liposomes stable when under protein opsonization (66,67).

325 The tendency of a drug to interact with polar or non-polar bonds and/or electrostatic interactions with
326 lipid bilayer will determine whether it will be encapsulated into inner aqueous compartments or the
327 lipid bilayer membrane, or whether it will be closely related to the polar head group of the bilayer
328 membrane through electrostatic interactions. It will correlate to encapsulation efficiency (EE) or
329 loading capacity, which is usually defined as a fraction of the percentage of the total encapsulated
330 drug, in the bilayer membrane or aqueous intravesicular compartments or the matrix of nanoparticles
331 (68). UA has poor permeability and low water (10), thus causing possibly encapsulated within
332 membrane bilayer of lipid vesicles. As can be seen in Figure 2B, the EE of liposomes and
333 nanospheres ranges from 30% to $\geq 90\%$, while the EE of polymeric micelles is not mentioned. This
334 suggests that drugs are successfully encapsulated in nanoparticles in order to increase the amount of
335 drugs delivered to the target sites.

336 Pharmacokinetic data in clinical trials

337 From the review of pharmacokinetic data relating to clinical trials, it was found that in Lipo A the
 338 average $t_{1/2}$ of UAL was 4.00–4.58 hours, a low value of $t_{1/2}$ resulting in its rapid elimination from
 339 the blood circulation as shown by the contents of Table 3. This suggests that UAL does not
 340 accumulate in the body but must be infused repeatedly to ensure the steady plasma concentration of
 341 UA and further enhance its antitumor effect (46). In Lipo B, a linear relationship exists between C_{max}
 342 or AUC_{0-24h} or $AUC_{0-\infty}$ and increased doses of UAL, signifying that UAL has a linear
 343 pharmacokinetic profile (47). In Lipo C, after administration of a single IV dose, the total
 344 concentration of UA in all subjects experienced a two-fold decrease. On completion of IV infusion,
 345 the plasma concentration of UA rapidly decreases to one approximately ten times lower than the peak
 346 concentration after two hours. The pharmacokinetics profiles of UAL are linear and dosage
 347 proportional at a range of 37 mg/m² to 98 mg/m². No accumulation of UA was observed following
 348 repeated doses of UAL in eight patients after receiving continuous IV infusion 74 mg/m² over a 14-
 349 day period (33).

350 **Table 3.** Pharmacokinetic data from clinical trials of UA-loading nanoparticles

Parameter	Lipo A	Lipo B			Lipo C			
Administration Route	Intravenous	Intravenous			Intravenous			
Dose (mg/m ²)	74 (double dose)	37	74	98	37	74 (single dose)	98	74 (double dose)
$t_{1/2}$ T1/2(hours)	4.58 ± 2.04	4.59 ± 2.44	4.46 ± 1.41	3.90 ± 2.08	4.59 ± 2.44	4.46 ± 1.41	3.90 ± 2.08	4.58 ± 2.04
V_d (L/m ²)	NA	NA	NA	NA	58.7 ± 33.0	64.3 ± 17.9	55.4 ± 28.1	88.6 ± 31.8
CL (L/h/m ²)	NA	8.65 ± 1.09	10.2 ± 1.46	9.94 ± 1.13	8.67 ± 1.07	10.20 ± 1.46	9.94 ± 1.13	14.40 ± 3.94
AUC_{0-t} (ng·h/mL)	5172 ± 1136	4213 ± 606	7175 ± 999	9696 ± 1134	4203 ± 588	7175 ± 999	9696 ± 1134	5172 ± 1136
$AUC_{0-\infty}$ (ng·h/mL)	5498 ± 1525	4339 ± 574	7418 ± 1057	9971 ± 1144	4329 ± 556	7418 ± 1057	9971 ± 1144	5498 ± 1525
MRT_{0-t} (hour)	NA	3.69 ± 0.36	3.93 ± 0.37	3.84 ± 0.34	3.69 ± 0.36	3.93 ± 0.37	3.84 ± 0.34	3.34 ± 0.55
$MRT_{0-\infty}$ (hour)	NA	4.28 ± 0.91	4.56 ± 0.88	4.41 ± 0.95	4.29 ± 0.90	4.56 ± 0.88	4.41 ± 0.95	4.31 ± 1.89
C_{max} (ng/mL)	1589 ± 635	1835 ± 438	2865 ± 868	3457 ± 856	1835 ± 438	2865 ± 868	3457 ± 856	1589 ± 635
T_{max} (hour)	NA	4.03 ± 0.04	4.02 ± 0.04	4.0 ± 0.00	4.03 ± 0.04	4.02 ± 0.04	4.00 ± 0.00	3.00 ± 1.41

351 **Notes:**

352 $t_{1/2}$ = half-life time; V_d = distribution volume; CL = clearance; AUC = area under curve of
 353 concentration vs time; MRT = mean retention time; C_{max} = maximum plasma concentration;
 354 T_{max} = time required to reach maximum plasma concentration

355

356 **Pharmacokinetic data review on *in vivo* studies**

357 The pharmacokinetic data on *in vivo* studies of Lipo E shows that the highest plasma UA
 358 concentration in the PEGylated liposome treatment group was 19.87 ug/mL, which exceeded that of
 359 both the Ursolic Acid Liposomes (UAL) and Ursolic Acid (UA) solution groups. In addition, as seen
 360 from Table 4, PEG-modified liposomes have the longest $t_{1/2}$, while C_{max} and AUC in the
 361 bloodstream have similar trends. This suggests that PEGylated UA liposomes may extend the time
 362 required for the drug to circulate in the circulatory system and produce a slow release effect (37).

363 In Nano A, after administration of a hydrophobic drug, i.e., hydroxycamptothecin (HCPT),
 364 conjugated to folic acid-pectin-eight-arm PEG-UA (F-Pt-PU) the concentration of UA and HCPT in
 365 plasma decreases slowly, resulting in the longer circulation period of native UA, which may be due
 366 to the breaking of ester bonds between 8 arm-PEG and UA. The concentration of NP HCPT@F-Pt-
 367 PU in the bloodstream, still detectable at 80 hours, is higher than that of native UA (7 hours) and
 368 HCPT (8 hours). The concentration of NP HCPT @F-Pt-PU in plasma is higher than that of np F-Pt-
 369 PU, possibly because the conjugation of HCPT into polymers increases the strength of the
 370 hydrophobic bonds in the particle cores, thereby reducing the hydrolysis rate of nanoparticles (69).

371 In Nano G, UA blood circulation in pectin-eight-arm polyethylene glycol-ursolic
 372 acid/hydroxycamptothecin nanoparticles (NP Pec-8PUH) at 80 hours can be maintained at a higher
 373 concentration in plasma, while native UA and HCPT rapidly disappear from plasma. The half-life of
 374 UA blood circulation in NP Pec-8PUH is 8.7 hours which is 7.3 times longer than in native UA (53).

375 In Poly B, polymeric drug conjugates are synthesized by conjugating UA into polyethylene glycol
 376 using disulfide bonds (U-SS-M), while UA is eliminated relatively slowly and maintained at high
 377 concentrations in plasma for up to 48 hours after administration. U-SS-M exhibits a similar pattern of
 378 biodistribution and accumulates mainly in the liver and kidneys before being subsequently eliminated
 379 by these organs. In tumor tissue, the concentration of UA decreases over time, although the amount
 380 delivered by the polymer-drug conjugate gradually increases. The concentration of U-SS-M in tumor
 381 tissue is significantly higher than that of native UA at both 6 hours and 12 hours after administration
 382 (41).

