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	Abstract	Background. Escherichia coli sequence type (ST) 131-H30 is a globally important pathogen implicated in rising rates of multidrug resistance among E. coli causing extraintestinal infections. Previous studies have focused on adults, leaving	EXPANDED)
		the epidemiology of H30 among children undefined.	Suggest a correction
		Methods. We used clinical data and isolates from a case-control study of extended-spectrum cephalosporin-resistant E. coli conducted at 4 US children's hospitals to estimate the burden and identify host correlates of infection with H30. H30 isolates were identified using 2-locus genotyping; host correlates were examined using log-binomial regression models stratified by extended-spectrum cephalosporin resistance status.	If you would like to improve the quality o the data in this record, please <b>Suggest a</b> <b>correction</b>
		Results. A total of 339 extended-spectrum cephalosporin-resistant and 1008 extended-spectrum cephalosporin-	
		susceptible E. coli isolates were available for analyses. The estimated period prevalence of H30 was 5.3% among all extraintestinal E. coli isolates (95% confidence interval [CI], 4.6%-7.1%); H30 made up 43.3% (81/187) of extended-	
		spectrum beta-lactamase (ESBL)-producing isolates in this study. Host correlates of infection with H30 differed by	
		extended-spectrum cephalosporin resistance status: Among resistant isolates, age <= 5 years was positively associated	
		with H30 infection (relative risk [RR], 1.83 [95% CI, 1.19-2.83]); among susceptible isolates, age <= 5 years was negatively associated with H30 (RR, 0.48 [95% CI, .2787]), while presence of an underlying medical condition was positively	

	Conclusions. ST131-H30 is less common among extraintestinal E. coli collected from children compared to reported estimates among adults, possibly reflecting infrequent fluoroquinolone use in pediatrics; however, it is similarly dominant among ESBI-producing isolates. The H30 subclone appears to disproportionately affect young children relative to other extended-spectrum cephalosporin-resistant E. coli.
Keywords	Author Keywords: E.coli infections; ST131; antimicrobial resistance; pediatric infections Keywords Plus: URINARY-TRACT-INFECTION; BETA-LACTAMASE; H30-RX SUBCLONES; UNITED-STATES; CLONAL GROUP; ST131; RISK; COLONIZATION; EMERGENCE; TRAITS
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# Epidemiology and Antimicrobial Resistance Characteristics of the Sequence Type 131-*H30* Subclone Among Extraintestinal *Escherichia coli* Collected From US Children

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**Background.** Escherichia coli sequence type (ST) 131-H30 is a globally important pathogen implicated in rising rates of multidrug resistance among *E. coli* causing extraintestinal infections. Previous studies have focused on adults, leaving the epidemiology of H30 among children undefined.

*Methods.* We used clinical data and isolates from a case-control study of extended-spectrum cephalosporin-resistant *E. coli* conducted at 4 US children's hospitals to estimate the burden and identify host correlates of infection with *H30. H30* isolates were identified using 2-locus genotyping; host correlates were examined using log-binomial regression models stratified by extended-spectrum cephalosporin resistance status.

**Results.** A total of 339 extended-spectrum cephalosporin-resistant and 1008 extended-spectrum cephalosporin-susceptible *E. coli* isolates were available for analyses. The estimated period prevalence of *H30* was 5.3% among all extraintestinal *E. coli* isolates (95% confidence interval [CI], 4.6%–7.1%); *H30* made up 43.3% (81/187) of extended-spectrum  $\beta$ -lactamase (ESBL)–producing isolates in this study. Host correlates of infection with *H30* differed by extended-spectrum cephalosporin resistance status: Among resistant isolates, age  $\leq$ 5 years was positively associated with *H30* (RR, 0.48 [95% CI, .27–.87]), while presence of an underlying medical condition was positively associated (RR, 4.49 [95% CI, 2.43–8.31]).

**Conclusions.** ST131-H30 is less common among extraintestinal *E. coli* collected from children compared to reported estimates among adults, possibly reflecting infrequent fluoroquinolone use in pediatrics; however, it is similarly dominant among ESBL-producing isolates. The H30 subclone appears to disproportionately affect young children relative to other extended-spectrum cephalosporin-resistant *E. coli*.

Keywords. E. coli infections; ST131; antimicrobial resistance; pediatric infections.

Extraintestinal *Escherichia coli*, a common cause of urinary tract and bloodstream infections across all ages, have displayed increasing rates of antimicrobial resistance over the past 2 decades [1]. This increase has been attributed to the emergence and rapid clonal expansion of *E. coli* sequence type (ST) 131, which has transformed the population structure of extraintestinal *E. coli* infections worldwide [2–5]. Molecular epidemiologic studies have shown that a subclone of ST131, termed *H30*, has driven the global dissemination of ST131 [6–9]. The clonal structure of ST131-*H30* is tightly linked to antimicrobial resistance; the vast majority of *H30* isolates are fluoroquinolone resistant due to mutations in the *gyrA* and *parC* chromosomal genes

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(isolates known as H30-R or clade C), while nested subclones are additionally associated with the production of CTX-M-type extended-spectrum  $\beta$ -lactamases (ESBLs) that confer resistance to extended-spectrum cephalosporins (Supplementary Figure 1) [7, 8, 10–12].

