

# Distribution and clinical associations of integrating conjugative elements and cag pathogenicity islands of *Helicobacter pylori* in Indonesia

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23 **Abstract**

24 The clinical associations and correlations with other virulence factors such as *cag*  
25 pathogenicity island (PAI) of the Integrating Conjugative Elements *Helicobacter pylori*  
26 TFSS (ICEHptfs), a new type IV secretion system (TFSS) in *H. pylori* has not been  
27 described. Among 103 studied strains from Indonesia, almost all strains (99.0%)  
28 contained *cag* PAI with more than half (55.8%) were intact *cag* PAI. Patients infected  
29 with intact *cag* PAI strains showed significantly higher antral activity, inflammation and  
30 atrophy as well as corporal inflammation than those with non-intact *cag* PAI strains,  
31 confirming the virulence of *cag* PAI. Over half of strains (53.8%) contained ICEHptfs,  
32 predominantly consisted of ICEHptfs3-tfs4a (42.8%) and ICEHptfs3 (16.3%).  
33 Although patients infected with ICEHptfs-positive strains had lower *H. pylori* density,  
34 those with the complete ICEHptfs4b strains tended to have higher antral activity than  
35 the negative one. In combination, patients infected with combination of intact *cag* PAI-  
36 ICEHptfs-positive strains had more severe inflammation than those with non-intact *cag*  
37 PAI-ICEHptfs-negative, suggesting a possibility of a mutual correlation between these  
38 TFSS(s).

39  
40 **Keywords:** *Helicobacter pylori*; type IV secretion systems; pathogenicity island

41 **Introduction**

42 *Helicobacter pylori*<sup>23</sup> is a human-specific bacterium, colonizing the stomach of  
43 approximately 50% of the modern human population<sup>1</sup>. Infection is associated with  
44 several gastro-duodenal pathologies, including chronic gastritis, peptic ulcers, and even  
45 gastric cancer in a subset of individuals, depending on the variation of bacterial  
46 virulence, host genetics and/or environmental factors<sup>2,3</sup>. *H. pylori* is the most  
47 genetically diverse pathogenic bacteria<sup>4,5</sup>, which might be associated with frequent  
48 horizontal gene transfer (HGT) and recombination within species as an adaptation  
49 process to the host over years of infection<sup>6</sup>. Therefore, its genome contains many  
50 putative genes, which are generally classified into three categories<sup>7</sup>. The first is phase-  
51 variable genes, defined as those with functional status that could change due to  
52 particular conditions. An example is the slipped-strand mispairing mechanism, which  
53 often shown in outer membrane proteins such as *oipA* and *sabA*, that can switch genes  
54 'on' and 'off' very rapidly<sup>8,9</sup>. The second is genes with different structures/genotypes,  
55 such as the repeat region of CagA. The 3' repeat region of CagA can differ between two  
56 genotypes, associated with a different risk of developing gastric cancer<sup>10,11</sup>. The last is  
57 strain-specific genes, defined if the gene only exists in a particular strain. The most  
58 studied genes in this category are *cag* pathogenicity island (PAI), which encodes a type  
59 IV secretion system (TFSS)<sup>7,12</sup>.

60 TFSS is a flexible secretion system found in both Gram-positive and -negative  
61 bacteria. In Gram-negative bacteria, it mediates secretion of various protein substrates,  
62 from monomeric proteins, multi-subunit protein toxins and nucleoprotein complexes<sup>13</sup>.  
63 Importantly, more than one TFSS could be found in one species of bacteria, including in

64 the *H. pylori*. *H. pylori* has four types of TFSS with varied functionalities<sup>4,12,14,15</sup>. The  
65 first is *cag* PAI, which primarily injects CagA into host cells<sup>12</sup>. The second is the *comB*  
66 system which has a principal function of DNA uptake and natural transformation within  
67 *H. pylori* genome<sup>15</sup>. The most recently revealed TFSS is within Integrating Conjugative  
68 Elements (ICEs). In case of *H. pylori*, this is known as ICE *H. pylori* TFSS (ICE*Hptfs*)  
69<sup>16</sup>. ICE*Hptfs* was initially named as plasticity regions, the regions within the *H. pylori*  
70 genome which have considerably lower guanine and cytosine content (~35%) compared  
71 with the rest of the genome (39%)<sup>17</sup>. The lower G+C content indicates that plasticity  
72 regions may be the result of HGT<sup>8,17</sup>.<sup>12</sup> With the increasing number of *H. pylori* complete  
73 genomes deposited in the GenBank, plasticity regions are considered as conserved  
74 mobile elements, rather than a region with genomic plasticity, and are usually organized  
75 as a complete set of TFSS machinery. In addition, based on the acquisition of these  
76 elements through conjugative HGT, these elements are best described as ICEs. The  
77 TFSS within ICE*Hptfs*(s) is called TFSS3 and TFSS4a/4b/4c<sup>4,16</sup>. Those differences  
78 between ICE*Hptfs*3 and ICE*Hptfs*4a/b/c were determined by the nucleotide diversity of  
79 the *virB-virD* orthologues genes, resulting very distinctive diversity between TFSS3 and  
80 TFSS4 in general<sup>16</sup>. In addition, the TFSS4 possesses sub-type based on nucleotide  
81 diversity in the *virB2*, *virB3*, *virB4*, *topA*, *virB7* and *virB8*, discriminating TFSS4a and  
82 TFSS4b, and diversity in *virB11*, *virD4* and *virD2*, distinguishing TFSS4a and TFSS4c  
83<sup>16</sup>. The terminology of this region was inconsistent in several previous studies. A study  
84 conducted in 2009 reported a new TFSS termed as TFSS3, TFSS3a, and TFSS3b<sup>14</sup>  
85 within a mobile element called transposon of plasticity zones (TnPZ) type 2 for TFSS3,  
86 TnPZ1 for TFSS3a and TnPZ1b for TFSS3b. However a year later the TFSS3b were  
87 termed as TFSS4 for the TFSS inside the mobile element plasticity zones 1 (PZ1) and