383

384

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386

387

388

389 **Table 4.** Pharmacokinetic data summary of preclinical studies of nanoparticles containing UA

Parameter	Lipo E	Nano A	Nano G	Poli B
Administration route	intragastric	intravenous	intravenous	intravenous
UA dose (mg/kg)	80	10	10	11

$T_{1/2}$ (hour)	8.6	8.3 and 10	8.7	4.9 and 5.2
AUC ($\mu\text{g}\cdot\text{h}/\text{mL}$)	134.061	NA	NA	NA
C_{max} ($\mu\text{g}/\text{mL}$)	19.87	NA	NA	NA
T_{max} (hour)	NA	80	80	48

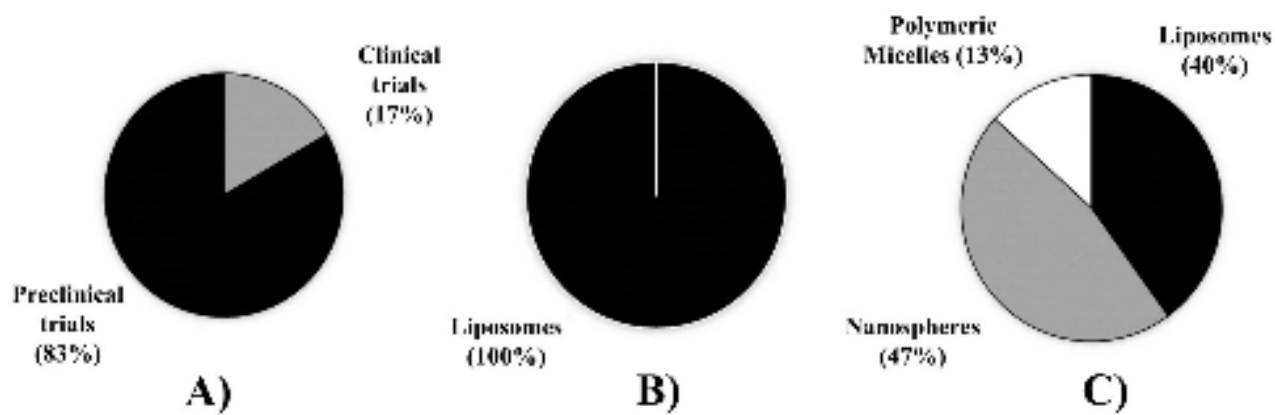
390 **Notes:**

391 $T_{1/2}$ = half-life time; AUC = area under curve of concentration versus time; C_{max} = maximum plasma
 392 concentration; T_{max} = time required to reach maximum plasma concentration

393

394 **Recapitulation of pre-clinical and clinical research relating to UA nanoparticles**

395 The results indicate that the available articles which discuss pre-clinical/in vivo trials amounted of
 396 83%, including the use of nanoparticle carrier types of nanospheres (47%), liposomes (40%), and
 397 polymeric micelles (13%). As for those that discussed clinical trials (17%), as seen in Figure 5, these
 398 featured only the use of liposomes (100%). Clinical trials are still being conducted in phase 1,
 399 indicating that they remain at the stage of evaluating dose levels, acute toxicity, and drug distribution
 400 in humans (43).



401

402 **Figure 3.** Research recapitulation of (A) clinical and preclinical studies, (B) types of nanoparticle use
 403 in clinical trials, (C) and pre-clinical trials

404

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407

408 **In vivo anti-cancer efficacy of nanoparticles containing UA**409 **Analysis of tumor tissue histopathology**

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410 The anticancer effectiveness of nanoparticles containing UA compared to negative control and free
411 UA are shown to have a significant effect on tumor growth inhibition as shown in Table 5.

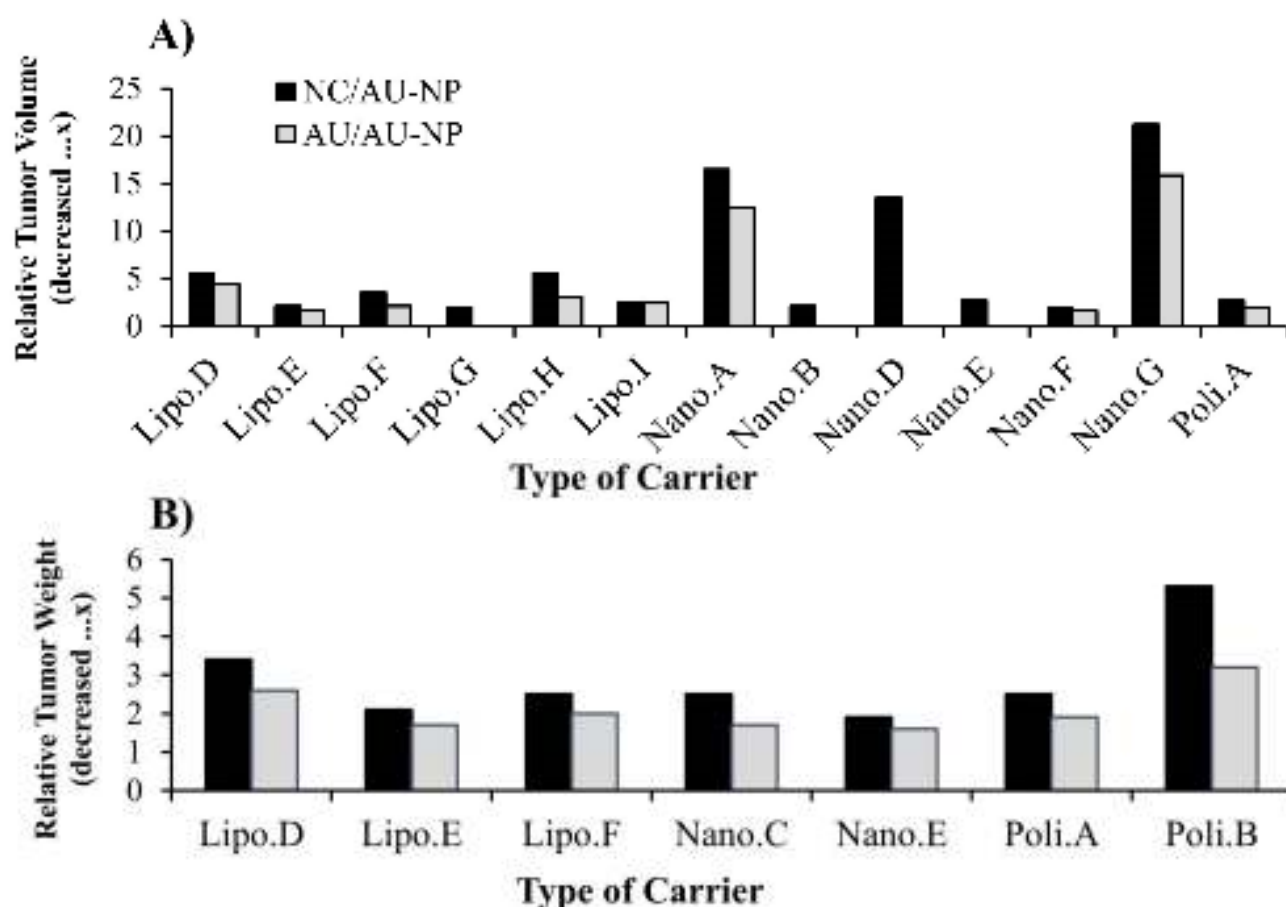
412 **Table 5.** Tissue histopathology of liver cancer after administration of negative control, native UA
413 and nanoparticles containing UA