Although *E. coli* ST131-*H30* (hereafter, *H30*) has been recognized as a clone of significant public health importance [5, 13], there is a lack of data about its epidemiology in children. Most studies that have included *H30* isolates from children have occurred over short time periods at single centers and have accumulated few *H30* isolates [14–16]. Among adults in the United States, *H30* is estimated to comprise about 50% of ESBL-producing *E. coli* infections and 10%–20% of all extraintestinal *E. coli* infections, and has been linked to host factors including older age, healthcare contact, local or systemic compromise, and recent antibiotic use [6, 14–17]. Associations with adverse outcomes such as persistent infections, new infections, sepsis, and hospitalization have also been reported in adult populations [7, 14, 18]. Understanding the epidemiology of *H30* in pediatric

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populations is important, as its dominance among multidrug-resistant (MDR) extraintestinal *E. coli* makes it a likely culprit of many difficult-to-treat infections in children. Proper treatment of urinary tract infections—the most common type of infection caused by extraintestinal *E. coli*—is especially critical in pediatric populations, as young children are more prone to upper urinary tract infection with potential short- and long-term complications such as renal scarring and decreased renal function [19, 20].

We sought to address this knowledge gap using data from a multiyear, multicenter prospective case-control study of extraintestinal *E. coli* infections to quantify the burden and identify clinical and demographic correlates of infection with H30 in a US pediatric population. In addition, we describe and compare the antimicrobial resistance characteristics of H30 and non-H30 *E. coli* isolates.

## METHODS

### **Patients and Isolates**

All isolates and clinical data came from a multicenter case-control study that prospectively collected isolates and is described in detail elsewhere [21]. In brief, between 1 September 2009 and 30 September 2013, 4 freestanding US children's hospitals (referred to here as West, Midwest 1, Midwest 2, and East) used standard clinical microbiology techniques to identify and collect all extended-spectrum cephalosporin-resistant (ESC-R) E. coli collected from urine or other normally sterile sites during routine clinical care of both inpatient and outpatient children <22 years of age. ESC-R isolates were defined as those nonsusceptible to ceftriaxone, cefotaxime, ceftazidime, cefepime, or aztreonam. Patients could contribute multiple ESC-R isolates if the subsequent isolate was collected ≥15 days after the previous ESC-R isolate. For each resistant isolate, 3 consecutive E. coli isolates that were susceptible to the aforementioned agents, referred to here as extended-spectrum cephalosporin-susceptible (ESC-S) isolates, were collected without respect to any patient or microbiological characteristics beyond temporal proximity to the ESC-R isolates and prior enrollment in the study (patients could only contribute 1 ESC-S isolate). Demographic and clinical data were collected from the medical records; methods for categorizing underlying medical conditions, capturing antibiotic exposure, and characterizing the clinical significance of urine isolates (likely urinary tract infection vs not) were described previously [21, 22]. The institutional review board at each hospital approved the study protocol.

### Laboratory Methods

Methods for antibiotic susceptibility testing and typing of resistance phenotypes and determinants were described previously [21]. In brief, ESC-R phenotypes (ESBL vs AmpC) were characterized using a combination of disk diffusion and E-tests. Genetic determinants of extended-spectrum cephalosporin resistance were identified by polymerase chain reaction (PCR) using primers for genes encoding common extended-spectrum cephalosporinases [21]. *H30* isolates were identified using the *fumC/fimH* genotyping scheme [23]. Isolates belonging to the *H30Rx* sublineage were identified by PCR detection of sublineage-specific single-nucleotide polymorphisms [7].

### **Statistical Analyses**

### **Prevalence Estimates**

The period prevalence of *H30* was estimated by calculating a weighted average of the ESC-R and ESC-S stratum-specific prevalence estimates (details in Supplementary Methods).

# Host Correlates of Infection

Only the first isolate from each unique individual was considered in the host factor analyses. Host factors were compared between patients with H30 vs non-H30 isolates, stratified by ESC-R status and adjusting for study hospital where sample size allowed. The magnitude of the association between each predictor of interest and H30 infection was then assessed using univariable and multivariable log-binomial regression models. For each predictor of interest, the relative risk (RR) and 95% confidence intervals (CIs) from 3 models are presented: (1) a univariable model that estimates the crude (unadjusted) total effect of the predictor of interest on the outcome; (2) a multivariable model that estimates the total effect of the predictor of interest on the outcome, adjusted for potential confounders; and (3) a multivariable model that estimates the direct effect of the predictor of interest on the outcome, adjusted for potential confounders as well as for potential mediators. All multivariable models adjusted for study hospital; additional potential confounders and mediators were selected according to the conceptual frameworks found in the supplementary material (Supplementary Figures 3 and 4). Finally, we conducted post hoc analyses of the interaction between age and underlying medical condition (details in Supplementary Methods).