88 TFSS3 for the TFSS inside the mobile element PZ3. Following with the newest  
89 terminology is ICEHptfs3 which containing TFSS3 and ICEHptfs4a/4b/4c which  
90 containing TFSS4a/4b/4c<sup>16</sup>. In order to make consistent terminology, in this study we  
91 used ICEHptfs3 and ICEHptfs4a/4b/4c for TFSS3 and TFSS4a/4b/4c, respectively<sup>16</sup>.  
92 Currently, the distribution and association of these new TFSSs to gastro-duodenal  
93 diseases are not fully described.

94 Indonesia is a country in South-East Asia, consisting of more than 13,600 islands<sup>46</sup>  
95 and 400 ethnicities<sup>18</sup>. As described previously, *H. pylori* infected the ancestors of<sup>45</sup>  
96 modern humans in Africa about 100,000 years ago (100 kya) and migrated with its host  
97 from Africa to Asian and American continents<sup>19,20</sup>. Therefore, ethnic diversity is  
98 associated with *H. pylori* infection as well as genome diversity, especially in Indonesia.<sup>33</sup>  
99 We have described that ethnicity is a risk factor for *H. pylori* infection<sup>8</sup><sup>21</sup>. In addition,  
100 ethnicity is also a factor for the diversity of virulence genes in *H. pylori*. We have  
101 described that different ethnicity had a different genetic polymorphism on the several  
102 virulence genes in the nucleotides and amino acids level. For example, strains  
103 possessing pre-EPIYA motif of CagA isolated from Batak ethnic showed 6 bp deletion-  
104 type pre-EPIYA motif with East Asian-type CagA. The 6 bp deletion-type is unique<sup>2</sup>  
105 type among East Asian-type CagA since almost all pre-EPIYA motif types of strains<sup>58</sup>  
106 isolated from Japan and Vietnam was reported to have 39 bp deletion-type and 18 bp<sup>12</sup>  
107 deletion-type, respectively<sup>22</sup>. Patients infected with this 6 bp deletion-type/East Asian-<sup>51</sup>  
108 type CagA strains showed to have lower gastric mucosal histologic scores compared to<sup>50</sup>  
109 those with Western-type CagA<sup>23</sup>, although it is well known that East Asian-type CagA<sup>13</sup>  
110 had generally more virulent than Western-type CagA. In addition, the predominant type  
111 of CagA was also different in each ethnic group.

112 As the distribution and **clinical association** of *ICEHptfs*(s) have not been reported, it  
113 is interesting to investigate the distribution and **clinical association** of these regions as  
114 well as the **correlation** with other virulence genes in relation to the clinical outcome.  
115 Here, we reported the distribution of *ICEHptfs* in Indonesia using high throughput next-  
116 generation sequencing technology and revealed that strains from some geographic areas  
117 lack this genomic region, and the intactness of this region had **an association with**  
118 **clinical outcome**.

119 **Results**

120 **Characteristic of patients and prevalence of ICEHptfs and *cag* PAI**

121 We performed endoscopic examination on 1072 dyspeptic patients in 17 cities in  
122 Indonesia from August 2012 to August 2016, and a total of 103 *H. pylori* were isolated  
123 from patients (66 male and 37 female; mean age 49.2±13 years; range 24-80 years),  
124 comprising 92 patients with gastritis, 10 with peptic ulcer disease (PUD) and 1 with  
125 gastric cancer. Among 103 isolates, 75 isolates were from our previous study with  
126 information of *cagA* genotypes<sup>24</sup>. Strains originated in Indonesia are shown in  
127 Supplementary figure 1.