Code	Tissue histopathology		
	Negative control	Free UA	Nanoparticles containing UA
Lipo E	It features no hemorrhagic or necrosis phenomena and the cell is round or polygonal	Tumor cells and angiogenesis occur in native UA solution and conventional UA liposomes treatment groups, which become rare with slight necrosis.	The tumor cells of the UA liposome with polyethylene glycols (PEGylated UA Liposome) group undergo severe necrosis, the nucleus/pulp ratio is significantly reduced, and apoptosis occurs due to a large number of scattered single tumor cells
Lipo F	The nucleus size and tumor cell shape are irregular. The tumor cells have clear cellular morphology and chromatin indicating that the tumor cells are growing quickly.	A limited shrinkage and fragmentation of the nucleus indicates a low rate of tumor cell necrosis.	Most tumor tissue cells in the group treated with Chitosan-Ursolic Acid-Liposomes (CS-UA-L) undergo apoptosis or necrosis, indicating good potential for killing cancer cells.
Nano B	There are numerous sinusoids and small blood vessels filled with blood (indicated by the arrow) spreading through the hepatocellular carcinoma trabeculae.	Not available	Several sinusoid liver or blood vessels can be observed in Chitosan-Ursolic Acid-Nanoparticle (CH-UA-NP) group with the exception of liver sinusoid dysplasia. Massive necrotic tissue can still be observed in hepatocellular carcinoma
Poli A	Tumor necrosis is undefined in the saline treatment group	Tumor cells and angiogenesis become rare with little necrosis	Most cancer cells in the high-dose Ursolic Acid-Polymer Micelles (UA-PMs) group at 100 mg/kg showed a high degree of H22 cell necrosis.

414

415 *Comparative analysis of tumor growth inhibition*

416 The results indicate that the normal tumor volume when compared to administration of UA-loaded
 417 liposomes (Lipo D, E, F, G, H, I), nanospheres (Nano A, B, D, E, F, G) and polymeric micelles (Poly
 418 A) decreased in relative tumor volume by approximately 2.0-21.2 times. The tumor volume of native
 419 UA compared to the administration of UA liposomes (Lipo D, E, F, G, H, I), nanospheres (Nano A,
 420 D, E, F, G) and polymeric micelle (Poly A) showed a relative reduction in tumor volume of about
 421 1.6-15.9 times lower than that of the native UA group, as presented in **Figure 4A**. This suggests that
 422 nanoparticles can improve UA effectiveness in inhibiting expansions in tumor volume.



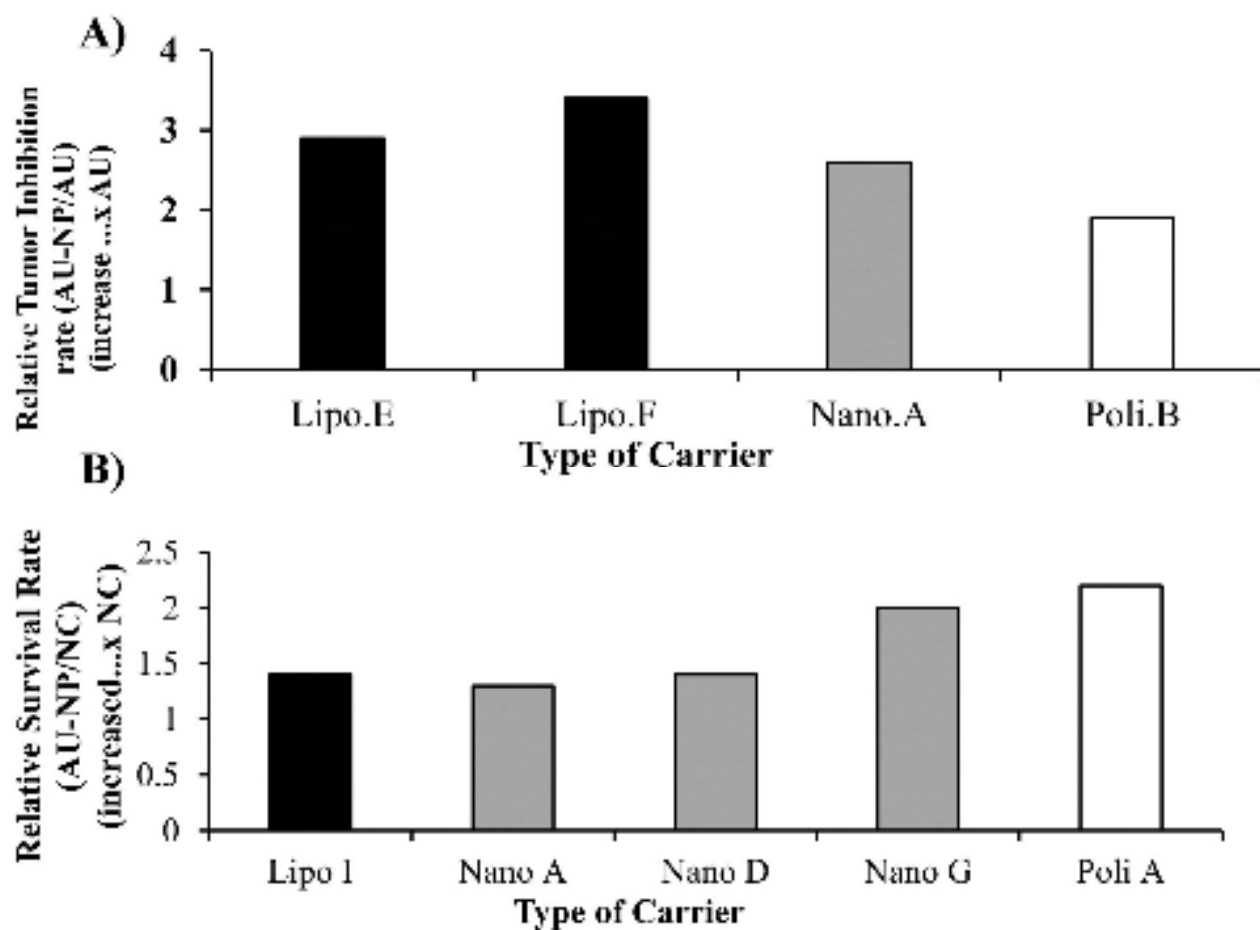
423

424 **Figure 4.** (A) Relative tumor volume in animal models treated with UA-loaded nanoparticles
 425 compared to negative control (black bars) and UA-free treatment groups (grey bars), (B) relative
 426 tumor tissue weight of animal models treated with UA-loaded nanoparticles compared to negative
 427 control (black bars) and native UA-treatment groups (grey bars).

428 The relative tumor weight analysis results relating to groups treated with UA liposomes (Lipo D, E,
 429 F), nanospheres (Nano C, E) and polymeric micelles (Poly A,B) indicated a relative reduction in
 430 tumor weight approximately 1.9-5.3 times that of the negative control group. Tumor weight in the
 431 native UA group compared to that of groups administered with UA liposomes (Lipo D, E, F),
 432 nanospheres (Nano C,E) and polymeric micelles (Poly A,B) showed a relative reduction of about 1.6-
 433 3.2x, as shown in **Figure 4B**. This suggests that nanoparticles may increase the effectiveness of UA
 434 in inhibiting tumor growth resulted in reduction of tumor weight.

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435 The relative inhibition rate analysis results indicate that the administration of UA liposomes (Lipo E,
436 F), nanospheres (Nano A) and polymeric micelles (Poly B) produces an increase in the relative tumor
437 inhibition rate of approximately 1.9-3.4x compared to the native UA group. Of the three types of
438 drug carriers, liposomes (Lipo F) experienced the highest relative inhibition rate increase of 3.4x the
439 native UA group, as seen in **Figure 5A**. This suggests that nanoparticles may increase the
440 effectiveness of UA in inhibiting tumor growth.