### Antimicrobial Resistance Characteristics

We examined co-resistance to commonly used antimicrobial agents in the first *E. coli* isolate collected per individual, stratifying by ESC-R and ESC-S status to maintain consistency with the sampling scheme of the parent study. *H30* isolates were additionally stratified into *H30Rx* and *H30*-non-*Rx* (Supplementary Figure 1) and compared to non-*H30* isolates. Among ESC-R isolates, ESC-R-associated resistance mechanisms and determinants were also identified and compared. All analyses were conducted using R version 3.3.1 (R Core Team, 2016).

### RESULTS

### **Isolates and Prevalence Estimates**

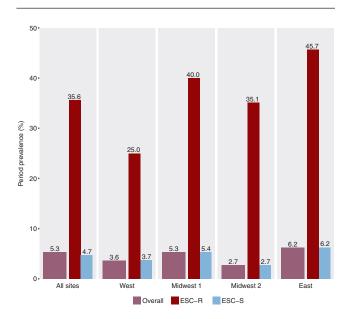
A total of 339 ESC-R isolates from 278 patients and 1008 ESC-S isolates from 1008 patients were available for analyses (Supplementary Figure 2). The estimated prevalence of *H30* 

among all clinical *E. coli* isolates at all study hospitals was 5.3% (95% CI, 4.6%–7.1%), while the hospital-specific prevalence ranged from 2.7% to 6.2% (Figure 1). The estimated overall prevalence of H30Rx was 0.87% (95% CI, .70%–1.7%).

### Host Correlates of Infection by Extended-Spectrum Cephalosporin Resistance Status

The first ESC-R isolate from each of the 278 patients with an ESC-R isolate collected during the study period was included in the host correlates analyses (Supplementary Figure 2). Among these patients, patient age was associated with H30 infection and further examined as a predictor of interest (Table 1). Our sample size precluded multilevel predictors, so age was categorized into ages 0–5 vs 6–21 years in regression models. After adjusting for potential confounders, age 0–5 was associated with an 83% increased risk of the infecting organism being H30 (RR, 1.83 [95% CI, 1.19–2.83]). There was no evidence that this association was mediated through factors related to underlying illness (Table 2), or that underlying illness interacted with age (Table 3 and Supplementary Table 3). When restricting the outcome to H30Rx infection only (vs non-H30 infection) and adjusting for potential confounders, the effect size was stronger (RR, 2.25 [95% CI, 1.33–3.80]).

A total of 1008 patients had one ESC-S isolate collected during the study period. Among these patients, patient age and several factors associated with underlying illness were associated with H30 infection (Table 1). Each of these variables was examined as a predictor of interest except for (i) history of transplantation, due to small numbers, and (ii) type of infection acquisition, as previous hospitalization and underlying medical



**Figure 1.** Estimated prevalence of ST131-*H30* among extraintestinal *Escherichia coli* infections overall and by study hospital. The raw numbers that generated these estimates can be found in Supplementary Table 2. Abbreviations: ESC-R, extended-spectrum cephalosporin-resistant; ESC-S, extended-spectrum cephalosporin-susceptible.

conditions were examined independently. Underlying medical condition and indwelling device categories were collapsed into any vs none. Patient age ≤5 years was negatively associated with H30 infection (RR, 0.48 [95% CI, .27-.87]). Of the variables related to underlying illness, after adjusting for potential confounders, only presence of an underlying medical condition (RR, 4.49 [95% CI, 2.43-8.31]) remained as an independent predictor of H30 infection; results were very similar when analyzing presence of an underlying urologic condition only (Supplementary Table 4). When including potential mediators in the models, the magnitude of the associations between age  $\leq$ 5 years and presence of an underlying medical condition with H30 infection decreased, but the associations remained statistically significant (Table 2). Evidence of interaction between age and underlying medical condition was observed; when examining joint effects, underlying medical condition was only significantly associated with H30 infection in combination with older age, and older age was only significantly associated with H30 infection in combination with presence of an underlying medical condition (Table 3).

Since patient age was important in the analyses of both ESC-R and ESC-S isolates, we also visually inspected the distributional differences of age measured continuously. While the non-*H30* age distributions are very similar, the *H30* age distributions display marked differences between ESC-R and ESC-S isolates (Figure 2).