128 We evaluated the *cag* PAI and determined the functional status of each gene  
129 present (Table 1). We categorized these into i) Intact *cag* PAI, if all the genes were  
130 detected and there was no deletion, stop codon or frameshift in each gene; ii.) Non-  
131 intact *cag* PAI, if at least one of the genes were lacking or had stop codon and/or  
132 frameshift in the gene; and iii.) *cag* PAI-negative, if none of the *cag* PAI genes were  
133 detected. In total, *cag* PAI was detected in most isolates (99.1%), either intact or non-  
134 intact. Among the detected *cag* PAI strains, 57 strains possessed intact *cag* PAI  
135 (55.8%). The gastric cancer patient had intact *cag* PAI *H. pylori*. The *cagA* was detected  
136 in 101 strains (98%). Sequence analysis of the 27 new *cagA*-positive strains showed that  
137 5 (18.5%) strains possessed Western-type CagA and 12 (44.4%) strains possessed East-  
138 Asian type CagA. In addition, we also confirmed a unique genotype of CagA (AB and  
139 B type) which mostly were isolated from Merauke city, Papua Island. Those B segment  
140 of CagA genotypes had very similar amino acids sequences with ABB type CagA from  
141 our previous report<sup>23</sup> (supplementary figure 2). Therefore, we deemed it a subtype of  
142 the ABB type CagA. Taken together with our previous study<sup>24</sup>, the result was 60



143 (58.2%) strains possessed the East-Asian type CagA (AABD, AAD and ABD type),  
144 whereas 30 strains (29.1%) were Western-type CagA (ABC, ABCC and BC type) and  
145 15 strains (14.5%) were ABB type CagA (ABB, AB and B) (Figure 1A).

146 ICEHptfs were detected in 56 of 103 (54.3%) strains. Among gastritis patients,  
147 51 strains (55.4%) and 5 strains (50.0%) from PUD patients possessed ICEHptfs.  
148 Interestingly, the strain isolated from the gastric cancer patient did not contain ICEHptfs  
149 (Table 1). Sequence analysis showed there were no mutations leading to premature stop  
150 codons or frameshift mutation; thus, we concluded that all of the genes were functional.  
151 Among the ICEHptfs-positive strains the single ICEHptfs was observed as ICEHptfs3  
152 (16.0%), ICEHptfs4a (14.4%) and ICEHptfs4b (10.7%). There was no strain with  
153 ICEHptfs4c. Aside from single ICEHptfs in the genome, strains possessing multiple  
154 ICEHptfs were also observed: ICEHptfs3-tfs4a (42.8%), ICEHptfs3-tfs4b (8.9%) and  
155 ICEHptfs3-4a/4b (7.2%) (Table 1).

156

### 157 **The distribution of ICEHptfs and the ethnic groups**

158 There was a significant association between ethnic group and prevalence of  
159 ICEHptfs ( $P = 0.031$ ). Timor tribe strains had the highest prevalence of ICEHptfs  
160 (10/12, 83.3%) and the lowest prevalence was observed in Minahasanese strains  
161 (14.2%) (Table 2). There was also a significant association between ethnic groups and  
162 the type of ICEHptfs ( $P = 0.002$ ). Batak tribes possessed predominantly ICEHptfs3-  
163 tfs4a (77.8%), whereas Chinese ethnicities possessed predominantly ICEHptfs3  
164 (57.1%). As for the Timor ethnicity, the types of ICEHptfs were distributed evenly  
165 (Table 2).

166 Complete *ICEHptfs* were assessed as a cluster with complete TFSS machineries,  
167 composed of <sup>3</sup> VirB2, VirB3, VirB4, VirB6, VirB7, VirB8, VirB9, VirB10,  
168 VirB11, VirD2, VirD4, XcrT and TopA. Among the positive *ICEHptfs* strains, 32  
169 strains (57.1%) possessed incomplete *ICEHptfs*. The complete *ICEHptfs* were found in  
170 24 strains: 19 (33.9%) with complete *ICEHptfs3* and 5 (9.0%) with complete  
171 <sup>26</sup> *ICEHptfs4b*. There was a significant association between the completeness of *ICEHptfs*  
172 and the ethnic groups ( $P = 0.03$ ). Timor tribe strains showed the highest prevalence of  
173 complete *ICEHptfs* (80.0%), in which 5 strains (50.0%) possessed complete *ICEHptfs3*  
174 and 3 strains (30.0%) possessed complete *ICEHptfs4b* (Table 2).

175

#### 176 **The *ICEHptfs*, CagA and CagL**

177 Among totally 30 strains with Western-type CagA, we could find 18 strains (60.0%)  
178 containing *ICEHptfs* (Supplementary Table 2). However, we could not obtain any  
179 *ICEHptfs* elements from strains which possessed ABCC- and B-type CagA (Figure 1B).  
180 Interestingly, the B-type CagA strains were isolated from Merauke city, Papua island,  
181 suggesting there is an association with the human population. Among the East Asian-  
182 type CagA, 32 strains (53.3%) possessed *ICEHptfs*. The ABBD type *cagA* strain did not  
183 contain any *ICEHptfs* elements. The ABB type *cagA* containing *ICEHptfs* were 4 of 11  
184 strains (36.3%) and seemed to be equally distributed.

185 CagL Hypervariable Motif (CagLHM) had a close relationship with the  
186 geographical origin of *H. pylori*, as recently reported<sup>25</sup>. We evaluated the CagLHM and  
187 found 8 unique motifs. The predominant motifs were YEIGK, DEIGK and DKMGE  
188 (38.6%, 14.8% and 13.8%) (Figure 1C). Interestingly we also found a novel motif  
189 DKMGK and this motif mostly was observed from *H. pylori* isolated from Samosir

190 Island (Supplementary Table 1). This novel motif strains almost exclusively (91%)  
191 possessed ICEHptfs elements as exclusive as the DKMGE motif strains (92.8%) (Figure  
192 1D).