441

442 **Figure 5.** (A) Relative tumor growth inhibition rate of animal models treated with nanoparticles
443 loading UA compared to native UA treatment groups, (B) Relative survival rate of animal models
444 treated with UA-loaded nanoparticles compared to the negative control

445

446 *Analysis of Survival rate*

447 Based on the results, the administration of liposomes (Lipo E, F), nanospheres (Nano A) and
448 polymeric micelles (Poly B) produced an increase in the relative survival rate about 1.3-2.2x higher
449 when compared to that of the negative control group, as seen in **Figure 5B**. This suggests that
450 nanoparticles may increase the effectiveness of UA associated with improved survival rate.

451 The increased anti-tumor activity observed from the volume and weight of the tumor was associated
452 with necrosis in the tumor tissues caused by large dose exposures of UA reaching cancer cells due to
453 the increased permeability of small nanoparticles with high drug loading due to the EPR effect.

454 Furthermore, the drug will be released into the extracellular and/or intracellular matrix. In the
 455 extracellular fluids, the drug will leak from nanoparticles and subsequently diffuse into cancer cells,
 456 while in intracellular fluids nanoparticles will experience endocytosis and the matrix will be
 457 destroyed in the endosome and release free drugs which then diffuse into the cytoplasm and nucleus
 458 subsequently causing cell necrosis. These results show that the use of nanoparticles as carriers within
 459 anticancer drug delivery can increase the in vivo survival rate.

460 Other studies have suggested that when nanoparticles such as liposomes interact with cells, drug
 461 delivery and diffusion into target cells can occur in several ways. Liposomes can penetrate the tumor
 462 tissue matrix resulting in degradation of carrier lipids by enzymes, such as lipase, or by mechanical
 463 strain inducing release of active substances into the extracellular fluid. This process induces drug
 464 diffusion into cell membranes culminating in cytoplasm and nucleus delivery. However, the latter
 465 process cannot easily be achieved by the use of hydrophilic drugs. Secondly, liposome membranes
 466 will fuse with those of the target cell leading to the release of liposomes directly into the cytoplasm.
 467 The third and most frequent method is that of receptor-mediated endocytosis. This process involves
 468 only vesicles with a maximum diameter of 150 nm and active substances demonstrating significant
 469 stability in such an acidic lysosome environment where liposomes are metabolized enzymatically.
 470 Phagocytosis may also ensue but involving large size nanoparticles affected by specialized immune
 471 system cells, such as macrophages, monocytes, and Kupffer cells. This process may eliminate the
 472 nanoparticles from the circulatory system (70).

473 The survival rate of liposomes is higher than that of other nanoparticles indicating the stability of the
 474 system in the blood circulation which ensures that the trapped drug is carried by the nanoparticles for
 475 further release into the cancer cells. In addition, because of the biomimetic property of liposome
 476 components that resemble phospholipid cell membranes it is easier for them to be absorbed by the
 477 cell.

478

479 **In vivo toxicity studies of nanoparticles containing UA**

480 **Pre-clinical toxicity based on the analysis of relative body weight**

481 From the results of relative body weight calculations contained in Table 6, no significant differences
 482 existed in the weight of the mice, proving that nanoparticle administration neither caused side effects
 483 nor produced symptoms of toxicity (32,36,41). This result is also supported by other toxicity data
 484 presented in Table 7, which shows that there was no clear cell damage and no morphological changes
 485 in the major organs i.e. heart, liver, spleen, lungs, and kidneys. However, ALT, AST and WBC levels
 486 all decreased after administration of UA nanoparticles when compared to native UA (45,52). This
 487 suggested that UA nanoparticles do not cause serious toxicity, indeed, do not even produce toxicity.
 488 Rather, the effectt is mild and of short duration (44).

489

490 **Table 6.** The relative body weight of animal models treated with UA-loaded nanoparticles compared
 491 to negative control and native UA-treatment groups.

Code	Toxicity
------	----------

Lipo H	ALT and AST levels were significantly higher following an injection of FA-UA/siRNA-L compared to that of saline solution. The AST/ALT ratio of the FA-UA/siRNA-L group was significantly lower than that of the saline group. These results suggest that liver toxicity caused by liposomes produces mild, temporary liver toxicity.
Nano A	The number of rat WBCs in the NP HCPT@F-Pt-PU treatment group increased more rapidly than in the native UA group which suggests that folate-targeted pectin delivery systems may prevent serious hematological toxicity.
Nano D	There was no obvious cell damage or morphological changes in the major organs i.e., heart, liver, spleen, lungs, and kidneys in the NP UA-LA-ICG treatment group members compared to those of the negative control group.
Nano E	ALT levels in mice treated with UA-NP were significantly lower than in the CCl4 group members, but there were no changes in the native UA- treatment group. In addition, AST levels in the UA-NP treatment group were also significantly lower compared to the CCl4 group and the native UA-treatment groups.
Nano F	The native UA group experienced necrosis in the central section of the tumor tissue. These results partly suggest that native UA causes more toxicity than UA-NP. Meanwhile, H&E staining indicated that there were no obvious abnormalities or inflammatory lesions in any of the five organs, i.e., heart, liver, spleen, lungs, kidneys for the UA-NP treatment group when compared to their negative control and native UA counterparts.
Nano G	Rats treated with the Pec-8PUH-NPs group did not experience any significant reduction in WBC counts as an indicator of hematotoxicity suggesting that the use of nanoparticles might prevent hematological toxicity.

492

493

494 **Table 7.** Recapitulation of other preclinical toxicities

Relative Body Weight		
Code	Results	
	NC/AU-NP	AU/AU-NP
Lipo D	Decreased by 1.2 x normal value (Not significant)	Increased by 1.0x AU value (Not significant)
Lipo E	Decreased by 1.1x normal value (Not significant)	Increased by 1.0x AU value (Not significant)
Lipo F	Decreased by 1.2x normal value (Not significant)	Decreased by 1.0x AU value (Not significant)
Lipo G	There is no obvious difference	Not available
Lipo H	Decreased by 1.0x normal value (Not significant)	There is no obvious difference
Nano A	There is no obvious difference	There is no obvious difference
Nano D	There is no obvious difference	There is no obvious difference
Nano E	There is no obvious difference	There is no obvious difference
Nano F	Decreased by 1.0x normal value (Not significant)	Increased by 0.9x AU value (Not significant)
Nano G	There is no obvious difference	There is no obvious difference
Poli A	Decreased by 1.1x normal value (Not significant)	Decreased by 1.0x AU value (Not significant)
Poli B	There is no obvious difference.	There is no obvious difference.