# Antimicrobial Resistance Characteristics By Extended-Spectrum Cephalosporin Resistance and *H30Rx* Status

A total of 278 ESC-R isolates were examined (the first isolate collected per individual). Among these isolates, nearly all H30Rx and H30-non-Rx isolates were nonsusceptible to fluoroquinolones, compared to less than half of non-H30 isolates (Table 4). Similarly, all ESC-R H30Rx and the vast majority of H30-non-Rx isolates were ESBL-producing, while non-H30 isolates were more evenly split between ESBL producers and AmpC producers. H30 was the most common subclone identified among the ESC-R isolates in the study (Supplementary Table 1); it made up 29.9% (83/278) of ESC-R isolates, and when restricting to ESBL-producing isolates only, it made up 43.3% (81/187) of the total. The vast majority of ESBL-producing H30Rx isolates had a CTX-M-15 β-lactamase, while ESBL-producing H30-non-Rx isolates were dominated by the CTX-M-27 β-lactamase; ESBLproducing non-H30 isolates were more evenly split between CTX-M-15 and CTX-M-14 β-lactamases (Table 4). Systematic differences in the types of ESC-R resistance determinants by study hospital or year were not observed (Supplementary Figure 5).

Among the 1008 ESC-S isolates examined, fluoroquinolone nonsusceptibility was dominant among *H30* isolates, while only a small fraction of non-*H30* ESC-S isolates were nonsusceptible to fluoroquinolones (Table 4).

# Table 1. Selected Demographic and Clinical Characteristics of Patients With H30 and Non-H30 Isolates, Stratified By Extended-Spectrum Cephalosporin Resistance Status

		ESC-R (n = 278)		ESC-S (n = 1008)			
Characteristic	<i>H30</i> (n = 83)	Non- <i>H30</i> (n = 195)	<i>P</i> Value <sup>a</sup>	<i>H30</i> (n = 47)	Non- <i>H30</i> (n = 961)	<i>P</i> Value	
Age, y			.008*			<.001*	
0–5	60 (72.3)	98 (50.3)			504 (52.5)		
6–10	10 (12.1)	40 (20.5)		4 (8.5)	190 (19.8)		
11–15	6 (7.2)	31 (15.9)		12 (25.5)	126 (13.1)		
16–21	7 (8.4)	26 (13.3)		15 (31.9)	141 (14.7)		
Sex			.407			.440	
Male	18 (21.7)	53 (27.2)		8 (17.0)	130 (13.5)		
Female	65 (78.3)	142 (72.8)		39 (83.0)	831 (86.5)		
Ethnicity <sup>b</sup>			.110			.312	
Hispanic	8 (10.0)	36 (19.3)		4 (8.9)	135 (14.6)		
Non-Hispanic	72 (90.0)	151 (80.7)		41 (91.1)	791 (85.4)		
Race <sup>b,c</sup>			.087			.314	
White	39 (49.4)	116 (62.0)		29 (63.0)	629 (68.2)		
African-American	12 (15.2)	29 (15.5)		12 (26.1)	219 (23.7)		
Asian	22 (27.9)	32 (17.1)		2 (4.4)	51 (5.5)		
Native American	4 (5.1)	2 (1.1)		1 (2.2)	6 (0.7)		
Pacific Islander	2 (2.5)	7 (3.7)		1 (2.2)	10 (1.1)		
>1 race	0 ()	1 (0.5)		1 (2.2)	8 (0.9)		
Site of culture			.233			.753	
Urine <sup>c,d</sup>	78 (94.0)	173 (88.7)		45 (95.7)	923 (96.1)		
Blood	2 (2.4)	15 (7.7)		2 (4.3)	32 (3.3)		
Other	3 (3.6)	7 (3.6)		0 (0.0)	6 (0.6)		
Type of acquisition <sup>b,e</sup>	0 (0.0)	/ (0.0/	.832	0 (0.0)	0 (0.0)	<.001*	
Community-associated	28 (33.7)	65 (33.3)	.002	14 (29.8)	599 (62.7)	<.001	
Healthcare-associated	45 (54.2)	103 (52.8)		30 (63.8)	297 (31.1)		
Hospital-associated	10 (12.0)	27 (13.8)		3 (6.4)	60 (6.3)		
Hospitalized in past 6 mo <sup>b</sup>	10 (12.0)	27 (13.0)	.429	3 (0.4)	00 (0.3)	.017*	
Yes	25 (30.1)	69 (35.4)	.429	13 (27.7)	143 (15.0)	.017	
No	58 (69.9)			34 (72.3)			
	56 (09.9)	126 (64.6)	054	34 (72.3)	813 (85.0)	- 001 +	
Underlying medical condition <sup>b</sup>	20 (20 1)	75 (20 7)	.854	00 (FF 0)	105 (10.0)	<.001*	
	30 (36.1)	75 (38.7)		26 (55.3)	185 (19.3)		
Malignancy	4 (4.8)	13 (6.7)		1 (2.1)	26 (2.7)		
Other condition	16 (19.3)	35 (18.0)		6 (12.8)	104 (10.8)		
No condition	33 (39.8)	71 (36.6)	5.40	14 (29.8)	644 (67.2)	000	
Antibiotic use in the past 30 d <sup>b</sup>		()	.548			.006*	
Yes	34 (41.0)	85 (43.6)		16 (34.0)	176 (18.4)		
No	49 (59.0)	110 (56.4)		31 (66.0)	781 (81.6)		
History of transplantation <sup>b</sup>			.108			<.001*	
Yes	3 (3.6)	19 (9.7)		5 (10.6)	22 (2.3)		
No	80 (96.4)	175 (90.2)		42 (89.4)	937 (97.7)		
Received immunosuppressants in last year <sup>b,g</sup>			.100			.071	
Yes	9 (10.8)	37 (19.1)		6 (12.8)	62 (6.5)		
No	74 (89.2)	157 (80.9)		41 (87.2)	897 (93.5)		
Device type			.157			<.001*	
Central venous catheter	7 (8.4)	28 (14.4)		3 (6.4)	53 (5.5)		
Foley catheter	6 (7.2)	5 (2.6)		3 (6.4)	11 (1.1)		
Other device	14 (16.9)	26 (13.3)		10 (21.3)	55 (5.7)		
No device	56 (67.5)	136 (69.7)		31 (66.0)	842 (87.6)		
Hospital			.156			.349	
West	22 (26.5)	78 (40.0)		13 (27.7)	341 (35.5)		
East	24 (28.9)	51 (26.2)		16 (34.0)	284 (29.6)		
Midwest 1	11 (13.3)	23 (11.8)		3 (6.4)	108 (11.2)		
Midwest 2	26 (31.3)	43 (22.1)		15 (31.9)	228 (23.7)		