193

#### 194 **The *cag* PAI and histological findings**

195 Comparison between histological findings and *cag* PAI intactness showed that  
196 patients infected with intact *cag* PAI had higher both corporal and antral inflammation  
197 than those with non-intact *cag* PAI (P = 0.011 and P < 0.001, respectively). Patients  
198 infected with intact *cag* PAI strains also showed higher activity and atrophy in the  
199 antrum than those with non-intact *cag* PAI strains (P < 0.001) (Figure 2). Patients  
200 infected with intact *cag* PAI strains had significantly higher risk of antral activity,  
201 inflammation and atrophy and corporal inflammation and atrophy after adjusted with  
202 age and sex (Supplementary Table 3).

203

#### 204 **The ICEHptfs and histological findings**

205 Histological examination showed the patients infected with strains possessing  
206 ICEHptfs elements (either complete or incomplete) had significantly lower antral *H.*  
207 *pylori* density than those without (P = 0.039) (Table 3). As for the comparison between  
208 complete and incomplete ICEHptfs(s), histological findings did not show any significant  
209 association; however, the patients infected with strains possessing complete ICEHptfs4b  
210 tended to have higher activity in the antrum than those possessing ICEHptfs-negative  
211 strains (P = 0.06) (Figure 3).

212

213 **Combination of the ICEHptfs, cag PAI and histological findings**

214 We classified *H. pylori* strains according to both the *cag* PAI intactness and  
215 status of ICEHptfs, and examined the association between the combined classification  
216 and the histological scores. The patients infected with the strains possessing the  
217 combination of intact *cag* PAI-ICEHptfs-positive strains had significantly higher antral  
218 activity compared to those with non-intact *cag* PAI-ICEHptfs-negative as well as the  
219 non-intact *cag* PAI-ICEHptfs-positive strains (P = 0.002 and P = 0.002, respectively)  
220 (Table 4). However, patients infected with the intact *cag* PAI-ICEHptfs-negative strains  
221 did not show difference of antral activity compared to those with non-intact *cag* PAI-  
222 ICEHptfs-negative strains (P = 0.103), suggesting that intact *cag* PAI virulence for  
223 inducing acute inflammation in the antrum is dependent on the status of ICEHptfs. In  
224 addition, patients infected with intact *cag* PAI-ICEHptfs-positive strains showed  
225 significantly higher antral inflammation and atrophy compared to those with non-intact  
226 *cag* PAI-ICEHptfs-negative strains (P < 0.001 and P < 0.001). Corporal inflammation  
227 was also significantly higher in patients infected with intact *cag* PAI-ICEHptfs-positive  
228 strains than those with non-intact *cag* PAI-ICEHptfs-positive strains (P = 0.047). In  
229 addition, we also classified the strains based on the intactness of *cag* PAI and type of  
230 ICEHptfs, then evaluated association with the histological scores. Despite the number of  
231 the samples being small for strains possessing intact *cag* PAI-complete ICEHptfs-4b (n =  
232 3), we found that patients infected with these strains had higher antral activity and  
233 inflammation compared to those with non-intact *cag* PAI-incomplete ICEHptfs strains  
234 (P = 0.024 and P = 0.009, respectively) (Table 4).



235 **Discussion**

236 This is the first study to evaluate the pathogenic role of *ICEHptfs* in combination  
237 with *cag* PAI at a population level. We examined the prevalence of *ICEHptfs* and *cag*  
238 PAI using high throughput sequencing. The previous genomic comparison showed that  
239 the *ICEHptfs* has high prevalence (86.7%) in 45 strains worldwide <sup>16</sup>. We applied the  
240 same methods to determine the prevalence of *ICEHptfs* among Indonesian strains,  
241 which showed a lower prevalence of *ICEHptfs* (53.4%). In general, ICEs were  
242 transferred between genomes using conjugative HGT. This different prevalence might  
243 be due to observation only performed in one country compared to the worldwide  
244 observation. In addition, the distribution of *ICEHptfs* had a significant association with  
245 ethnic groups in Indonesia, suggesting the prevalence and type of *ICEHptfs* had an  
246 association with geographical origin. Some particular CagA genotypes strains did not  
247 possess any *ICEHptfs*, especially the strains isolated from Merauke city. All our strains  
248 isolated from Merauke city were assigned as hpSahul (data not shown) and other strains  
249 deposited in the GenBank belonging to hpSahul, PNG84A and ausaBRJ05 <sup>26</sup>, also did  
250 not contain *ICEHptfs*, strongly supporting this association.