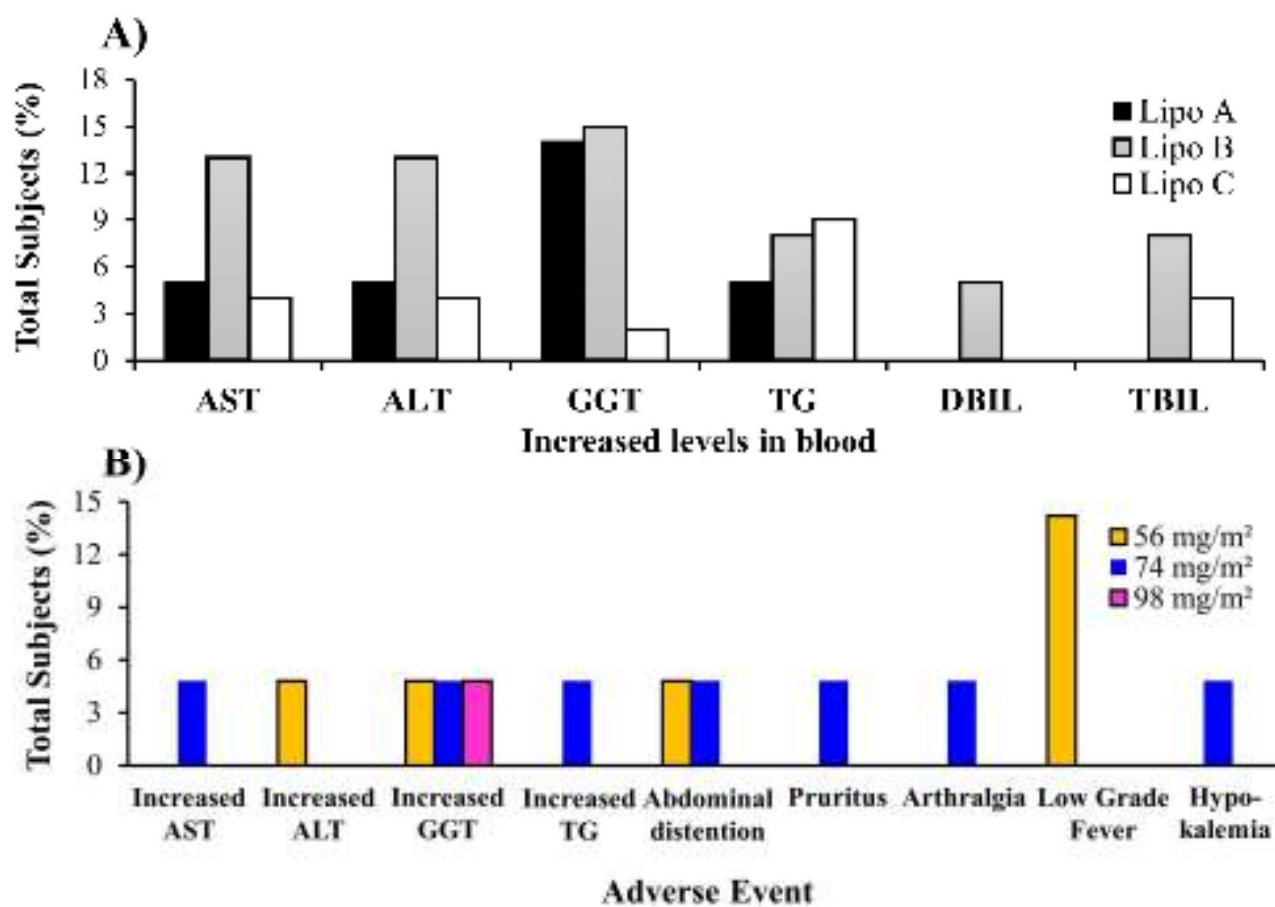
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496

497 **Safety aspects of the use of nanoparticles containing UA based on the clinical trials**498 **Toxicity based on clinical laboratory parameters**

499 The content of the graphs contained in **Figure 6A**, confirm an increase in levels of AST, ALT, GGT,
500 TG, DBIL, and TBIL after UA liposome (Lipo A, B, C) administration occurred. It can also be seen
501 that Dose Limiting Toxicity (DLT) related to hepatotoxicity, which was monitored for substantial
502 side effect parameters, was at a moderate level (43).

503 In Lipo A, an increase in levels of AST (5%), ALT (5%), GGT (14%), TG (5%) was observed in 21
504 subjects who received doses of 56, 74, and 98 mg/m². In Lipo B, elevated levels of AST (13%), ALT
505 (13%), GGT (15%), TG (8%), DBIL (5%) and TBIL (8%) were recorded in 39 subjects receiving
506 doses of 11, 22, 37, 56, 74, 98, and 130 mg/m². In Lipo C, there was an increase in AST (4%), ALT
507 (4%), GGT (2%), TG (9%), and TBIL (4%) levels observed in 24 subjects who received doses of 74
508 mg/m² as a single dose and 98 mg/m² and 74 mg/m² as multiple doses.



509

510 **Figure 6.** (A) Clinical laboratory data on clinical trials of Lipo A, Lipo B, and Lipo C, (B) Adverse
511 events of Lipo A during phase I clinical trials

512 Notes:

513 AST : Alanine Aminotransferase / SGPT (serum glutamic pyruvic transaminase)

514 ALT : Aspartate Aminotransferase / SGOT (serum glutamic oxaloacetic transaminase)

515 GGT : Gamma Glutamyl Transpeptidase

516 TG : Triglycerides

517 DBIL : Direct Bilirubin

518 TBIL : Total Bilirubin

519

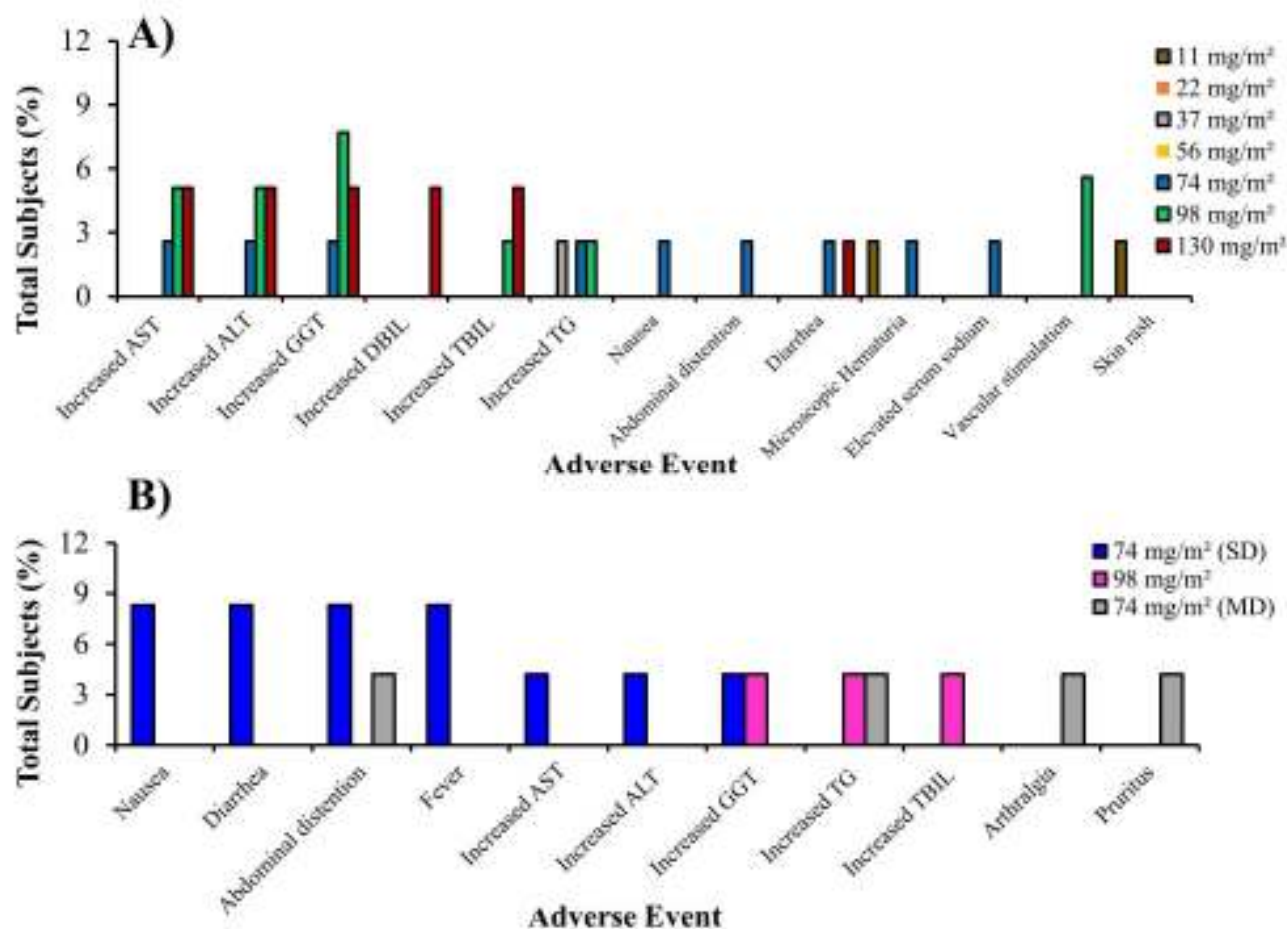
520 Clinical toxicity based on the occurrences of adverse events