Abbreviations: ESC-R, extended-spectrum cephalosporin-resistant; ESC-S, extended-spectrum cephalosporin-susceptible.

 $^{a}P$  values generated via Mantel-Haenzel  $\chi^{2}$  tests (adjusting for study hospital) unless otherwise indicated.

<sup>b</sup>Number does not add to n because of missing data.

<sup>c</sup>P values generated via (unadjusted) Fisher exact test.

<sup>d</sup>All isolates collected from urine and without missing data were characterized as likely urinary tract infection (UTI); 7 isolates with missing data could not be classified (3 extended-spectrum cephalosporin-resistant and 4 extended-spectrum cephalosporin-susceptible).

<sup>e</sup>Type of acquisition was defined as follows: community associated, culture obtained in an outpatient setting or <48 hours after hospital admission from an otherwise healthy patient without hospitalization in the previous 6 months; healthcare associated, culture obtained in an outpatient setting or <48 hours after hospital admission from a patient who had been hospitalized in the previous 6 months and/or had a chronic medical condition requiring frequent healthcare or prolonged/recurrent antibiotic courses; and hospital associated, culture obtained >48 hours after hospital admission or <48 hours after hospital admission or <48 hours after hospital discharge from a patient without signs or symptoms of infection on admission.

<sup>f</sup>Diagnoses included in the urologic category are congenital urological abnormality, neurogenic bladder, and vesicoureteral reflux.

<sup>9</sup>Immunosuppressants included antineoplastic agents, high-dose glucocorticoids (>2 mg/kg of body weight), tumor necrosis factor inhibitors, calcineurin inhibitors, and mycophenolate mofetil. \* *P* value <.05.

Table 2. Total and Direct Effect of Selected Characteristics on Risk of *H30* Infection Versus Infection With Other *Escherichia coli* Types Using Log-Binomial Regression Models Stratified by Extended-Spectrum Cephalosporin Resistance Status

		ESC-R		ESC-S			
	Total Effect	RR (95% CI)	Direct Effect RR (95% Cl)	Total Effect	RR (95% CI)	Direct Effect RR (95% Cl)	
Characteristic	Crude	Adjusted <sup>a</sup>	Adjusted <sup>a</sup>	Crude	Adjusted <sup>a</sup>	Adjusted <sup>a</sup>	
Age 0–5 y	1.98 (1.30–3.01)*	1.83 (1.19–2.83) <sup>*,b</sup>	1.91 (1.24–2.96) <sup>*,c</sup>	0.48 (.27–.87)*		0.52 (.29–.94) <sup>*,d</sup>	
Antibiotics in last 30 d				2.18 (1.22–3.91)*	1.18 (.64–2.20) <sup>e</sup>		
Underlying medical condition				4.46 (2.42-8.21)*	4.49 (2.43–8.31) <sup>*,f</sup>	3.53 (1.74–7.17) <sup>*,g</sup>	
Hospitalization in past 6 mo				2.08 (1.12–3.84)*	1.22 (.65–2.30) <sup>h</sup>	1.01 (.51–2.00) <sup>i</sup>	
Presence of indwelling device				3.33 (1.87–5.92)*	1.54 (.78–3.04) <sup>j</sup>	1.53 (.77–3.01) <sup>d</sup>	

Abbreviations: CI, confidence interval; ESC-R, extended-spectrum cephalosporin-resistant; ESC-S, extended-spectrum cephalosporin-susceptible; RR, relative risk.

<sup>a</sup>All models adjusted for study hospital.

<sup>b</sup>Additional covariates: Asian race (yes/no).

<sup>c</sup>Additional covariates: Asian race (yes/no), underlying medical condition (yes/no), antibiotics in the last 30 days (yes/no), hospitalization in the past 6 months (yes/no).