251 The *cag* PAI was transferred into *H. pylori* far prior human migrate from Africa  
252 60kya <sup>27</sup> and interestingly, the *cag* PAI still can be observed in all the *H. pylori*  
253 populations after long period of human migration and shows the same evolution pattern  
254 as the house-keeping genes <sup>27</sup>. This suggests the importance of *cag* PAI towards the host  
255 colonization process. Our study showed almost all the Indonesian strains (98%)  
256 contained *cag* PAI, supporting its importance. In addition to the *cag* PAI, CagLHM may  
257 also help to discriminate geographical origin <sup>25</sup>. Our study showed that the predominant

258 CagLHM in Indonesia were specifically observed in the East/Southeast Asia/Australasia  
259 groups, as previously reported <sup>25</sup>. We also found a new motif of CagLHM which  
260 showed as high prevalence of *ICEHptfs* as the DKMGE motif. DKMGE is believed to  
261 be the progenitor of the CagLHM motif <sup>25</sup>, and since the observed motifs only differed  
262 on the residue 62 (E62K), this observation suggests the new motifs were directly  
263 derived from the progenitor.

264 The *cag* PAI was originally designated as a TFSS, which mainly has a function  
265 to translocate <sup>13</sup> CagA protein into host cell cytoplasm <sup>28,29</sup>. However, the virulence of this  
266 island dependent to the intactness of this island, therefore it may successfully inject the  
267 CagA protein <sup>12</sup>. Our previous study in Vietnam <sup>30</sup> classified the intactness of *cag* PAI  
268 based on the existence of the gene using the PCR method. Our current results showed  
269 similarly that the intact *cag* PAI has more severe histological score than the non-intact  
270 *cag* PAI. However, the previous criterion evaluated the intactness of *cag* PAI only  
271 <sup>29</sup> based on the presence or absence of the member genes and the resulting high prevalence  
272 of intact *cag* PAI, which may blur the association with histological scores. On the other  
273 hand, the evaluation of *cag* PAI sequences may give us a significant association with  
274 the histological scores. In addition, it may also discriminate the cluster of *cag* PAI  
275 genotype <sup>25</sup> (East-Asian type and Western type cluster), of which the East-Asian type may  
276 bind stronger to the SHP-2 receptor <sup>11</sup>. Therefore, we recommend the criteria to evaluate  
277 intactness of *cag* PAI also considering the functional status of the genes, as a more  
278 reliable method to predict clinical outcome.

279 Although strains with *ICEHptfs* showed significantly lower *H. pylori* density,  
280 there was an association between a complete TFSS and the histological scores. It was

281 reported that strains with a complete cluster of *dupA*, the VirB4 homologue of  
282 *ICEHptfs4b*, lead to a higher risk of developing duodenal ulcers than those with  
283 incomplete *dupA* clusters or *dupA* negative strains<sup>31</sup>. Our data also showed the same  
284 tendency, even with a lower density in the antrum, suggesting this region has a more  
285 significant association to the *H. pylori* virulence, resulting in a higher active  
286 inflammation rather than attachment to the gastric mucosa.

287 In addition, we combined the status and type of *ICEHptfs* with the *cag* PAI  
288 intactness. Our data showed patients infected with intact *cag* PAI-*ICEHptfs*-positive  
289 strains had higher antral activity than those with non-intact *cag* PAI-*ICEHptfs*-negative  
290 strains. However, patients infected with the intact *cag* PAI-*ICEHptfs*-negative strains  
291 did not show difference of antral activity compared to those with non-intact *cag* PAI-  
292 *ICEHptfs*-negative strains. These data suggest that the *cag* PAI and *ICEHptfs* were  
293 dependent each other to induce higher antral activity. The TFSS can be divided into  
294 three groups according to their function<sup>32</sup>. The first group is the conjugation system,  
295 translocating single-stranded DNA substrates to recipient cells in a contact-dependent  
296 manner, resulting in the adaptation of bacteria to environmental changes. The second  
297 group is the effector translocation system, delivering protein directly into eukaryotic  
298 cells. The third group is the DNA uptake mediators, which uptake or release DNA or  
299 protein substrates extracellularly, independently of contact with another cell<sup>33</sup>. Since  
300 there was an evidence that the *ICEHptfs* was a genetic mobile element which was  
301 transferred in the conjugation manner<sup>16,34</sup>, we assumed the function of *ICEHptfs* in the  
302 pathogenesis of *H. pylori* infection was belongs to the conjugation group<sup>33</sup>, suggesting  
303 the *ICEHptfs* might supporting the *cag* PAI to induce more severe clinical outcome.

304           Although we could not make strong conclusions due to a small sample size,  
305 particularly in the certain ICEH*ptfs* groups, this study gives us new information about  
306 the distribution and **clinical association** of this relatively new TFSS in *H. pylori*. In  
307 addition, since there have not been many biological and structure evidences of this  
308 particular system, further study is needed to better understand the role of the TFSS in  
309 colonization by *H. pylori*.

310

### 311 **Conclusion**

312           In conclusion, our data showed a high prevalence of *cag* PAI in Indonesia, half  
313 of which were complete. Criteria determining intactness of *cag* PAI based on the gene  
314 functionality is more reliable to evaluate the influence of *H. pylori* on gastric mucosal  
315 status. The ICEH*ptfs* strains tended to induce more active inflammation in the antrum  
316 even with a lower density of bacteria. In combination, it was shown that patients  
317 infected with intact *cag* PAI-ICEH*ptfs*-positive strains had more severe inflammation  
318 than those with non-intact *cag* PAI-ICEH*ptfs*-negative strains, suggesting possibility a  
319 mutual correlation between these TFSS(s).