521 According to the data contained in **Figure 6B**, three subjects (14%) treated with a dose of 56mg/m² of
522 Lipo A experienced a mild fever but recovered after two hours without receiving treatment.
523 Moreover, three subjects (14%) treated with sequential doses of 56, 74, and 98mg/m² of Lipo A
524 experienced an increase in GGT, two subjects (10%) administered with doses of 56 and 74mg/m² of
525 Lipo A experienced abdominal distension, and one patient (5%) experienced a rise in ALT levels.
526 Other mild symptoms included increased AST and TG, pruritus, arthralgia, and hypokalemia. The
527 most common adverse conditions included fever, increased GGT, and flatulence. These results
528 indicated that a 4-hour intravenous administration of Lipo A was tolerable and safe if a timetable of
529 three doses per day for 14 consecutive days followed by a break lasting seven days within each 21-

530 day cycle was adhered to. Therefore, a 98 mg/m² dose of Lipo A is the recommended dose for phase
531 II trials (46).

532 In addition, from the contents of **Figure 7A**, it can be seen that one patient treated with a 11 mg/m²
533 dose experienced a first degree skin rash which healed untreated after three days. In addition, two
534 patients who had been administered with a 98 mg/m² dose experienced vascular stimulation. First
535 degree microscopic hematuria was observed in three subjects (7.7%) suffering from hepatoma
536 malignancy who had received 11 doses of 11, 74, and 130 mg/m² respectively. However, these side
537 effects disappeared after three days without any treatment being administered. Elevated levels of
538 AST, ALT, GGT, DBIL, and TBIL were observed in several subjects receiving doses of 74, 98, and
539 130 mg/m². Dose Limiting Toxicity (DLT) resulted in hepatotoxicity: two subjects (5.1%)
540 experienced an increase in AST, four subjects (10.3%) an increase in ALT, one subject (2.6%) an
541 increase in GGT, and one subject (2.6%) an increase in DBIL. Diarrhea (2.6%) constitutes another
542 DLT. Other drug-related side effects included nausea reported by one subject (2.6%), abdominal
543 distension observed in another (2.6%), vascular stimulation occurred in two subjects (5.1%), while
544 elevated TG was reported in three subjects (7.7%). Other reported adverse events included one
545 subject (2.6%) suffering a skin rash and another (2.6%) experiencing higher serum sodium levels. At
546 a dose of 74 mg/m², one of six subjects experienced DLT, which is a form of non-hematological
547 toxicity, including increased AST/ALT and diarrhea. At a dose of 98 mg/m², one of the eleven
548 subjects experienced DLT, i.e., non-hematological toxicity including increased ALT/GGT. At a dose
549 of 130 mg / m², two thirds of the subjects experienced DLT (increased ALT, AST, and DBIL).
550 Therefore, the increased dosage was suspended and MTD was confirmed to be 98 mg/m². Double
551 administration of trial doses of UAL at recommended levels of 56, 74, and 98 mg/m² was completed
552 (47).

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553

554 **Figure 7.** Adverse events of (A) Lipo B, and (B) Lipo C in phase I clinical trials

555

556 AST : Alanine Aminotransferase / SGPT (*serum glutamic pyruvic transaminase*)

557 ALT : Aspartate Aminotransferase / SGOT (*serum glutamic oxaloacetic transaminase*)

558 GGT : Gamma Glutamyl Transpeptidase

559 DBIL : Direct Bilirubin

560 TBIL : Total Bilirubin

561 TG : Triglycerides

562

563 From the graph in **Figure 7B**, it is clear that all subjects in the study tolerated the Lipo C treatment.
 564 Most adverse events varying from mild to moderate related to Lipo C, which is Ursolic Acid
 565 Nanoliposome (UANL), were non-dose dependent. The most commonly observed adverse events
 566 included abdominal distension, nausea, and diarrhea. The adverse events after a 14-day continuous
 567 infusion of UANL comprised skin pruritus, arthralgia, and increased triglycerides levels. UANL has
 568 minimal toxic effects. The limiting toxicity of UANL dose is hepatotoxicity. In this study,
 569 intravenous UANL infusions were well tolerated both by healthy volunteers and patients with
 570 advanced tumors (33).

571 Based on the review analysis, only three articles which focused on liposomes as the drug carrier
 572 discussed clinical trials of UA. Although UA is classified as a BCS class IV drug, its permeability

573 and solubility can be enhanced with the use of liposomes. It is related to the natural phase properties
574 of the liposomal membrane that significantly affect permeability, aggregation, protein binding and
575 liposome fusion. Membrane permeability largely depends on lipid components. Lipids that contain
576 saturated chains or do not have carbon double bonds are more stable because they demonstrate
577 greater resistance to oxidation. Lipid bilayer and liposome membranes possess a good lipid-packing
578 order or gel phase below the lipid phase transition temperature (T_c), where the temperature is in a
579 balanced proportion in the two phases. The fluidity of the lipid bilayer can be controlled by the
580 selection and combined use of lipids, as the various T_c s depend on the length and origin sources
581 (saturated or unsaturated) of fatty acid chains. For example, the incorporation of cholesterol at low
582 concentrations into the lipid bilayer leads to increased trans-membrane permeability, where the
583 incorporation of large amounts (>30 moles%) of cholesterol can reduce the transition phase and
584 decrease membrane permeability at higher T_c temperatures (71). Liposome permeability is related to
585 the rate of solute diffusion through the lipid bilayer. The liposome membrane will achieve the highest
586 permeability in the transition temperature phase, while its permeability is lower in gel form than in
587 the fluid phase. The temperature of the bilayer phase transition is determined by the composition of
588 the liposome. In the transition temperature phase, the permeability of liposomes to molecules such as
589 protons and water increases (72–74). In addition, the in vivo biodistribution and disposition of
590 liposomes varies depending on the composition of the lipids, particle size, potential charge and
591 degree of steric surface/hydration. In addition, the administration route may affect the in vivo
592 disposition of liposomes. During intravenous administration, liposomes usually interact with serum
593 proteins and are absorbed by RES cells, thus accumulating in the liver or spleen (75).