<sup>d</sup>Additional covariates: underlying medical condition (yes/no), antibiotics in the last 30 days (yes/no), hospitalization in the past 6 months (yes/no).

<sup>e</sup>Additional covariates: age (0–5 or 6–21), hospitalization in the past 6 months (yes/no), underlying medical condition (yes/no), indwelling device (yes/no). <sup>f</sup>Additional covariates: age (0–5 or 6–21).

<sup>9</sup>Additional covariates: age (0–5 or 6–21), hospitalization in the past 6 months (yes/no), antibiotics in the last 30 days (yes/no), indwelling device (yes/no). <sup>h</sup>Additional covariates: age (0–5 or 6–21), underlying medical condition (yes/no).

Automaticovariates, age (0-5 or 0-2 i), underlying medical condition (yes/no).

Additional covariates: age (0-5 or 6-21), underlying medical condition (yes/no), antibiotics in the last 30 days, indwelling device (yes/no).

<sup>i</sup>Additional covariates: underlying medical condition (yes/no), hospitalization in the past 6 months (yes/no).

\*Confidence interval does not include 1.

### DISCUSSION

We utilized a multiyear, multicenter case-control study of extraintestinal *E. coli* infections in children's hospitals to address a critical knowledge gap about the epidemiology of the globally important ST131-*H30* subclone among US children. Our results can be summarized into 3 main findings. First, the estimated prevalence of *H30* among pediatric extraintestinal *E. coli* isolates of 5.3% was lower than the 10%–20% that has been observed in US adults [6, 14, 15]. However, *H30* was nearly as dominant among ESBL-producing isolates in children (43.3%) as has been reported in adults (about 50%) [16, 17]. Second, patient age was associated with infection due to *H30*, and the nature of this association contrasted sharply between ESC-R and ESC-S infections. Among ESC-R infections, *H30* was associated with older age (6–21 years), as well as

with the presence of an underlying medical condition. Third, the antimicrobial resistance characteristics of *H30* and *H30Rx* collected from children were consistent with what has been previously reported [12, 16–18, 24]. ESC-R *H30* isolates were almost always fluoroquinolone-resistant and ESBL-producing, and ESBL-producing *H30Rx* isolates were associated with the CTX-M-15  $\beta$ -lactamase, while ESBL-producing *H30*-non-*Rx* isolates were associated with the CTX-M-27  $\beta$ -lactamase.

Other studies have suggested that *H30* is less prevalent among children than adults; however, very few pediatric isolates were included in these studies [15, 16]. Interestingly, we observed that *H30* was nearly as dominant among ESBL-producing *E. coli* infections in children as has been reported in adults [16, 17]. These findings are consistent with a recent study from a pediatric setting conducted in the Midwestern United States [25]. However, in the context of all clinical extraintestinal *E. coli* 

Table 3. Analysis of Interaction Between Age and Underlying Medical Condition on the Risk of *H30* Infection Versus Infection With Other *Escherichia coli* Types Using Log-Binomial Regression Models

	A	ge			
	0–5 y	6–21 y	RRs (95% CI) <sup>a</sup> for Age 0–5 vs Age 6–21 Within Strata of Underlying Medical		
Condition	RR (95% CI)ª	RR (95% CI)ª	Condition		
Presence of an underlying medical condition	2.80 (.90-8.70)	8.66 (3.38–22.2)	0.32 (.14–.72)		
No underlying medical condition	1.52 (.51–4.50)	1.0 (ref)	1.51 (.50–4.53)		
Presence of an underlying medical condition vs no underlying medical condition within strata of age	1.99 (.74–5.33)	8.81 (3.44–22.6)			

Interaction contrast ratio (ICR), -6.38 (95% confidence interval, -23.5 to -1.15). When interpreting the ICR, deviation from 0 indicates evidence of interaction on the additive scale (see Supplementary Methods).

Abbreviations: CI, confidence interval; RR, relative risk.

<sup>a</sup>RRs adjusted for study hospital.

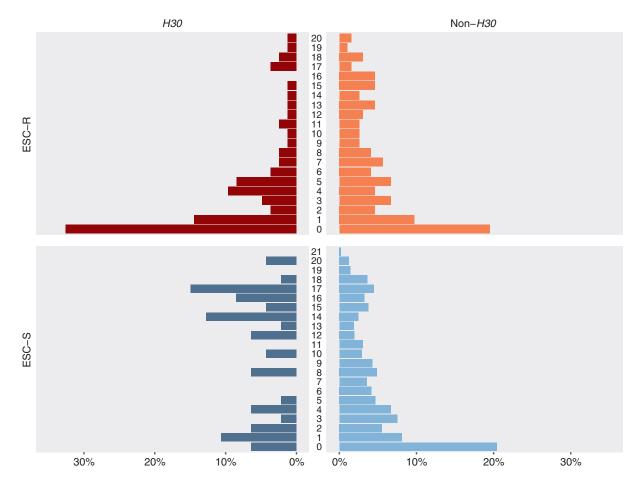


Figure 2. Distributions of age (in years) by ST131-H30 and non-ST131-H30 status and extended-spectrum cephalosporin resistance status. Abbreviations: ESC-R, extended-spectrum cephalosporin-resistant; ESC-S, extended-spectrum cephalosporin-susceptible.