320



321 **Materials and methods**

322 **Samples and DNA sequencing**

323 We performed endoscopic examination on 1072 dyspeptic patients in 17 cities in  
324 Indonesia from August 2012 to August 2016. We excluded patients with partial/total  
325 gastrectomy, non-fasted patients and those with contraindication for upper endoscopy.  
326 Written informed consent was obtained from all patients and the study protocol was  
327 approved by the ethics committees of Dr. Soetomo Teaching Hospital (Surabaya,  
328 Indonesia), Dr. Cipto Mangunkusumo Teaching Hospital (Jakarta, Indonesia), Dr.  
329 Wahidin Sudirohusodo Teaching Hospital (Makassar, Indonesia) and Oita University  
330 Faculty of Medicine (Yufu, Japan). We declare that all procedures contributing to this  
331 work comply with the ethical standards of the relevant national and institutional  
332 committees on human experimentation and with the Helsinki Declaration of 1975, as  
333 revised in 2008 and 2013. We used antral gastric biopsy to isolate *H. pylori* as  
334 previously described<sup>24</sup>, resulting in 103 cultured isolates, including 75 isolates from our  
335 previous study<sup>24</sup>.

336 DNA extraction was performed using QIAamp DNA Mini Kit (QIAGEN,  
337 Valencia, CA, USA) following the manufacturer's instructions. Whole genome  
338 sequencing was performed using a high throughput next generation sequencer; Illumina  
339 HiSeq 2000 and Miseq as per the list in Supplementary Table 2. Briefly, high-quality  
340 genomic DNA was used, then was prepared using dual-indexed Nextera XT Illumina  
341 libraries and subjected to cluster generation and paired-end sequencing (2 x 300 bp) for  
342 Miseq and (2 x 150 bp) for HiSeq. We performed the quality control and de novo  
343 assembly prior the reference mapping to obtain the coverage and to select the result  
344 which may be used for further analysis using CLC Genomic Workbench v. 7.04, a

345 commercial software (Qiagen Inc., Redwood, California, USA). The coverage we  
346 obtained was between 81-400 folds in each genome (supplementary table 1). The  
347 threshold for further analysis in this study, we use Q30 >80% as recommended by  
348 Illumina and the average coverage more than 80 folds as had been described previously  
349 <sup>35</sup>.

350

### 351 **Analysis of ICE and other virulence genes**

352 Identification of the *ICEHptfs*-type was performed by using a reference mapping  
353 method. Short-read outputs were mapped to the corresponding reference sequences  
354 <sup>12</sup> consisting of *ICEHptfs3* (strain Gambia94/24), *ICEHptfs4a* (strain P12) *ICEHptfs4b*  
355 (strain G27) *ICEHptfs4c* (strain SouthAfrica7) using CLC Genomic Workbench v. 7.04,  
356 a commercial software (Qiagen Inc., Redwood, California, USA) as described  
357 previously <sup>16</sup>. The unmapped reads then also assembled by using *de novo* assembly by  
358 the CLC Genomic Workbench. The ICE genes <sup>17</sup> were identified by BLAST search  
359 (<http://blast.ncbi.nlm.nih.gov/Blast.cgi>) from the mapped reads. The *cag* PAI were  
360 identified using BLAST method and the query from strain 26995 <sup>8,27</sup>. The functional  
361 status of the each gene was evaluated by visual inspection using MEGA7 <sup>36</sup>.

362

### 363 **Histological evaluation**

364 <sup>1</sup> All biopsy material for histological evaluation was fixed in 10 % buffered  
365 formalin and embedded in paraffin. Serial sections were stained with hematoxylin and  
366 eosin as well as May-Giemsa stains. Gastric mucosa were evaluated based on the  
367 updated Sydney system <sup>37</sup>. Bacterial load was classified into four grades: 0, 'normal'; 1,  
368 'mild'; 2, 'moderate'; and 3, 'marked' <sup>54</sup> according to the updated Sydney system <sup>37</sup>.

369 <sup>1</sup> The degree of inflammation, neutrophil activity, atrophy and intestinal metaplasia  
370 were classified into four grades according to the updated Sydney system: 0, 'normal'; 1,  
371 'mild'; 2, 'moderate'; and 3, 'marked' <sup>4</sup> <sup>37</sup>. Immunohistochemistry for anti-*H. pylori*  
372 antibody was performed as previously described <sup>38</sup>.

373

#### 374 <sup>5</sup> **Statistical analysis**

375 Data were analyzed using IBM SPSS Statistics, version 22 (IBM Corp., USA).  
376 Discrete variables were tested using the chi-square test; continuous variables were  
377 tested using Mann-Whitney *U* test. An ordinal regression model was used to calculate  
378 risk for developing higher histological score. <sup>1</sup> A two-tailed P value < 0.05 was  
379 considered statistically significant.

380

#### 381 **Availability of Nucleotide Sequences**

382 The accession number for nucleotide sequences were deposited in DDBJ under  
383 accession number LC334483 – LC335589 and LC339076 – LC339479.