594 The development of nanoparticles for drug delivery, one of which is Doxil® (Doxorubicin HCl
595 liposome injection), the first nanoliposomal drug approved by FDA in 1995, was based on three
596 principles: (i) prolonging drug circulation time and RES avoidance due to the PEGylation of
597 nanoliposomes; (ii) higher stable loading of doxorubicin driven by the transmembrane ammonium
598 sulfate gradient which also allows the re-release of the drug in tumors; and (iii) having lipid bilayer
599 liposomes in a "liquid ordered" phase consisting of phosphatidylcholine with a high melting
600 temperature ($T_m = 53\text{ }^\circ\text{C}$), and which largely use cholesterol as a membrane stabilisator (19). In
601 addition, various drug formulas in liposomes have received approval to be marketed and are widely
602 used in clinical settings including Myocet® (Elan Pharmaceuticals Inc., Princeton, NJ, USA). This is
603 an encapsulation of doxorubicin in liposomes (76,77); Daunoxome® (Gilead Sciences), daunorubicin
604 formulated into liposomes (78,79); Marqibo® non-PEGylated liposomal vincristine developed in
605 2012 as a therapy for various cancers including lymphoma, brain, leukemia, or melanoma (80);
606 Onivyde® MM-398, which is a PEGylated liposomal irinotecan developed in 2015 as a drug to treat
607 metastatic pancreatic cancer (81), and many other forms of cancer (82,83). Various developments of
608 the liposome delivery system indicated that liposomes possess non-toxic, flexible, biocompatible, and
609 biodegradable properties that can enhance the therapeutic effects, safety, and efficacy of various
610 anticancer drugs (57).

611 As for the development of cisplatin therapy, which incorporates the use of an anticancer drug, this
612 involves a polymeric micelle delivery system. Polymeric micelles were prepared through the
613 formation of a metal-polymer complex between cisplatin (CDDP) and poly-(ethylene glycol)-
614 poly(glutamic acid) block copolymers. Cisplatin polymeric micelles (CDDP/m) are 28 nm in size
615 with the ability to distribute themselves through narrow spaces such as blood vessels in pancreatic
616 tissue. These micelles undergo lengthy blood circulation and accumulate effectively in solid tumors
617 of Lewis lung carcinoma cells. However, because they are produced synthetically, the toxicity and
618 safety aspects as well as manufacturing production scale constitute extremely important issues (84).

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619 Abraxane®, a paclitaxel albumin-bound nanoparticle with a particle size of ~130 nm which received
620 FDA approval in 2005 for the treatment of metastatic breast cancer successfully reduces toxicities in
621 comparison to Taxol®. Moreover, it enables a complete dose to be administered within 30 minutes
622 without the need for any pre-treatment. Nevertheless, the mechanism of Abraxane® in improving
623 survival rate and overcoming P-GP-mediated drug resistance remains unclear (25).

624 The findings of this scoping review suggest that liposomes provide more comprehensive data than
625 other forms of nanoparticles. This is demonstrated by the existence of *in vivo* studies of anticancer
626 effectiveness assessed using several parameters such as increasing relative survival rate; more robust
627 tumor growth inhibition (increasing relative inhibition rate, decreasing relative tumor weight, and
628 reducing tumor volume); and improvements in tumor tissue histopathology. In addition, *in vivo*
629 studies related to safety were also evaluated employing several parameters, i.e., weight loss, and
630 other toxicity (lowering AST, ALT, and WBC), and well-tolerated toxicity by healthy volunteers and
631 patients with advanced tumors.

632 There needs multi-faceted views of the use of nanoparticles for reviewing drug delivery. The
633 components of the nanoparticle formulation would greatly affect the characteristics of the
634 nanoparticles including particle size, potential charges, stealth and biomimetic properties, and others,
635 which are closely related to drug delivery to cancer tissue, due to the Enhanced Permeation and
636 Retention (EPR) effects. In addition, *in vivo* analysis of different types of cancer, where each type of
637 cancer cell has different biological properties, also requires an in-depth study to provide data on
638 supporting the effectiveness of drug delivery to target cancerous tissues. Moreover, the route of
639 administration, dose, and frequency of drug administration related to the physicochemical properties
640 and pharmacokinetic profile of the drug also greatly affect the systemic bioavailability and effective
641 drug amount capable of reaching cancer tissue as the target of drug delivery. All these aspects
642 provide important views for comprehensive study of the drug delivery system in cancer therapy.

643

644 4 Conclusions

645 Based on the scoping review of the relevant literature, it can be concluded that UA loaded into
646 nanoparticles is effective as a form of anticancer therapy. Pre-clinical trials confirm that it increases
647 the relative survival rate; tumor resistance (increasing the relative inhibition rate, lowering the
648 relative tumor weight, and decreasing tumor volume); and improves tumor tissue histopathology. In
649 addition, UA-loaded nanoparticles have been proven safe for anticancer therapy based on the
650 evaluation of weight loss and other toxicity (decreased AST/ALT). The results from the last 10-year
651 analysis have indicated that, compared to nanospheres and polymeric micelles, liposomes have been
652 assessed as more effective and safer during more comprehensive pre-clinical and clinical trials. This
653 finding highlights the potential for liposomes to be further developed as a means of delivering UA as
654 an anticancer therapy.

655

656 5 Conflict of Interest

657 The authors declare that the research was conducted in the absence of any commercial or financial
658 relationships that could be construed as a potential conflict of interest.

659

660 **6 Author Contributions**

661 **Andang Miatmoko:** 1) conception and design of the work, data acquisition, data analysis and
 662 interpretation; 2) critically revising the article for important intellectual content; 3) Final approval of
 663 the version to be published; 4) Agreement to be accountable for all aspects of the work in ensuring
 664 that questions related to the accuracy or integrity of the work are appropriately investigated and
 665 resolved.

666 **Ester Adelia Mianing:** 1) data acquisition; 2) Drafting the article; 3) Final approval of the version
 667 to be published; 4) Agreement to be accountable for all aspects of the work in ensuring that questions
 668 related to the accuracy or integrity of the work are appropriately investigated and resolved.

669 **Retno Sari:** 1) data analysis and interpretation; 2) Final approval of the version to be published; 3)
 670 Agreement to be accountable for all aspects of the work in ensuring that questions related to the
 671 accuracy or integrity of the work are appropriately investigated and resolved.

672 **Esti Hendradi:** 1) data analysis and interpretation; 2) Final approval of the version to be published;
 673 3) Agreement to be accountable for all aspects of the work in ensuring that questions related to the
 674 accuracy or integrity of the work are appropriately investigated

675

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681

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684

685 **9 Reference styles**

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904 **10 Supplementary Material**

905 None

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REVIEWER 1

The manuscript submitted by Andang Miatmoko and colleagues is good work submitted for publication. However it can not be accepted in its present form, authors require extensive revisions for this manuscript.

1. The Manuscript content is not giving good idea about the actual work the authors did, at some instances it seems like research (what the need to discuss characterization of formulation, Histopathology discussed like the authors are not writing review instead they are discussing their own findings).

Answer:

Many thanks for the comments. In this study, we evaluated the use of nanoparticle for delivering ursolic acid by performing scoping review, which we analyzed the type of nanoparticles as well as their physical characteristics determining the efficacy and safety of these delivery systems. Therefore, we evaluated characterization of formulation depended on the components, and compared the efficacy and safety of these systems by doing analysis from the data presented by authors in their research publication. Thus, it has not only literature review describing potential use of each nanoparticle types for ursolic acid as anticancer agents, but we would like to show the relative efficacy and safety of each nanoparticle compared to negative control and native ursolic acid itself aiming for providing data-based review of the efficacy and safety of various types of Ursolic Acid-loading nanoparticles within the setting of preclinical and clinical anticancer studies, as we stated in the abstract line 17-19.

2. The authors included figure, do they have copyright?

Answer:

Many thanks for the comments. We have cited the reference of the figures used in this manuscript; however, we still have no permission for their use. Therefore, we deleted figures used in this manuscript, but we ensure that all statements are still clear and informative for the readers.

We have revised and reordered the figure numbers in the manuscript.