infections, ESBL-producing organisms are still relatively rare in both adults and children. The bulk of the H30 isolates circulating in the population are non-ESBL-producing but fluoroquinolone-resistant, and these isolates were much less common in our study than has been observed in adult populations [15, 16]. This observation may be explained by differential antibiotic use in these populations. Fluoroquinolones are infrequently prescribed to children due to concerns about toxicity [26]; in our study, about 5% of patients received fluoroquinolones in the year before collection of their first isolate, while 46% of patients received any antibiotic in that same time period (Supplementary Figure 6). Lower rates of fluoroquinolone use likely translate to less selective pressure on fluoroquinolone-resistant organisms such as H30. Interestingly, a recent study conducted in adults in Australia and New Zealand, a population that also has low rates of fluoroquinolone use, reported an overall prevalence of H30 of 3.5%, but a prevalence of H30 among ESC-R E. coli of 39%, which is similar to our findings [27].

The association we identified between H30 and young age among ESC-R isolates is consistent with the findings of a recent longitudinal study showing that among children, the

prevalence of ESBL-producing Enterobacteriaceae was highest and increasing most rapidly in children aged 1-5 years [28]. Why H30/H30Rx is more frequently found among young children with ESC-R infections compared to older children with ESC-R infections, as well as where young children are acquiring this pathogen, deserves further investigation. Previous studies have portrayed H30 as an opportunistic pathogen that favors compromised hosts including the elderly [14], and young children's developing immune systems could be associated with H30 infection. Maternal infection or colonization may also play a role; a recent study found H30 colonization during the first several years of life of healthy twins was associated with the mother also being colonized; however, none of these H30 isolates were ESBL-producing [29]. Finally, while transmission of H30 between children within healthcare facilities has not been documented, there are reports of transmission of, and persistent colonization with, H30/H30Rx among healthy children within daycares and households [29-32]. Future studies might focus on systematic sampling in the community setting to better elucidate the reservoirs and transmission dynamics of H30/ H30Rx among young children.

Table 4. Selected Antimicrobial Resistance Characteristics of H30Rx, H30-Non-Rx, and Non-H30 Isolates Stratified by Extended-Spectrum Cephalosporin Resistance Status

		ESC-R (n = 278)					ESC-S (n = 1008)			
- Characteristic	<i>H30</i> (n = 83)			PValue vs Non- <i>H30</i> ª		<i>H30</i> (n = 47)			PValue vs Non- <i>H30</i> ª	
	<i>Rx</i> (n = 64)	Non- <i>Rx</i> (n = 19)	– Non- <i>H30</i> (n = 195)	Rx	Non-Rx	<i>Rx</i> (n = 5)	Non- <i>Rx</i> (n = 42)	Non- <i>H30</i> (n = 961)	Rx	Non-Rx
Co-resistance										
Ciprofloxacin	62 (96.9)	18 (94.7)	76 (39.0)	<.001*	<.001*	5 (100)	36 (85.7)	25 (2.6)	<.001*	<.001*
Gentamicin	28 (43.8)	6 (31.6)	73 (37.4)	.453	.798	0 ()	13 (31.0)	34 (3.5)	1.00	<.001*
TMP/SMX	43 (67.2)	15 (78.9)	121 (62.1)	.555	.226	1 (20.0)	26 (61.9)	240 (25.0)	1.00	<.001*
TMP/SMX & ciprofloxacin	41 (64.1)	15 (78.9)	64 (32.8)	<.001*	<.001*	1 (20.0)	23 (54.8)	15 (1.6)	.080	<.001*
All 3	19 (29.7)	5 (26.3)	36 (18.5)	.084	.374	0 ()	8 (19.0)	2 (0.2)	1.00	<.001*
ESC-R type				<.001*	.007*					
ESBL only	64 (100) <sup>c</sup>	17 (89.5)	102 (52.6)							
AmpC only	0 ()	2 (5.4)	88 (45.4)							
ESBL & AmpC	0 ()	0 ()	4 (2.06)							
Undetermined	0 ()	0 ()	1 (0.5)							
ESBL determinants <sup>c</sup>	n = 64	n = 17	n = 106							
CTX-M-15	60 (93.8) <sup>b</sup>	3 (17.6)	48 (45.3)	<.001*	.060					
CTX-M-14	0 ()	2 (11.8)	44 (41.5)	<.001*	.037*					
CTX-M-27	1 (1.6)	10 (58.8)	1 (0.9)	1.000	<.001*					
CTX-M others	0 ()	1 (5.3)	7 (6.6)	.046*	1.000					
ESBL SHV	0 ()	0 ()	3 (2.8)	.292	1.000					
ESBLTEM	0 ()	0 ()	0 ()							
None identified	3 (4.7)	1 (5.3)	4 (3.8)	1.000	.531					
AmpC determinants <sup>c</sup>	n = 0	n = 2	n = 92							
CMY-2		1 (50.0)	79 (96.3)		.277					
DHA		0 ()	2 (2.2)		1.000					
FOX		0 ()	2 (2.2)		1.000					
None identified		1 (50.0)	10 (10.9)		1.000					