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513

514 **Author Contributions**

515 LAW, MM and YY design the study. PS, LAW, TU and MM carried out the  
516 experiments. LAW, MM, TU, RS and YY carried out the analyzed the data. MIL, MH,  
517 NN, AFS, MM, LAW and YY carried out the sample acquisition and data collection.  
518 LAW, MM, RS and YY writing and revising the manuscript.

519

520 <sup>7</sup>  
**Potential conflicts of interest**

521 The authors declare that they have no conflict of interest

522

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527 **FIGURE LEGENDS**

528 **Figure 1. Distribution of CagA and CagL hypervariable motif (CagLHM) and the**  
529 **ICEHptfs.**

530 (A.) The distribution of CagA genotype among Indonesian strains. More than half  
531 (58.2%) was East-Asian type CagA. (B.) The proportion of ICEHptfs among CagA  
532 genotype. It showed the B, ABBD, AABD and ABCC type CagA did not possessed  
533 ICEHptfs. (C.) The distribution of CagLHM among Indonesian *cag* PAI positive  
534 strains. We observed new CagLHM motif DKMGK. (D.) The proportion of ICEHptfs  
535 observed in the CagLHM motif group showed all NKIGQ motif (n = 3) contained  
536 ICEHptfs elements. The new observed DKMGK motif strains showed the high  
537 prevalence (10/11, 90.9%) as high as the DKMGE motif strains (13/14, 92.8%), the  
538 progenitor motif of CagLHM.

539

540 **Figure 2. Association of *cag* PAI intactness and the histological findings.**

541 Patients infected with the intact *cag* PAI (n = 53) showed significantly higher antral  
542 activity, inflammation and atrophy as well as corporal inflammation than the non-intact  
543 counterpart (n = 40).

544

545 **Figure 3. Association of ICEHptfs and histological findings in antrum.**

546 Patients infected with the complete ICEHptfs<sup>4b</sup> (n = 4) tended to have higher antral  
547 activity than antral activity than the ICEHptfs negative (n = 44).

548

549

550 **Table 1. Prevalence of ICEHptfs and *cag* PAI**

Characteristic	Total (n =103)	Clinical Outcome (%)		
		Gastritis (n = 92)	PUD (n = 10)	Cancer (n = 1)
<i>cagA</i> positive	101 (98.0)	90 (97.8)	10 (100)	1 (100)
Intact <i>cag</i> PAI	57 (55.4)	50 (54.3)	6 (60.0)	1 (100)
non-intact <i>cag</i> PAI	45 (43.7)	41 (44.5)	4 (40.0)	0 (0.0)
<i>cag</i> PAI negative	1 (0.9)	1 (1.1)	0 (0.0)	0 (0.0)
ICEHptfs	56 (54.3)	51 (55.4)	5 (50.0)	0 (0.0)
<b>Type of ICEHptfs</b>				
TFSS3	9 (16.0)	9 (9.7)	0 (0.0)	0 (0.0)
TFSS4a	8 (14.4)	6 (6.5)	2 (20.0)	0 (0.0)
TFSS4b	6 (10.7)	6 (6.5)	0 (0.0)	0 (0.0)
TFSS3-TFSS4a	24 (42.8)	22 (23.9)	2 (20.0)	0 (0.0)
TFSS3-TFSS4b	5 (8.9)	4 (4.3)	1 (10.0)	0 (0.0)
TFSS3-TFSS4a/b	4 (7.2)	4 (4.3)	0 (0.0)	0 (0.0)