3. The discussion is not drafted properly, flow of the content is not good too. In its present form readers will not have an idea about the concept of the review, authors must have straight forward approach for the readers (In results and discussion authors described their methodology of literature survey)

Answer:

Many thanks for the comments. Regarding the concept of the review, we proposed to give information about the type of nanoparticle used in delivering ursolic acid, as well as physical characteristics determined by the components of formulation, since these parameters greatly determine the successful delivery into cancer target in the presence of EPR effects. Moreover, the safety is also being important consideration as the requirement of nanoparticle for uses in clinical therapy. Therefore, in the manuscript, we have comparative data studies about these nanoparticles.

We have stated in the Methods Section in Line 114-116 as the following: "This study uses the scoping review method involving literature accessible through the PubMed, Scencedirect, Scopus, and Google Scholar databases consisting of online research publications dating from 2011 to 2021." In this section, we have also informed about how the data were calculated and

presented in the manuscript, started from the physical characterization, efficacy and safety of the nanoparticle use for ursolic acid delivery.

We have also stated the review method in the early part of Result and Discussion in the following statement:

Line 247-250: “This study provides a literature review focusing on the anticancer effectiveness and safety of UA delivered with various types of nanoparticles to increase its anticancer effects as confirmed by both pre-clinical and clinical trials. Literature searches of all four databases using pre-determined keywords identified 237 articles in the prescreening stage as can be seen in Figure 1.”

We have added some information regarding data achieved in this study into the following:

Line 274: “From the data analysis of the 18 articles”

Line 354: Pharmacokinetic data review on *in vivo* studies

Line 387: Table 4. Pharmacokinetic data summary of preclinical studies of nanoparticles containing UA

4. References should be revised to match with given text. For example, Author reported the Ursolic Acid belong to BCS-IV but reference number 10 which not match with these text.

Answer:

Many thanks for the comments. We have revised location of the reference citation as the following:

Line 61-62: we have revised the references into the following:” BCS class IV compound demonstrating low permeability and solubility (10) which, consequently, requires a nanotechnology-based drug delivery system to reach the desired target (11).”

Line 329: we have revised the following statement: “UA has poor permeability and low water (10), thus causing possibly encapsulated within the membrane bilayer of lipid vesicles”

5. The authors should also refer some review/research articles published recently on novel nanotechnology based drug delivery systems, which will be more beneficial for their work.

- ✓ **Novel nanotechnology approaches for diagnosis and therapy of breast, ovarian and cervical cancer in female: A review**
- ✓ **Nanomedicine in treatment of breast cancer – A challenge to conventional therapy**
- ✓ **Bioactive Apigenin loaded oral nano bilosomes: Formulation optimization to preclinical assessment**
- ✓ **Implications of Solid Lipid Nanoparticles of Ganoderic Acid for the Treatment and Management of Hepatocellular Carcinoma**
- ✓ **Nanocrystals: Characterization Overview, Applications in Drug Delivery, and Their Toxicity Concerns**
- ✓ **Anticancer effect of ursolic acid stearyl glucoside in chemically induced hepatocellular carcinoma**

Answer:

Many thanks for the suggestions. However, we focused on the use of nanoparticles for delivery of ursolic acid. The review article and derivatives of ursolic acid have been excluded from the study, as seen in the methods section (Table 1, line 123) and the results (Figure 1, line 256-257)

REVIEWER 2

The authors are compiling the literature on the various nanoparticles that were formulated to encapsulate a potent anti-cancer agent, ursolic acid. The review comprises detail mechanism of data collection and secondary data from in vivo to clinical trials.
Strengths of the study:

- Comprehensive review supported with the flow of study selection and data collection
- Authors extracted comprehensive data and discussed extensively from efficacy, pharmacokinetics and toxicity in animal to human studies

Limitations:

1. Half of the references are not updated (in recent 5 years)

Suggestions:

- **It is suggested to include the period/date of data collection** Answer:

Answer:

Many thanks for the comments. In this scoping review, we collected the articles published within the last ten years, therefore it was within 2010-2021. We have stated in the manuscript in the following parts:

Line 114-117: “This study uses the scoping review method involving literature accessible through the PubMed, Sciencedirect, Scopus, and Google Scholar databases consisting of online research publications dating from 2011 to 2021”

2. Lack of significant outcome: for example: comparison between different cancer or types of nanoparticles, the dose of UA in different studies that might contribute to discrepancy in data analysis.

Answer:

Many thanks for the comments. We have added information regarding the cancer cell types and administered dose in Table 2 (line 260).

3. Explain why the clinical trial data is limited to “liposome nanoparticles”?

Answer:

In the method section, we have stated that we collected the articles published within the last ten years, which is 2010-2021. Within this scope, the articles published about clinical trial of ursolic acid nanoparticle are limited only for liposomes. Therefore, in this manuscript, the data for clinical trial is limited to liposome.

4. For table 2, it is suggested to include the dose/concentration of UA being formulated, with the type of cancer tumours

Answer:

Many thanks for the comments. We have added information regarding the cancer cell types and administered dose in Table 2 (line 260).

5. It is unclear that the In vivo anti-cancer efficacy was presented in both tumour tissue (only in liver cancer?) and tumour growth inhibition (without mentioning the type of cancer?).

Answer:

Many thanks for the comments. For tumor tissue analysis, from 18 articles, we have summarized the results into Table 5. And, the tumor tissue histopathology is not limited only to liver cancer, it is accordingly to cancer cells induction used in the study. We have revised the column title of Table 5 into Tissue Histopathology (line 411).

6. The authors are comparing the efficacy of different type of nanoparticles without mentioning the dose comparison

Answer:

Many thanks for the comments. We have added information regarding the administered dose in Table 2. However, the different route of administration would be an important parameter to do the comparative analysis; however, our analysis calculated the relative comparison with negative control or native ursolic acid treatment groups that would be fair justification of drug efficacy.

7. It would be great if authors could derive some outcomes/impact of the research such as “which nanoparticles could enhance the efficacy, pharmacokinetic or reduce toxicity of UA”??

Answer:

Many thanks for the comments. we have stated the findings of the review in line 622 as the following: “The findings of this scoping review suggest that liposomes provide more comprehensive data than other forms of nanoparticles. This is demonstrated by the existence of in vivo studies of anticancer effectiveness assessed using several parameters such as increasing relative survival rate; more robust tumor growth inhibition (increasing relative inhibition rate, decreasing relative tumor weight, and reducing tumor volume); and improvements in tumor tissue histopathology. In addition, in vivo studies related to safety were also evaluated employing several parameters, i.e., weight loss, and other toxicity (lowering AST, ALT, and WBC), and well-tolerated toxicity by healthy volunteers and patients with advanced tumors.”

8. It is suggested that authors may include the limitations of this comprehensive review.

Answer:

Many thanks for the comments. We have added some sentences regarding the limitation of this study in line 630 as the following:

“There needs multi-faceted views of the use of nanoparticles for reviewing drug delivery. The components of the nanoparticle formulation would greatly affect the characteristics of the nanoparticles including particle size, potential charges, stealth and biomimetic properties, and others, which are closely related to drug delivery to cancer tissue, due to the Enhanced Permeation and Retention (EPR) effects. In addition, *in vivo* analysis of different types of cancer, where each type of cancer cell has different biological properties, also requires an in-depth study to provide data on supporting the effectiveness of drug delivery to target cancerous tissues. Moreover, the route of administration, dose, and frequency of drug administration related to the physicochemical properties and pharmacokinetic profile of the drug also greatly affect the systemic bioavailability and effective drug amount capable of reaching cancer tissue as the target of drug delivery. All these aspects provide important views for comprehensive study of the drug delivery system in cancer therapy.”