Abbreviations: AmpC, AmpC-type-beta-lactamase; ESBL, extended-spectrum  $\beta$ -lactamase; ESC-R, extended-spectrum cephalosporin-resistant; ESC-S, extended-spectrum cephalosporin-resistant; ESC-S, extended-spectrum cephalosporin-susceptible; TMP/SMX, trimethoprim/sufamethoxazole.

 $^{a}P$  values generated via  $\chi^{2}$  test; Fisher exact test was used when expected frequencies were <5.

<sup>b</sup>One of these isolates had both a CTX-M-15 gene identified as well as a KPC-3 carbapenemase gene, and was resistant to meropenem.

<sup>c</sup>Total exceeds 100% as isolates could have >1 determinant identified.

\**P* value <.05.

The association we observed between ESC-S H30 infections and older children is not consistent with the limited existing data [15, 33]. Our post hoc interaction analyses suggest that age and underlying illness interact, with the strongest risk of an infection being H30 observed in older children with underlying medical conditions. We hypothesize that these observed associations could be driven by different selective pressures in older, less healthy children: specifically, fluoroquinolones are likely prescribed more frequently to older children than younger children due to less concern about toxicity. This prescribing pattern was borne out in our data; the median age was 12.6 years among patients who received fluoroquinolones in the year prior to their infection, whereas the median age among those that received any antibiotic was 6 years (Supplementary Figure 6). A more refined examination of the role of antibiotic exposure, specifically focusing on fluoroquinolones, is warranted.

Notably, previous studies conducted in adult populations have described H30 as being associated with healthcare contact and compromised hosts [14, 15]; however, we found those associations only among ESC-S H30 infections. The fact that we observed these patterns among ESC-S isolates is not surprising; compromised hosts and healthcare contact are consistently associated with antimicrobial resistant infections [34], and as is shown in Table 4, H30 isolates are more antimicrobial-resistant than other ESC-S isolates. However, we observed that when compared to other ESC-R organisms, there is no evidence of an association between H30 and underlying illness. This observation raises the question of whether some host correlates observed in previous studies are specific to the H30 subclone, or just reflect risk factors for MDR extraintestinal E. coli in general. Future studies should consider comparing H30 to other MDR E. coli where possible.

A number of limitations need to be considered in the interpretation of these data. First, because of the case-control design of the parent study, the prevalence of H30 and H30Rx among clinical E. coli isolates could not be calculated directly. However, we believe the assumptions employed in our prevalence estimates are reasonable and that these data provide the best estimate of the prevalence of H30 in children to date. The design of the parent study was also a strength, as it allowed us to enrich the collection with the less common MDR isolates and examine risk factors for infection with H30 among those with ESC-R E. coli isolates specifically. Second, because this study was an exploratory investigation of an existing dataset, all findings should be interpreted cautiously; there could be residual confounding due to unmeasured or incompletely measured variables, spurious associations identified due to multiple testing, or missed associations due to lack of power. To mitigate this, we attempted to make thoughtful model building decisions and interpretations by using conceptual models rather than taking a purely data-driven approach. Third, the isolates did not undergo multilocus sequence typing (MLST) or other molecular characterization relevant to H30 such as typing of the gyrA and parC alleles. However, the H30 isolates in this study have since undergone whole-genome sequencing, and in silico MLST analyses have confirmed that isolates classified as H30 are ST131 (data not shown). Finally, although this was a multicenter study, our data were collected from freestanding children's hospitals between 2009 and 2013, so the results may not be generalizable to other settings, and epidemiologic patterns may have shifted during the subsequent several years. Despite these limitations, this study significantly improves our understanding of the impact of H30 in children, and is one of the most robust examinations of the clinical burden of, and risk factors for, H30 infections to date.

### CONCLUSIONS

Although *E. coli* ST131-*H30* is not as prevalent among children as has been reported in adults, perhaps as a result of low rates of fluoroquinolone use in pediatrics, this clone is dominant among ESC-R extraintestinal *E. coli* infections in children. In particular, ESBL-producing *H30* appears to disproportionately affect young children relative to other ESC-R *E. coli*, even when accounting for other underlying host factors. More densely sampled studies are needed to elucidate the reservoirs and transmission dynamics of this difficult-to-treat pathogen in a pediatric population.

### **Supplementary Data**

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

#### Notes

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**Potential conflicts of interest.** E. V. S and V. T. have patent applications to detect *E. coli* strains. E. V. S. is a major shareholder in IDGenomics, Inc. All other authors report no potential conflicts. All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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