551 Abbreviations: PAI, pathogenicity island; TFSS, type IV secretion system; PUD, peptic  
 552 ulcer disease.



553 Table 2. Distribution of *cag* PAI and ICEHpfss among Ethnic Group

Genetic Profiles	Total (n=103)	Ethnic Groups (%)									
		Javanese (n=3)	Chinese (n=9)	Balinese (n=6)	Bugis (n=13)	Batak (n=31)	Papuan (n=20)	Minahasanesse (n=7)	Dayak (n=2)	Timor (n=12)	
ICEHpfss*	56 (54.3)	1 (33.3)	7 (77.8)	4 (66.7)	7 (53.8)	19 (58.0)	6 (30.0)	1 (14.2)	1 (50.0)	10 (83.3)	
Type of ICEHpfss*											
TFSS3	9 (16.3)	0 (0.0)	4 (57.1)	1 (25.0)	2 (28.5)	2 (11.1)	0 (0.0)	0 (0.0)	1 (100)	0 (0.0)	
TFSS4a	8 (14.5)	0 (0.0)	1 (14.2)	2 (50.0)	2 (28.5)	2 (11.1)	0 (0.0)	1 (100)	0 (0.0)	0 (0.0)	
TFSS4b	6 (10.9)	1 (100)	0 (0.0)	0 (0.0)	1 (14.2)	1 (5.5)	1 (16.7)	0 (0.0)	0 (0.0)	2 (20.0)	
TFSS3-TFSS4a	24 (43.6)	0 (0.0)	1 (14.2)	1 (50.0)	2 (28.5)	14 (77.8)	3 (50.0)	0 (0.0)	0 (0.0)	3 (30.0)	
TFSS3-TFSS4b	4 (7.2)	0 (0.0)	1 (14.2)	0 (0.0)	0 (0.0)	0 (0.0)	1 (16.7)	0 (0.0)	0 (0.0)	2 (20.0)	
TFSS3-TFSS4a/b	4 (7.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (16.7)	0 (0.0)	0 (0.0)	3 (30.0)	
Complete tfss*											
Incomplete TFSS	32 (57.1)	1 (33.3)	5 (71.4)	3 (75.0)	6 (85.7)	9 (47.3)	4 (66.7)	1 (100)	1 (100)	2 (20.0)	
Complete TFSS3	19 (33.9)	0 (0.0)	2 (28.6)	1 (25.0)	0 (0.0)	9 (47.3)	2 (33.3)	0 (0.0)	0 (0.0)	5 (50.0)	
Complete TFSS4b	5 (9.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (14.3)	1 (5.4)	0 (0.0)	0 (0.0)	0 (0.0)	3 (30.0)	
<i>cag</i> PAI											
<i>cag</i> PAI positive	101 (98.0)	3 (100)	9 (100)	6 (100)	12 (92.3)	31 (100)	20 (100)	7 (100)	2 (100)	12 (100)	
Intact <i>cag</i> PAI	57 (55.3)	0 (0.0)	3 (33.3)	5 (83.3)	8 (72.7)	16 (51.6)	11 (55.0)	2 (28.5)	2 (100)	10 (83.3)	

554 Abbreviations: TFSS, type IV secretion system; PAI, pathogenicity island.

555 \*) P < 0.05, Fischer's exact test

556

**Table 3. Association of ICEHptfs status and histology score**

<b>Histology score</b>	<b>ICEHptfs positive (mean [median]) n = 47</b>	<b>ICEHptfs negative (mean [median]) n = 56</b>
<b>Antrum</b>		
Activity	1.44 [1.5]	1.20 [1]
Inflammation	1.82 [2]	1.79 [2]
Atrophy	1.24 [1]	1.18 [1]
Density*	1.19 [1]	1.53 [2]
<b>Corpus</b>		
Activity	0.72 [1]	0.73 [1]
Inflammation	1.04 [1]	1.04 [1]
Atrophy	0.32 [0]	0.28 [0]
Density	1.13 [1]	1.27 [1]

\*) P = 0.039, Mann-Whitney U test.

1 Table 4. Association of ICEHptfs and cag PAI status and histology score

cag PAI and ICEHptfs	n	Antrum (Mean [Median])				Body (Mean [Median])			
		Activity	Inflammation	Atrophy	Density	Activity	Inflammation	Atrophy	Density
<b>cag PAI and ICEHptfs status</b>									
Intact cag PAI-ICEHptfs +	34	1.75 [2]	2.25 [2]	1.47 [1]	1.45 [1]	0.75 [1]	1.13 [1]	0.38 [0]	1.25 [1]
Intact cag PAI-ICEHptfs -	23	1.38 [1]	2.19 [2]	1.52 [1]	1.62 [2]	0.86 [1]	1.38 [1]	0.43 [0]	1.38 [1]
Non Intact cag PAI-ICEHptfs +	21	0.94 [1]	1.13 [1]	0.94 [1]	0.76 [1]	0.69 [1]	0.94 [1]	0.25 [0]	1.00 [1]
Non Intact cag PAI-ICEHptfs -	24	1.04 [1]	1.43 [1]	0.87 [1]	1.46 [1.5]	0.63 [1]	0.75 [1]	0.17 [0]	1.17 [1]
<b>cag PAI and type of TFSS</b>									
Intact cag PAI-incomplete TFSS	21	1.58 [2]	2.37 [2]	1.47 [1]	1.60 [1.5]	0.53 [1]	1.11 [1]	0.32 [0]	1.21 [1]
Intact cag PAI-complete TFSS3	10	1.90 [2]	1.80 [2]	1.30 [1]	1.20 [1]	1.10 [1]	1.30 [1]	0.60 [0]	1.40 [1]
Intact cag PAI-complete TFSS4b	3	2.33 [2]	3.00 [3]	2.00 [2]	1.00 [1]	1.00 [1]	0.67 [0]	0.00 [0]	1.00 [1]
Non intact cag PAI-incomplete TFSS	10	1.00 [1]	1.11 [1]	1.00 [1]	1.25 [1]	0.88 [1]	1.13 [1]	0.50 [0]	1.25 [1]
Non intact cag PAI-complete TFSS3	9	0.83 [0.5]	1.17 [1.5]	0.83 [0.5]	0.75 [0]	0.43 [0]	0.71 [1]	0.00 [0]	0.83 [0.5]
Non intact cag PAI-complete TFSS4b <sup>‡</sup>	1	1	1	1	0	1	1	1	1

2 Abbreviations: PAI, Pathogenicity Island, TFSS, type IV secretion system ‡ Number samples of this group only one sample. So we cannot

3 calculate the mean and median

# Distribution and clinical associations of integrating conjugative elements and cag pathogenicity islands of Helicobacter pylori in Indonesia

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